


**Civil Aviation Technical Standards**  
**Relating to**  
**PART 171 – AERONAUTICAL TELECOMMUNICATIONS SERVICES (ATEL)**  
**Volume 4 : Surveillance with collision avoidance systems**

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### 171.05.4.1 DEFINITIONS

*Note 1.— All references to “Radio Regulations” are to the Radio Regulations published by the International Telecommunication Union (ITU). Radio Regulations are amended from time to time by the decisions embodied in the Final Acts of World Radiocommunication Conferences held normally every two to three years. Further information on the ITU processes as they relate to aeronautical radio system frequency use is contained in the Handbook on Radio Frequency Spectrum Requirements for Civil Aviation including statement of approved ICAO policies (Doc 9718).*

*Note 2.— The Mode S extended squitter system is subject to patent rights from the Massachusetts Institute of Technology (MIT) Lincoln Laboratory. On 22 August 1996, MIT Lincoln Laboratory issued a notice in the Commerce Business Daily (CBD), a United States Government publication, of its intent not to assert its rights as patent owner against any and all persons in the commercial or non-commercial practice of the patent, in order to promote the widest possible use of the Mode S extended squitter technology. Further, by letter to ICAO dated 27 August 1998, MIT Lincoln Laboratory confirmed that the CBD notice has been provided to satisfy ICAO requirements for a statement of patent rights for techniques that are included in SARPs, and that the patent holders offer this technique free of charge for any use.*

**Airborne collision avoidance system (ACAS).** An aircraft system based on secondary surveillance radar (SSR) transponder signals which operates independently of ground-based equipment to provide advice to the pilot on potential conflicting aircraft that are equipped with SSR transponders.

*Note.— SSR transponders referred to above are those operating in Mode C or Mode S.*

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**Aircraft address.** A unique combination of twenty-four bits available for assignment to an aircraft for the purpose of airground communications, navigation and surveillance.

*Note.* — SSR Mode S transponders transmit extended squitters to support the broadcast of aircraft-derived position for surveillance purposes. The broadcast of this type of information is a form of automatic dependent surveillance (ADS) known as ADS-broadcast (ADS-B).

**Automatic dependent surveillance-broadcast (ADS-B) OUT.** A function on an aircraft or vehicle that periodically broadcasts its state vector (position and velocity) and other information derived from on-board systems in a format suitable for ADS-B IN capable receivers.

**Automatic dependent surveillance-broadcast (ADS-B) IN.** A function that receives surveillance data from ADS-B OUT data sources.

**Collision avoidance logic.** The sub-system or part of ACAS that analyses data relating to an intruder and own aircraft, decides whether or not advisories are appropriate and, if so, generates the advisories. It includes the following functions: range and altitude tracking, threat detection and RA generation. It excludes surveillance.


**Human Factors principles.** Principles which apply to design, certification, training, operations and maintenance and which seek safe interface between the human and other system components by proper consideration to human performance.

**Secondary surveillance radar (SSR).** A surveillance radar system which uses transmitters/receivers (interrogators) and transponders.

*Note.* — The requirements for interrogators and transponders are specified in Chapter 3.

**Surveillance radar.** Radar equipment used to determine the position of an aircraft in range and azimuth.

**Traffic information service – broadcast (TIS-B) IN.** A surveillance function that receives and processes surveillance data from TIS-B OUT data sources.

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**Traffic information service – broadcast (TIS-B) OUT.** A function on the ground that periodically broadcasts the surveillance information made available by ground sensors in a format suitable for TIS-B IN capable receivers.

*Note.— This technique can be achieved through different data links. The requirements for Mode S extended squitters are specified in NAM-CATS-ATEL, Subpart 171.05.4, Chapter 5. The requirements for VHF digital link (VDL) Mode 4 and universal access transceiver (UAT) are specified in NAM-CATS-ATEL, Subpart 171.05.3, Part I.*

**Transponder occupancy.** A state of unavailability of the transponder from the time it detects an incoming signal that appears to cause some action or from the time of a self-initiated transmission, to the time that it is capable of replying to another interrogation.

*Note.— Signals from various systems that contribute to transponder occupancy are described in the Aeronautical Surveillance Manual (Doc 9924), Appendix M.*


## 171.05.4.2 GENERAL

### 171.05.4.2.1 SECONDARY SURVEILLANCE RADAR (SSR)

171.05.4.2.1.1 When SSR is installed and maintained in operation as an aid to air traffic services, it must conform with the provisions of 3.1 unless otherwise specified in this 171.05.4.2.1.

*Note.— As referred to in this Annex, Mode A/C transponders are those which conform to the characteristics prescribed in 171.05.4.3.1.1. Mode S transponders are those which conform to the characteristics prescribed in 171.05.4.3.1.2. The functional capabilities of Mode A/C transponders are an integral part of those of Mode S transponders.*

### 171.05.4.2.1.2 Interrogation modes (ground-to-air)

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171.05.4.2.1.2.1.1 Interrogation for air traffic services must be performed on the modes described in 171.05.4.3.1.1.4.3 or 171.05.4.3.1.2. The uses of each mode must be as follows :

- 1) *Mode A* — to elicit transponder replies for identity and surveillance.
- 2) *Mode C* — to elicit transponder replies for automatic pressure-altitude transmission and surveillance.
- 3) *Intermode* —
  - a) *Mode A/C/S all-call*: to elicit replies for surveillance of Mode A/C transponders and for the acquisition of Mode S transponders.
  - b) *Mode A/C-only all-call*: to elicit replies for surveillance of Mode A/C transponders. Mode S transponders do not reply.
- 4) *Mode S* —
  - a) *Mode S-only all-call*: to elicit replies for acquisition of Mode S transponders.
  - b) *Broadcast*: to transmit information to all Mode S transponders. No replies are elicited.
  - c) *Selective*: for surveillance of, and communication with, individual Mode S transponders. For each interrogation, a reply is elicited only from the transponder uniquely addressed by the interrogation.

*Note 1.— Mode A/C transponders are suppressed by Mode S interrogations and do not reply.*

*Note 2.— There are 25 possible interrogation (uplink) formats and 25 possible Mode S reply (downlink) formats. For format assignment see 171.05.4.3.1.2.3.2, Figures 3-7 and 3-8.*

171.05.4.2.1.2.1.1 **Recommendation.**— *Administrations may coordinate with appropriate national and international authorities those implementation aspects of the SSR system which will permit its optimum use.*

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*Note.— In order to permit the efficient operation of ground equipment designed to eliminate interference from unwanted aircraft transponder replies to adjacent interrogators (defruiting equipment), States may need to develop coordinated plans for the assignment of pulse recurrence frequencies (PRF) to SSR interrogators.*

171.05.4.2.1.2.1.2 The assignment of interrogator identifier (II) codes, where necessary in areas of overlapping coverage, across international boundaries of flight information regions, must be the subject of regional air navigation agreements.

171.05.4.2.1.2.1.3 The assignment of surveillance identifier (SI) codes, where necessary in areas of overlapping coverage, must be the subject of regional air navigation agreements.

*Note.— The SI lockout facility cannot be used unless all Mode S transponders within coverage range are equipped for this purpose.*

171.05.4.2.1.2.2 Mode A and Mode C interrogations must be provided.

*Note.— This requirement may be satisfied by intermode interrogations which elicit Mode A and Mode C replies from Mode A/C transponders.*


171.05.4.2.1.2.3 **Recommendation.**— *In areas where improved aircraft identification is necessary to enhance the effectiveness of the ATC system, SSR ground facilities having Mode S features may include aircraft identification capability.*

*Note.— Aircraft identification reporting through the Mode S data link provides unambiguous identification of aircraft suitably equipped.*

**171.05.4.2.1.2.4 Side-Lobe Suppression Control Interrogation**

171.05.4.2.1.2.4.1 Side-lobe suppression must be provided in accordance with the provisions of 171.05.4.3.1.1.4 and 171.05.4.3.1.1.5 on all Mode A, Mode C and intermode interrogations.

171.05.4.2.1.2.4.2 Side-lobe suppression must be provided in accordance with the provisions of 171.05.4.3.1.2.1.5.2.1 on all Mode S-only all-call interrogations.

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### 171.05.4.2.1.3 Transponder reply modes (air-to-ground)

171.05.4.2.1.3.1 Transponders must respond to Mode A interrogations in accordance with the provisions of 171.05.4.3.1.1.7.12.1 and to Mode C interrogations in accordance with the provisions of 171.05.4.3.1.1.7.12.2.

*Note.— If pressure-altitude information is not available, transponders reply to Mode C interrogations with framing pulses only.*

171.05.4.2.1.3.1.1 The pressure-altitude reports contained in Mode S replies must be derived as specified in 171.05.4.3.1.1.7.12.2.

*Note.— 171.05.4.3.1.1.7.12.2 is intended to relate to Mode C replies and specifies, inter alia, that Mode C pressure-altitude reports be referenced to a standard pressure setting of 1 013.25 hectopascals. The intention of 171.05.4.2.1.3.1.1 is to ensure that all transponders, not just Mode C transponders, report uncorrected pressure-altitude.*


171.05.4.2.1.3.2 Where the need for Mode C automatic pressure-altitude transmission capability within a specified airspace has been determined, transponders, when used within the airspace concerned, must respond to Mode C interrogations with pressure-altitude encoding in the information pulses.

171.05.4.2.1.3.2.1 From 1 January 1999, all transponders, regardless of the airspace in which they will be used, must respond to Mode C interrogations with pressure-altitude information.

*Note.— Operation of the airborne collision avoidance system (ACAS) depends upon intruder aircraft reporting pressurealtitude in Mode C replies.*

171.05.4.2.1.3.2.2 For aircraft equipped with 7.62 m (25 ft) or better pressure-altitude sources, the pressure-altitude information provided by Mode S transponders in response to selective interrogations (i.e. in the AC field, 171.05.4.3.1.2.6.5.4) must be reported in 7.62 m (25 ft) increments.

*Note.— Performance of the ACAS is significantly enhanced when an intruder aircraft is reporting pressure-altitude in 7.62 m (25 ft) increments.*

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171.05.4.2.1.3.2.3 All Mode A/C transponders must report pressure-altitude encoded in the information pulses in Mode C replies.

171.05.4.2.1.3.2.4 All Mode S transponders must report pressure-altitude encoded in the information pulses in Mode C replies and in the AC field of Mode S replies.

171.05.4.2.1.3.2.5 When a Mode S transponder is not receiving more pressure-altitude information from a source with a quantization of 7.62 m (25 ft) or better increments, the reported value of the altitude must be the value obtained by expressing the measured value of the uncorrected pressure-altitude of the aircraft in 30.48 m (100 ft) increments and the Q bit (see 171.05.4.3.1.2.6.5.4 b)) must be set to 0.

*Note.— This requirement relates to the installation and use of the Mode S transponder. The purpose is to ensure that altitude data obtained from a 30.48 m (100 ft) increment source are not reported using the formats intended for 7.62 m (25 ft) data.*

171.05.4.2.1.3.3 Transponders used within airspace where the need for Mode S airborne capability has been determined must also respond to intermode and Mode S interrogations in accordance with the applicable provisions of 171.05.4.3.1.2.


171.05.4.2.1.3.3.1 Requirements for mandatory carriage of SSR Mode S transponders must be on the basis of regional air navigation agreements which must specify the airspace and the airborne implementation timescales.

171.05.4.2.1.3.3.2 **Recommendation.**— *The agreements indicated in 171.05.4.2.1.3.3.1 may provide at least five years' notice.*

**171.05.4.2.1.4 Mode A reply codes (information pulses)**

171.05.4.2.1.4.1 All transponders must be capable of generating 4 096 reply codes conforming to the characteristics given in 171.05.4.3.1.1.6.2.

171.05.4.2.1.4.1.1 **Recommendation.**— *ATS authorities may establish the procedures for the allotment of SSR codes in conformity with Regional Air Navigation agreements, taking into account other users of the system.*

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*Note.— Principles for the allocation of SSR codes are given in Doc 4444, Chapter 8.*

171.05.4.2.1.4.2 The following Mode A codes must be reserved for special purposes:

171.05.4.2.1.4.2.1 Code 7700 to provide recognition of an aircraft in an emergency.

171.05.4.2.1.4.2.2 Code 7600 to provide recognition of an aircraft with radiocommunication failure.

171.05.4.2.1.4.2.3 Code 7500 to provide recognition of an aircraft which is being subjected to unlawful interference.

171.05.4.2.1.4.3 Appropriate provisions must be made in ground decoding equipment to ensure immediate recognition of Mode A codes 7500, 7600 and 7700.

171.05.4.2.1.4.4 **Recommendation.**— *Mode A code 0000 may be reserved for allocation subject to regional agreement, as a general purpose code.*

171.05.4.2.1.4.5 Mode A code 2000 must be reserved to provide recognition of an aircraft which has not received any instructions from air traffic control units to operate the transponder.


**171.05.4.2.1.5 Mode S airborne equipment capability**

171.05.4.2.1.5.1 All Mode S transponders must conform to one of the following five levels:

*Note.— The transponder used for a Mode S site monitor may differ from the requirements defined for a normal Mode S transponder. For example, it may be necessary to reply to all-call interrogations when on the ground. For more details see the Aeronautical Surveillance Manual (Doc 9924) Appendix D.*

171.05.4.2.1.5.1.1 Level 1 — Level 1 transponders must have the capabilities prescribed for:

- a) Mode A identity and Mode C pressure-altitude reporting (171.05.4.3.1.1);
- b) intermode and Mode S all-call transactions (171.05.4.3.1.2.5);

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c) addressed surveillance altitude and identity transaction (171.05.4.3.1.2.6.1, 171.05.4.3.1.2.6.3, 171.05.4.3.1.2.6.5 and 171.05.4.3.1.2.6.7);

d) lockout protocols (171.05.4.3.1.2.6.9);

e) basic data protocols except data link capability reporting (171.05.4.3.1.2.6.10); and

f) air-air service and squitter transactions (171.05.4.3.1.2.8).

*Note.— Level 1 permits SSR surveillance based on pressure-altitude reporting and the Mode A identity code. In an SSR Mode S environment, technical performance relative to a Mode A/C transponder is improved due to Mode S selective aircraft interrogation.*

171.05.4.2.1.5.1.2 Level 2 — Level 2 transponders must have the capabilities of 171.05.4.2.1.5.1.1 and also those prescribed for:

a) standard length communications (Comm-A and Comm-B) (171.05.4.3.1.2.6.2, 171.05.4.3.1.2.6.4, 171.05.4.3.1.2.6.6, 171.05.4.3.1.2.6.8 and 171.05.4.3.1.2.6.11);


b) data link capability reporting (171.05.4.3.1.2.6.10.2.2);

c) aircraft identification reporting (171.05.4.3.1.2.9); and

d) data parity with overlay control (171.05.4.3.1.2.6.11.2.5) for equipment certified on or after 1 January 2020.

*Note.— Level 2 permits aircraft identification reporting and other standard length data link communications from ground to air and air to ground. The aircraft identification reporting capability requires an interface and appropriate input device.*

171.05.4.2.1.5.1.3 Level 3 — Level 3 transponders must have the capabilities of 171.05.4.2.1.5.1.2 and also those prescribed for ground-to-air extended length message (ELM) communications (3.1.2.7.1 to 171.05.4.3.1.2.7.5).

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*Note.— Level 3 permits extended length data link communications from ground to air and thus may provide retrieval from ground-based data banks and receipt of other air traffic services which are not available with Level 2 transponders.*

171.05.4.2.1.5.1.4 Level 4 — Level 4 transponders must have the capabilities of 171.05.4.2.1.5.1.3 and also those prescribed for air-toground extended length message (ELM) communications (171.05.4.3.1.2.7.7 and 171.05.4.3.1.2.7.8).

*Note.— Level 4 permits extended length data link communications from air to ground and thus may provide access from the ground to airborne data sources and the transmission of other data required by air traffic services which are not available with Level 2 transponders.*


171.05.4.2.1.5.1.5 Level 5 — Level 5 transponders must have the capabilities of 171.05.4.2.1.5.1.4 and also those prescribed for enhanced Comm-B and extended length message (ELM) communications (171.05.4.3.1.2.6.11.3.4, 171.05.4.3.1.2.7.6 and 171.05.4.3.1.2.7.9).

*Note.— Level 5 permits Comm-B and extended length data link communications with multiple interrogators without requiring the use of multisite reservations. This level of transponder has a higher minimum data link capacity than the other transponder levels.*

171.05.4.2.1.5.1.6 *Extended squitter* — Extended squitter transponders must have the capabilities of 171.05.4.2.1.5.1.2, 171.05.4.2.1.5.1.3, 171.05.4.2.1.5.1.4 or 171.05.4.2.1.5.1.5, the capabilities prescribed for extended squitter operation (171.05.4.3.1.2.8.6) and the capabilities prescribed for ACAS cross-link operation (171.05.4.3.1.2.8.3 and 171.05.4.3.1.2.8.4). Transponders with these capabilities must be designated with a suffix “e”.

*Note.— For example, a level 4 transponder with extended squitter capability would be designated “level 4e”.*

171.05.4.2.1.5.1.7 *SI capability* — Transponders with the ability to process SI codes must have the capabilities of 171.05.4.2.1.5.1.1, 171.05.4.2.1.5.1.2, 171.05.4.2.1.5.1.3, 171.05.4.2.1.5.1.4 or 171.05.4.2.1.5.1.5 and also those prescribed for SI code operation (171.05.4.3.1.2.3.2.1.4, 171.05.4.3.1.2.5.2.1, 171.05.4.3.1.2.6.1.3, 171.05.4.3.1.2.6.1.4.1, 171.05.4.3.1.2.6.9.1.1 and 171.05.4.3.1.2.6.9.2). Transponders with this capability must be designated with a suffix “s”.

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*Note.— For example, a level 4 transponder with extended squitter capability and SI capability would be designated “level 4es”.*

171.05.4.2.1.5.1.7.1 SI code capability must be provided in accordance with the provisions of 171.05.4.2.1.5.1.7 for all Mode S transponders installed on or after 1 January 2003 and by all Mode S transponders by 1 January 2005.

*Note.— Mandates from certain States may require applicability in advance of these dates.*

171.05.4.2.1.5.1.8 *Extended squitter non-transponder devices.* Devices that are capable of broadcasting extended squitters that are not part of a Mode S transponder must conform to all of the 1 090 MHz RF signals in space requirements specified for a Mode S transponder, except for transmit power levels for the identified equipment class as specified in 171.05.4.5.1.1.


171.05.4.2.1.5.2 All Mode S transponders used by international civil air traffic must conform, at least, to the requirements of Level 2 prescribed in 171.05.4.2.1.5.1.2.

*Note 1.— Level 1 may be admitted for use within an individual State or within the terms of a regional air navigation agreement. The Mode S Level 1 transponder comprises the minimum set of features for compatible operation of Mode S transponders with SSR Mode S interrogators. It is defined to prevent a proliferation of transponder types below Level 2 which would be incompatible with SSR Mode S interrogators.*

*Note 2.— The intent of the requirement for a Level 2 capability is to ensure the widespread use of an ICAO standard transponder capability to allow worldwide planning of Mode S ground facilities and services. The requirement also discourages an initial installation with Level 1 transponders that would be rendered obsolete by later requirements in certain airspace for mandatory carriage of transponders having Level 2 capabilities.*

171.05.4.2.1.5.3 Mode S transponders installed on aircraft with gross mass in excess of 5 700 kg or a maximum cruising true airspeed capability in excess of 463 km/h (250 kt) must operate with antenna diversity as prescribed in 171.05.4.3.1.2.10.4 if:

a) the aircraft individual certificate of airworthiness is first issued on or after 1 January 1990; or

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b) Mode S transponder carriage is required on the basis of regional air navigation agreement in accordance with 171.05.4.2.1.3.3.1 and 171.05.4.2.1.3.3.2.

*Note.* — Aircraft with maximum cruising true airspeed exceeding 324 km/h (175 kt) are required to operate with a peak power of not less than 21.0 dBW as specified in 171.05.4.3.1.2.10.2 c).

#### **171.05.4.2.1.5.4 Capability Reporting In Mode S Squitters**

171.05.4.2.1.5.4.1 Capability reporting in Mode S acquisition squitters (unsolicited downlink transmissions) must be provided in accordance with the provisions of 171.05.4.3.1.2.8.5.1 for all Mode S transponders installed on or after 1 January 1995.

171.05.4.2.1.5.4.2 **Recommendation.** — *Transponders equipped for extended squitter operation may have a means to disable acquisition squitters when extended squitters are being emitted.*

*Note.* — *This will facilitate the suppression of acquisition squitters if all ACAS units have been converted to receive the extended squitter.*

#### **171.05.4.2.1.5.5 Extended Length Message (Elm) Transmit Power**


In order to facilitate the conversion of existing Mode S transponders to include full Mode S capability, transponders originally manufactured before 1 January 1999 must be permitted to transmit a burst of 16 ELM segments at a minimum power level of 20 dBW.

*Note.* — *This represents a 1 dB relaxation from the power requirement specified in 171.05.4.3.1.2.10.2.*

#### **171.05.4.2.1.6 SSR Mode S address (aircraft address)**

The SSR Mode S address must be one of 16 777 214 twenty-four-bit aircraft addresses allocated by ICAO to Namibia Registry or common mark registering authority and assigned as prescribed in 3.1.2.4.1.2.3.1.1 and the Appendix to NAM-CATS-ATEL, Subpart 171.05.3, Chapter 9, Part I.

#### **171.05.4.2.1.7 Transponder occupancy**

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*Note.*— See Appendix M of the Aeronautical Surveillance Manual (Doc 9924) for guidance on consistent modelling of transponder occupancy.

#### 171.05.4.2.2 HUMAN FACTORS CONSIDERATIONS

**Recommendation.**— *Human Factors principles may be observed in the design and certification of surveillance radar, transponder and collision avoidance systems.*

*Note.*— *Guidance material on Human Factors principles can be found in Doc 9683, Human Factors Training Manual and Circular 249 (Human Factors Digest No. 11 — Human Factors in CNS/ATM Systems).*

##### 171.05.4.2.2.1 Operation of controls


171.05.4.2.2.1.1 Transponder controls which are not intended to be operated in flight must not be directly accessible to the flight crew.

171.05.4.2.2.1.2 **Recommendation.**— *The operation of transponder controls, intended for use during flight, may be evaluated to ensure they are logical and tolerant to human error. In particular, where transponder functions are integrated with other system controls, the manufacturer may ensure that unintentional transponder mode switching (i.e. an operational state to ‘STANDBY’ or ‘OFF’) is minimized.*

*Note.*— *This may take the form of a confirmation of mode switching, required by the flight crew. Typically ‘Line Select’ Keys, ‘Touch Screen’ or ‘Cursor Controlled/Tracker-ball’ methods used to change transponder modes may be carefully designed to minimize flight crew error.*

171.05.4.2.2.1.3 **Recommendation.**— *The flight crew may have access at all times to the information of the operational state of the transponder.*

*Note.*— *Information on the monitoring of the operational state of the transponder is provided in RTCA DO-181 E, Minimum Operational Performance Standards for Air Traffic Control Radar Beacon System/ Mode Select (ATCRBS/Mode S) Airborne Equipment, and in EUROCAE ED-73E, Minimum Operational Performance Specification for Secondary Surveillance Radar Mode S Transponders.*

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### 171.05.4.3. SURVEILLANCE SYSTEMS

#### 171.05.4.3.1 SECONDARY SURVEILLANCE RADAR (SSR) SYSTEM CHARACTERISTICS

*Note 1.— Section 171.05.4.3.1.1 prescribes the technical characteristics of SSR systems having only Mode A and Mode C capabilities. Section 3.1.2 prescribes the characteristics of systems with Mode S capabilities. Chapter 5 prescribes additional requirements on Mode S extended squitters.*

*Note 2.— Systems using Mode S capabilities are generally used for air traffic control surveillance systems. In addition, certain ATC applications may use Mode S emitters, e.g. for vehicle surface surveillance or for fixed target detection on surveillance systems. Under such specific conditions, the term “aircraft” can be understood as “aircraft or vehicle (A/V)”. While those applications may use a limited set of data, any deviation from standard physical characteristics must be considered very carefully by the appropriate authorities. They must take into account not only their own surveillance (SSR) environment but also possible effects on other systems like ACAS.*


*Note 3.— Non-Standard-International alternative units are used as permitted by NAM-CATS-A TEL, Subpart 171.05.5, Chapter 3, 3.2.2.*

##### 171.05.4.3.1.1 Systems having only Mode A and Mode C capabilities

*Note 1.— In this section, SSR modes are designated by letters A and C. Suffix letters, e.g. A<sub>2</sub>, C<sub>4</sub>, are used to designate the individual pulses used in the air-to-ground pulse trains. This common use of letters is not to be construed as implying any particular association of modes and codes.*

*Note 2.— Provisions for the recording and retention of radar data are contained in NAM-CATS-ATS, Part 172*

##### 171.05.4.3.1.1.1 Interrogation And Control (Interrogation Side-Lobe Suppression) Radio Frequencies (Ground-To-Air)

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171.05.4.3.1.1.1.1 The carrier frequency of the interrogation and control transmissions must be 1 030 MHz.

171.05.4.3.1.1.1.2 The frequency tolerance must be plus or minus 0.2 MHz.

171.05.4.3.1.1.1.3 The carrier frequencies of the control transmission and of each of the interrogation pulse transmissions must not differ from each other by more than 0.2 MHz.

**171.05.4.3.1.1.2 Reply Carrier Frequency (Air-To-Ground)**

171.05.4.3.1.1.2.1 The carrier frequency of the reply transmission must be 1 090 MHz.

171.05.4.3.1.1.2.2 The frequency tolerance must be plus or minus 3 MHz.

**171.05.4.3.1.1.3 Polarization**

Polarization of the interrogation, control and reply transmissions must be predominantly vertical.

**171.05.4.3.1.1.4 Interrogation Modes (Signals-In-Space)**

171.05.4.3.1.1.4.1 The interrogation must consist of two transmitted pulses designated  $P_1$  and  $P_3$ . A control pulse  $P_2$  must be transmitted following the first interrogation pulse  $P_1$ .


171.05.4.3.1.1.4.2 Interrogation Modes A and C must be as defined in 171.05.4.3.1.1.4.3.

171.05.4.3.1.1.4.3 The interval between  $P_1$  and  $P_3$  must determine the mode of interrogation and must be as follows :

Mode A  $8 \pm 0.2$  microseconds

Mode C  $21 \pm 0.2$  microseconds

171.05.4.3.1.1.4.4 The interval between  $P_1$  and  $P_2$  must be 2.0 plus or minus 0.15 microseconds.

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171.05.4.3.1.1.4.5 The duration of pulses  $P_1$ ,  $P_2$  and  $P_3$  must be 0.8 plus or minus 0.1 microsecond.

171.05.4.3.1.1.4.6 The rise time of pulses  $P_1$ ,  $P_2$  and  $P_3$  must be between 0.05 and 0.1 microsecond.

*Note 1.— The definitions are contained in Figure 3-1 “Definitions of secondary surveillance radar waveform shapes, intervals and the reference point for sensitivity and power”.*

*Note 2.— The intent of the lower limit of rise time (0.05 microsecond) is to reduce sideband radiation. Equipment will meet this requirement if the sideband radiation is no greater than that which, theoretically, would be produced by a trapezoidal wave having the stated rise time.*

171.05.4.3.1.1.4.7 The decay time of pulses  $P_1$ ,  $P_2$  and  $P_3$  must be between 0.05 and 0.2 microsecond.

*Note.— The intent of the lower limit of decay time (0.05 microsecond) is to reduce sideband radiation. Equipment will meet this requirement if the sideband radiation is no greater than that which, theoretically, would be produced by a trapezoidal wave having the stated decay time.*

**171.05.4.3.1.1.5 Interrogator And Control Transmission Characteristics (Interrogation Side-Lobe Suppression — Signals-In-Space)**

171.05.4.3.1.1.5.1 The radiated amplitude of  $P_2$  at the antenna of the transponder must be:

- a) equal to or greater than the radiated amplitude of  $P_1$  from the side-lobe transmissions of the antenna radiating  $P_1$ ; and
- b) at a level lower than 9 dB below the radiated amplitude of  $P_1$ , within the desired arc of interrogation.

171.05.4.3.1.1.5.2 Within the desired beam width of the directional interrogation (main lobe), the radiated amplitude of  $P_3$  must be within 1 dB of the radiated amplitude of  $P_1$ .

**171.05.4.3.1.1.6 Reply Transmission Characteristics (Signals-In-Space)**

171.05.4.3.1.1.6.1 *Framing pulses.* The reply function must employ a signal comprising two framing pulses spaced 20.3 microseconds as the most elementary code.

**171.05.4.3.1.1.6.2 Information Pulses**

171.05.4.3.1.1.6.2.1 Information pulses must be spaced in increments of 1.45 microseconds from the first framing pulse. The designation and position of these information pulses must be as follows:

<i>Pulses</i>	<i>Position (microseconds)</i>
C <sub>1</sub>	1.45
A <sub>1</sub>	2.90
C <sub>2</sub>	4.35
A <sub>2</sub>	5.80
C <sub>4</sub>	7.25
A <sub>4</sub>	8.70
X	10.15
B <sub>1</sub>	11.60
D <sub>1</sub>	13.05
B <sub>2</sub>	14.50
D <sub>2</sub>	15.95
B <sub>4</sub>	17.40
D <sub>4</sub>	18.85

*Note.* — The Standard relating to the use of these pulses is given in 171.05.4.2.1.4.1. Information on the “X” pulse is contained in the Aeronautical Surveillance Manual (Doc 9924).

171.05.4.3.1.1.6.2.2 The position of the X pulse must not be used in replies to Mode A or Mode C interrogations if the safe operation of surveillance systems cannot be maintained.

171.05.4.3.1.1.6.2.3 **Recommendation.**— *Utilization of the X pulse for special applications may be carried out in conformance with a procedure established by the State to ensure compatibility of all systems.*

171.05.4.3.1.1.6.3 *Special position identification pulse (SPI).* In addition to the information pulses provided, a special position identification pulse must be transmitted but only as a result of manual (pilot) selection. When transmitted, it must be spaced at an interval of 4.35 microseconds following the last framing pulse of Mode A replies only.

171.05.4.3.1.1.6.4 *Reply pulse shape.* All reply pulses must have a pulse duration of 0.45 plus or minus 0.1 microsecond, a pulse rise time between 0.05 and 0.1 microsecond and a pulse decay time between 0.05 and 0.2 microsecond. The pulse amplitude variation of one pulse with respect to any other pulse in a reply train must not exceed 1 dB.

*Note.— The intent of the lower limit of rise and decay times (0.05 microsecond) is to reduce sideband radiation. Equipment will meet this requirement if the sideband radiation is not greater than that which, theoretically, would be produced by a trapezoidal wave having the stated rise and decay times.*

171.05.4.3.1.1.6.5 *Reply pulse position tolerances.* The pulse spacing tolerance for each pulse (including the last framing pulse) with respect to the first framing pulse of the reply group must be plus or minus 0.10 microsecond. The pulse interval tolerance of the special position identification pulse with respect to the last framing pulse of the reply group must be plus or minus 0.10 microsecond. The pulse spacing tolerance of any pulse in the reply group with respect to any other pulse (except the first framing pulse) must not exceed plus or minus 0.15 microsecond.


171.05.4.3.1.1.6.6 *Code nomenclature.* The code designation must consist of digits between 0 and 7 inclusive, and must consist of the sum of the subscripts of the pulse numbers given in 171.05.4.3.1.1.6.2 above, employed as follows:

<i>Digit</i>	<i>Pulse Group</i>
First (most significant)	A
Second	B
Third	C
Fourth	D

171.05.4.3.1.1.7 **Technical Characteristics Of Transponders With Mode A And Mode C Capabilities Only**

171.05.4.3.1.1.7.1 *Reply.* The transponder must reply (not less than 90 per cent triggering) when all of the following conditions have been met:

- a) the received amplitude of  $P_3$  is in excess of a level 1 dB below the received amplitude of  $P_1$  but no greater than 3 dB above the received amplitude of  $P_1$ ;
- b) either no pulse is received in the interval 1.3 microseconds to 2.7 microseconds after  $P_1$ , or  $P_1$  exceeds by more than 9 dB any pulse received in this interval;

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c) the received amplitude of a proper interrogation is more than 10 dB above the received amplitude of random pulses where the latter are not recognized by the transponder as  $P_1$ ,  $P_2$  or  $P_3$ .

171.05.4.3.1.1.7.2 The transponder must not reply under the following conditions:

- a) to interrogations when the interval between pulses  $P_1$  and  $P_3$  differs from those specified in 171.05.4.3.1.1.4.3 by more than plus or minus 1.0 microsecond;
- b) upon receipt of any single pulse which has no amplitude variations approximating a normal interrogation condition.

171.05.4.3.1.1.7.3 *Dead time.* After recognition of a proper interrogation, the transponder must not reply to any other interrogation, at least for the duration of the reply pulse train. This dead time must end no later than 125 microseconds after the transmission of the last reply pulse of the group.

**171.05.4.3.1.1.7.4 Suppression**


*Note.* — This characteristic is used to prevent replies to interrogations received via the side lobes of the interrogator antenna, and to prevent Mode A/C transponders from replying to Mode S interrogations.

171.05.4.3.1.1.7.4.1 The transponder must be suppressed when the received amplitude of  $P_2$  is equal to, or in excess of, the received amplitude of  $P_1$  and spaced 2.0 plus or minus 0.15 microseconds. The detection of  $P_3$  is not required as a prerequisite for initiation of suppression action.

171.05.4.3.1.1.7.4.2 The transponder suppression must be for a period of 35 plus or minus 10 microseconds.

171.05.4.3.1.1.7.4.2.1 The suppression must be capable of being reinitiated for the full duration within 2 microseconds after the end of any suppression period.

**171.05.4.3.1.1.7.4.3 Suppression in presence of  $S_1$  pulse**

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*Note.— The  $S_1$  pulse is used in a technique employed by ACAS known as “whisper-shout” to facilitate ACAS surveillance of Mode A/C aircraft in higher traffic densities. The whisper-shout technique is explained in the Airborne Collision Avoidance System (ACAS) Manual (Doc 9863).*

When an  $S_1$  pulse is detected 2.0 plus or minus 0.15 microseconds before the  $P_1$  of a Mode A or Mode C interrogation:

- a) with  $S_1$  and  $P_1$  above MTL, the transponder must be suppressed as specified in 171.05.4.3.1.1.7.4.1;
- b) with  $P_1$  at MTL and  $S_1$  at MTL, the transponder must be suppressed and must reply to no more than 10 per cent of Mode A/C interrogations;
- c) with  $P_1$  at MTL and  $S_1$  at MTL –3 dB, the transponder must reply to Mode A/C interrogations at least 70 per cent of the time; and
- d) with  $P_1$  at MTL and  $S_1$  at MTL –6 dB, the transponder must reply to Mode A/C interrogations at least 90 per cent of the time.

*Note 1.— The suppression action is because of the detection of  $S_1$  and  $P_1$  and does not require detection of a  $P_2$  or  $P_3$  pulse.*


*Note 2.—  $S_1$  has a lower amplitude than  $P_1$ . Certain ACAS use this mechanism to improve target detection (171.05.4.4.3.7.1).*

*Note 3.— These requirements also apply to a Mode A/C only capable transponder when an  $S_1$  precedes an intermode interrogation (171.05.4.2.1.2.1).*

#### **171.05.4.3.1.1.7.5 Receiver Sensitivity And Dynamic Range**

171.05.4.3.1.1.7.5.1 The minimum triggering level of the transponder must be such that replies are generated to at least 90 per cent of the interrogation signals when:

- a) the two pulses  $P_1$  and  $P_3$  constituting an interrogation are of equal amplitude and  $P_2$  is not detected; and

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b) the amplitude of these signals is nominally 71 dB below 1 mW, with limits between 69 dB and 77 dB below 1 mW.

171.05.4.3.1.1.7.5.2 The reply and suppression characteristics must apply over a received amplitude of  $P_1$  between minimum triggering level and 50 dB above that level.

171.05.4.3.1.1.7.5.3 The variation of the minimum triggering level between modes must not exceed 1 dB for nominal pulse spacings and pulse widths.


171.05.4.3.1.1.7.6 *Pulse duration discrimination.* Signals of received amplitude between minimum triggering level and 6 dB above this level, and of a duration less than 0.3 microsecond, must not cause the transponder to initiate reply or suppression action. With the exception of single pulses with amplitude variations approximating an interrogation, any single pulse of a duration more than 1.5 microseconds must not cause the transponder to initiate reply or suppression action over the signal amplitude range of minimum triggering level (MTL) to 50 dB above that level.

171.05.4.3.1.1.7.7 *Echo suppression and recovery.* The transponder must contain an echo suppression facility designed to permit normal operation in the presence of echoes of signals-in-space. The provision of this facility must be compatible with the requirements for suppression of side lobes given in 171.05.4.3.1.1.7.4.1.

171.05.4.3.1.1.7.7.1 *Desensitization.* Upon receipt of any pulse more than 0.7 microsecond in duration, the receiver must be desensitized by an amount that is within at least 9 dB of the amplitude of the desensitizing pulse but must at no time exceed the amplitude of the desensitizing pulse, with the exception of possible overshoot during the first microsecond following the desensitizing pulse.

*Note.— Single pulses of duration less than 0.7 microsecond are not required to cause the specified desensitization nor to cause desensitization of duration greater than permitted by 171.05.4.3.1.1.7.7.1 and 171.05.4.3.1.1.7.7.2.*

171.05.4.3.1.1.7.7.2 *Recovery.* Following desensitization, the receiver must recover sensitivity (within 3 dB of minimum triggering level) within 15 microseconds after reception of a desensitizing pulse having a signal strength up to 50 dB above minimum triggering level. Recovery must be at an average rate not exceeding 4.0 dB per microsecond.

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171.05.4.3.1.1.7.8 *Random triggering rate.* In the absence of valid interrogation signals, Mode A/C transponders must not generate more than 30 unwanted Mode A or Mode C replies per second as integrated over an interval equivalent to at least 300 random triggers, or 30 seconds, whichever is less. This random triggering rate must not be exceeded when all possible interfering equipments installed in the same aircraft are operating at maximum interference levels.


171.05.4.3.1.1.7.8.1 *Random triggering rate in the presence of low-level in-band continuous wave (CW) interference.* The total random trigger rate on all Mode A and/or Mode C replies must not be greater than 10 reply pulse groups or suppressions per second, averaged over a period of 30 seconds, when operated in the presence of non-coherent CW interference at a frequency of 1 030 ±0.2 MHz and a signal level of –60 dBm or less.

**171.05.4.3.1.1.7.9 Reply Rate**

171.05.4.3.1.1.7.9.1 All transponders must be capable of continuously generating at least 500 replies per second for a 15-pulse coded reply. Transponder installations used solely below 4 500 m (15 000 ft), or below a lesser altitude established by the appropriate authority or by regional air navigation agreement, and in aircraft with a maximum cruising true airspeed not exceeding 175 kt (324 km/h) must be capable of generating at least 1 000 15-pulse coded replies per second for a duration of 100 milliseconds. Transponder installations operated above 4 500 m (15 000 ft) or in aircraft with a maximum cruising true airspeed in excess of 175 kt (324 km/h), must be capable of generating at least 1 200 15-pulse coded replies per second for a duration of 100 milliseconds.

*Note 1.— A 15-pulse reply includes 2 framing pulses, 12 information pulses, and the SPI pulse.*

*Note 2.— The reply rate requirement of 500 replies per second establishes the minimum continuous reply rate capability of the transponder. As per the altitude and speed criteria above, the 100 or 120 replies in a 100-millisecond interval defines the peak capability of the transponder. The transponder must be capable of replying to this short-term burst rate, even though the transponder may not be capable of sustaining this rate. If the transponder is subjected to interrogation rates beyond its reply rate capability, the reply rate limit control of 171.05.4.3.1.1.7.9.2 acts to gracefully desensitize the transponder in a manner that favours closer interrogators. Desensitization eliminates weaker interrogation signals.*

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171.05.4.3.1.1.7.9.2 *Reply rate limit control.* To protect the system from the effects of transponder over-interrogation by preventing response to weaker signals when a predetermined reply rate has been reached, a sensitivity reduction type reply limit control must be incorporated in the equipment. The range of this control must permit adjustment, as a minimum, to any value between 500 and 2 000 replies per second, or to the maximum reply rate capability if less than 2 000 replies per second, without regard to the number of pulses in each reply. Sensitivity reduction in excess of 3 dB must not take effect until 90 per cent of the selected value is exceeded. Sensitivity reduction must be at least 30 dB for rates in excess of 150 per cent of the selected value.

171.05.4.3.1.1.7.10 *Reply delay and jitter.* The time delay between the arrival, at the transponder receiver, of the leading edge of  $P_3$  and the transmission of the leading edge of the first pulse of the reply must be 3 plus or minus 0.5 microseconds. The total jitter of the reply pulse code group, with respect to  $P_3$ , must not exceed 0.1 microsecond for receiver input levels between 3 dB and 50 dB above minimum triggering level. Delay variations between modes on which the transponder is capable of replying must not exceed 0.2 microsecond.


#### **171.05.4.3.1.1.7.11 Transponder Power Output And Duty Cycle**

171.05.4.3.1.1.7.11.1 The peak pulse power available at the antenna end of the transmission line of the transponder must be at least 21 dB and not more than 27 dB above 1 W, except that for transponder installations used solely below 4 500 m (15 000 ft), or below a lesser altitude established by the appropriate authority or by regional air navigation agreement, a peak pulse power available at the antenna end of the transmission line of the transponder of at least 18.5 dB and not more than 27 dB above 1 W must be permitted.

*Note.— An extended squitter non-transponder device on an aerodrome surface vehicle may operate with a lower minimum power output as specified in 171.05.4.5.1.1.2.*

171.05.4.3.1.1.7.11.2 **Recommendation.—** *The peak pulse power specified in 171.05.4.3.1.1.7.11.1 may be maintained over a range of replies from code 0000 at a rate of 400 replies per second to a maximum pulse content at a rate of 1 200 replies per second or a maximum value below 1 200 replies per second of which the transponder is capable.*

#### **171.05.4.3.1.1.7.12 Reply Codes**

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171.05.4.3.1.1.7.12.1 *Identification.* The reply to a Mode A interrogation must consist of the two framing pulses specified in 171.05.4.3.1.1.6.1 together with the information pulses (Mode A code) specified in 171.05.4.3.1.1.6.2.

*Note.* — *The Mode A code designation is a sequence of four digits in accordance with 171.05.4.3.1.1.6.6.*

171.05.4.3.1.1.7.12.1.1 The Mode A code must be manually selected from the 4 096 codes available.

171.05.4.3.1.1.7.12.2 *Pressure-altitude transmission.* The reply to Mode C interrogation must consist of the two framing pulses specified in 171.05.4.3.1.1.6.1 above. When digitized pressure-altitude information is available, the information pulses specified in 171.05.4.3.1.1.6.2 must also be transmitted.

171.05.4.3.1.1.7.12.2.1 Transponders must be provided with means to remove the information pulses but to retain the framing pulses when the provision of 171.05.4.3.1.1.7.12.2.4 below is not complied with in reply to Mode C interrogation.

171.05.4.3.1.1.7.12.2.2 The information pulses must be automatically selected by an analog-to-digital converter connected to a pressure-altitude data source in the aircraft referenced to the standard pressure setting of 1 013.25 hectopascals.


*Note.* — *The pressure setting of 1 013.25 hectopascals is equal to 29.92 inches of mercury.*

171.05.4.3.1.1.7.12.2.3 Pressure-altitude must be reported in 100-ft increments by selection of pulses as shown in the Appendix to this chapter.

171.05.4.3.1.1.7.12.2.4 The digitizer code selected must correspond to within plus or minus 38.1 m (125 ft), on a 95 per cent probability basis, with the pressure-altitude information (referenced to the standard pressure setting of 1 013.25 hectopascals), used on board the aircraft to adhere to the assigned flight profile.

171.05.4.3.1.1.7.13 *Transmission of the special position identification (SPI) pulse.* When required, this pulse must be transmitted with Mode A replies, as specified in 171.05.4.3.1.1.6.3, for a period of between 15 and 30 seconds.

**171.05.4.3.1.1.7.14 Antenna**

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171.05.4.3.1.1.7.14.1 The transponder antenna system, when installed on an aircraft, must have a radiation pattern which is essentially omnidirectional in the horizontal plane.

171.05.4.3.1.1.7.14.2 **Recommendation.**— *The vertical radiation pattern may be nominally equivalent to that of a quarterwave monopole on a ground plane.*

**171.05.4.3.1.1.8 Technical Characteristics Of Ground Interrogators With Mode A And Mode C Capabilities Only**

171.05.4.3.1.1.8.1 *Interrogation repetition frequency.* The maximum interrogation repetition frequency must be 450 interrogations per second.

171.05.4.3.1.1.8.1.1 **Recommendation.**— *To minimize unnecessary transponder triggering and the resulting high density of mutual interference, all interrogators may use the lowest practicable interrogator repetition frequency that is consistent with the display characteristics, interrogator antenna beam width and antenna rotation speed employed.*

**171.05.4.3.1.1.8.2 Radiated Power**


**Recommendation.**— *In order to minimize system interference the effective radiated power of interrogators may be reduced to the lowest value consistent with the operationally required range of each individual interrogator site.*

171.05.4.3.1.1.8.3 **Recommendation.**— *When Mode C information is to be used from aircraft flying below transition levels, the altimeter pressure reference datum may be taken into account.*

*Note.*— *Use of Mode C below transition levels is in accordance with the philosophy that Mode C can usefully be employed in all environments.*

**171.05.4.3.1.1.9 Interrogator Radiated Field Pattern**

**Recommendation.**— *The beam width of the directional interrogator antenna radiating  $P_3$  may not be wider than is operationally required. The side- and back-lobe radiation of the directional antenna may be at least 24 dB below the peak of the main-lobe radiation.*

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#### 171.05.4.3.1.1.10 Interrogator Monitor

171.05.4.3.1.1.10.1 The range and azimuth accuracy of the ground interrogator must be monitored at sufficiently frequent intervals to ensure system integrity.

*Note.— Interrogators that are associated with and operated in conjunction with primary radar may use the primary radar as the monitoring device; alternatively, an electronic range and azimuth accuracy monitor would be required.*

171.05.4.3.1.1.10.2 **Recommendation.**— *In addition to range and azimuth monitoring, provision may be made to monitor continuously the other critical parameters of the ground interrogator for any degradation of performance exceeding the allowable system tolerances and to provide an indication of any such occurrence.*

#### 171.05.4.3.1.1.11 Spurious Emissions And Spurious Responses

##### 171.05.4.3.1.1.11.1 Spurious Radiation

**Recommendation.**— *CW radiation may not exceed 76 dB below 1 W for the interrogator and 70 dB below 1 W for the transponder.*

##### 171.05.4.3.1.1.11.2 Spurious Responses


**Recommendation.**— *The response of both airborne and ground equipment to signals not within the receiver pass band may be at least 60 dB below normal sensitivity.*

#### 171.05.4.3.1.2 Systems having Mode S capabilities

171.05.4.3.1.2.1 *Interrogation signals-in-space characteristics.* The paragraphs herein describe the signals-in-space as they can be expected to appear at the antenna of the transponder.

*Note.— Because signals can be corrupted in propagation, certain interrogation pulse duration, pulse spacing and pulse amplitude tolerances are more stringent for interrogators as described in 171.05.4.3.1.2.11.4.*

171.05.4.3.1.2.1.1 *Interrogation carrier frequency.* The carrier frequency of all interrogations (uplink transmissions) from ground facilities with Mode S capabilities must be 1 030 plus or minus 0.01

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MHz, except during the phase reversal, while maintaining the spectrum requirements of 171.05.4.3.1.2.1.2.

*Note.* — *During the phase reversal the frequency of the signal may shift by several MHz before returning to the specified value.*

171.05.4.3.1.2.1.2 *Interrogation spectrum.* The spectrum of a Mode S interrogation about the carrier frequency must not exceed the limits specified in Figure 3-2.

*Note.* — *The Mode S interrogation spectrum is data dependent. The broadest spectrum is generated by an interrogation that contains all binary ONEs.*

171.05.4.3.1.2.1.3 *Polarization.* Polarization of the interrogation and control transmissions must be nominally vertical.

171.05.4.3.1.2.1.4 *Modulation.* For Mode S interrogations, the carrier frequency must be pulse modulated. In addition, the data pulse,  $P_6$ , must have internal phase modulation.


171.05.4.3.1.2.1.4.1 *Pulse modulation.* Intermode and Mode S interrogations must consist of a sequence of pulses as specified in 171.05.4.3.1.2.1.5 and Tables 3-1, 3-2, 3-3, and 3-4.

*Note.* — *The 0.8 microsecond pulses used in intermode and Mode S interrogations are identical in shape to those used in Modes A and C as defined in 171.05.4.3.1.1.4.*

171.05.4.3.1.2.1.4.2 *Phase modulation.* The short (16.25-microsecond) and long (30.25-microsecond)  $P_6$  pulses of 171.05.4.3.1.2.1.4.1 must have internal binary differential phase modulation consisting of 180-degree phase reversals of the carrier at a 4 megabit per second rate.

171.05.4.3.1.2.1.4.2.1 *Phase reversal duration.* The duration of the phase reversal must be less than 0.08 microsecond and the phase must advance (or retard) monotonically throughout the transition region. There must be no amplitude modulation applied during the phase transition.

*Note 1.* — *The minimum duration of the phase reversal is not specified. Nonetheless, the spectrum requirements of 171.05.4.3.1.2.1.2 must be met.*

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*Note 2.— The phase reversal can be generated using different methods. This includes hard keying with strong amplitude drop and rapid phase reversal or other techniques with little or no amplitude drop, but with frequency shift during the phase reversal and slow phase reversal (80ns). A demodulator cannot make any assumption on the type of modulation technology used and therefore cannot rely on the specificities of the signal during the phase reversal to detect a phase reversal.*

171.05.4.3.1.2.1.4.2.2 *Phase relationship.* The tolerance on the 0 and 180-degree phase relationship between successive chips and on the sync phase reversal (171.05.4.3.1.2.1.5.2.2) within the  $P_6$  pulse must be plus or minus 5 degrees.


*Note.— In Mode S a “chip” is the 0.25 microsecond carrier interval between possible data phase reversals.*

171.05.4.3.1.2.1.5 *Pulse and phase reversal sequences.* Specific sequences of the pulses or phase reversals described in 171.05.4.3.1.2.1.4 must constitute interrogations.

**171.05.4.3.1.2.1.5.1 Intermode interrogation**

171.05.4.3.1.2.1.5.1.1 *Mode A/C/S all-call interrogation.* This interrogation must consist of three pulses:  $P_1$ ,  $P_3$ , and the long  $P_4$  as shown in Figure 3-3. One or two control pulses ( $P_2$  alone, or  $P_1$  and  $P_2$ ) must be transmitted using a separate antenna pattern to suppress responses from aircraft in the side lobes of the interrogator antenna.

*Note.— The Mode A/C/S all-call interrogation elicits a Mode A or Mode C reply (depending on the  $P_1$ - $P_3$  pulse spacing) from a Mode A/C transponder because it does not recognize the  $P_4$  pulse. A Mode S transponder recognizes the long  $P_4$  pulse and responds with a Mode S reply. This interrogation was originally planned for use by isolated or clustered interrogators. Lockout for this interrogation was based on the use of  $II$  equals 0. The development of the Mode S subnetwork now dictates the use of a non-zero  $II$  code for communication purposes. For this reason,  $II$  equals 0 has been reserved for use in support of a form of Mode S acquisition that uses stochastic/lockout override (171.05.4.3.1.2.5.2.1.4 and 171.05.4.3.1.2.5.2.1.5). The Mode A/C/S allcall cannot be used with full Mode S operation since  $II$  equals 0 can only be locked out for short time periods (171.05.4.3.1.2.5.2.1.5.2.1). This interrogation cannot be used with stochastic/lockout override, since probability of reply cannot be specified.*

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171.05.4.3.1.2.1.5.1.1.1 Mode A/C/S all-call interrogations must not be used on or after 1 January 2020.

*Note 1.— The use of Mode A/C/S all-call interrogations does not allow the use of stochastic lockout override and therefore might not ensure a good probability of acquisition in areas of high density of flights or when other interrogators lockout transponder on  $II=0$  for supplementary acquisition.*

*Note 2.— The replies to Mode A/C/S all-call interrogations will no longer be supported by equipment certified on or after 1 January 2020 in order to reduce the RF pollution generated by the replies triggered by the false detection of Mode A/C/S all-call interrogations within other types of interrogation.*

171.05.4.3.1.2.1.5.1.2 Mode A/C-only all-call interrogation. This interrogation must be identical to that of the Mode A/C/S allcall interrogation except that the short  $P_4$  pulse must be used.


*Note.— The Mode A/C-only all-call interrogation elicits a Mode A or Mode C reply from a Mode A/C transponder. A Mode S transponder recognizes the short  $P_4$  pulse and does not reply to this interrogation.*

171.05.4.3.1.2.1.5.1.3 Pulse intervals. The pulse intervals between  $P_1$ ,  $P_2$  and  $P_3$  must be as defined in 171.05.4.3.1.1.4.3 and 171.05.4.3.1.1.4.4. The pulse interval between  $P_3$  and  $P_4$  must be 2 plus or minus 0.05 microsecond.

171.05.4.3.1.2.1.5.1.4 Pulse amplitudes. Relative amplitudes between pulses  $P_1$ ,  $P_2$  and  $P_3$  must be in accordance with 3.1.1.5. The amplitude of  $P_4$  must be within 1 dB of the amplitude of  $P_3$ .

171.05.4.3.1.2.1.5.2 Mode S interrogation. The Mode S interrogation must consist of three pulses:  $P_1$ ,  $P_2$  and  $P_6$  as shown in Figure 3-4.

*Note.—  $P_6$  is preceded by a  $P_1 - P_2$  pair which suppresses replies from Mode A/C transponders to avoid synchronous garble due to random triggering by the Mode S interrogation. The sync phase reversal within  $P_6$  is the timing mark for demodulation of a series of time intervals (chips) of 0.25 microsecond duration. This series of chips starts 0.5 microsecond after the sync phase reversal and ends 0.5 microsecond before the trailing edge of  $P_6$ . A phase reversal may or may not precede each chip to encode its binary information value.*

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171.05.4.3.1.2.1.5.2.1 *Mode S side-lobe suppression.* The  $P_5$  pulse must be used with the Mode S-only all-call interrogation (UF = 11, see 171.05.4.3.1.2.5.2) to prevent replies from aircraft in the side and back lobes of the antenna (171.05.4.3.1.2.1.5.2.5). When used,  $P_5$  must be transmitted using a separate antenna pattern.

*Note 1.— The action of  $P_5$  is automatic. Its presence, if of sufficient amplitude at the receiving location, masks the sync phase reversal of  $P_6$ .*

*Note 2.— The  $P_5$  pulse may be used with other Mode S interrogations.*


171.05.4.3.1.2.1.5.2.2 *Sync phase reversal.* The first phase reversal in the  $P_6$  pulse must be the sync phase reversal. It must be the timing reference for subsequent transponder operations related to the interrogation.

171.05.4.3.1.2.1.5.2.3 *Data phase reversals.* Each data phase reversal must occur only at a time interval (N times 0.25) plus or minus 0.02 microsecond (N equal to, or greater than 2) after the sync phase reversal. The 16.25-microsecond  $P_6$  pulse must contain at most 56 data phase reversals. The 30.25-microsecond  $P_6$  pulse must contain at most 112 data phase reversals. The last chip, that is the 0.25-microsecond time interval following the last data phase reversal position, must be followed by a 0.5-microsecond guard interval.

*Note.— The 0.5-microsecond guard interval following the last chip prevents the trailing edge of  $P_6$  from interfering with the demodulation process.*

171.05.4.3.1.2.1.5.2.4 *Intervals.* The pulse interval between  $P_1$  and  $P_2$  must be 2 plus or minus 0.05 microsecond. The interval between the leading edge of  $P_2$  and the sync phase reversal of  $P_6$  must be 2.75 plus or minus 0.05 microsecond. The leading edge of  $P_6$  must occur 1.25 plus or minus 0.05 microsecond before the sync phase reversal.  $P_5$ , if transmitted, must be centred over the sync phase reversal; the leading edge of  $P_5$  must occur 0.4 plus or minus 0.05 microsecond before the sync phase reversal.

171.05.4.3.1.2.1.5.2.5 *Pulse amplitudes.* The amplitude of  $P_2$  and the amplitude of the first microsecond of  $P_6$  must be greater than the amplitude of  $P_1$  minus 0.25 dB. Exclusive of the amplitude transients associated with phase reversals, the amplitude variation of  $P_6$  must be less than 1 dB and the amplitude variation between successive chips in  $P_6$  must be less than 0.25 dB. The radiated amplitude of  $P_5$  at the antenna of the transponder must be:

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- a) equal to or greater than the radiated amplitude of  $P_6$  from the side-lobe transmissions of the antenna radiating  $P_6$ ; and
- b) at a level lower than 9 dB below the radiated amplitude of  $P_6$  within the desired arc of interrogation.

#### **171.05.4.3.1.2.2 Reply Signals-In-Space Characteristics**

171.05.4.3.1.2.2.1 *Reply carrier frequency.* The carrier frequency of all replies (downlink transmissions) from transponders with Mode S capabilities must be 1 090 plus or minus 1 MHz.

171.05.4.3.1.2.2.2 *Reply spectrum.* The spectrum of a Mode S reply about the carrier frequency must not exceed the limits specified in Figure 3-5.

171.05.4.3.1.2.2.3 *Polarization.* Polarization of the reply transmissions must be nominally vertical.

171.05.4.3.1.2.2.4 *Modulation.* The Mode S reply must consist of a preamble and a data block. The preamble must be a 4-pulse sequence and the data block must be binary pulse-position modulated at a 1 megabit per second data rate.


171.05.4.3.1.2.2.4.1 *Pulse shapes.* Pulse shapes must be as defined in Table 3-2. All values are in microseconds.

171.05.4.3.1.2.2.5 *Mode S reply.* The Mode S reply must be as shown in Figure 3-6. The data block in Mode S replies must consist of either 56 or 112 information bits.

171.05.4.3.1.2.2.5.1 *Pulse intervals.* All reply pulses must start at a defined multiple of 0.5 microsecond from the first transmitted pulse. The tolerance in all cases must be plus or minus 0.05 microsecond.

171.05.4.3.1.2.2.5.1.1 *Reply preamble.* The preamble must consist of four pulses, each with a duration of 0.5 microsecond. The pulse intervals from the first transmitted pulse to the second, third and fourth transmitted pulses must be 1, 3.5 and 4.5 microseconds, respectively.

171.05.4.3.1.2.2.5.1.2 *Reply data pulses.* The reply data block must begin 8 microseconds after the leading edge of the first transmitted pulse. Either 56 or 112 one-microsecond bit intervals must be assigned to each transmission. A 0.5-microsecond pulse must be transmitted either in the first or in the second half of each interval. When a pulse transmitted in the second half of one interval is

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followed by another pulse transmitted in the first half of the next interval, the two pulses merge and a onemicrosecond pulse must be transmitted.

171.05.4.3.1.2.2.5.2 *Pulse amplitudes.* The pulse amplitude variation between one pulse and any other pulse in a Mode S reply must not exceed 2 dB.

### 171.05.4.3.1.2.3 Mode S Data Structure

#### 171.05.4.3.1.2.3.1 Data Encoding

171.05.4.3.1.2.3.1.1 *Interrogation data.* The interrogation data block must consist of the sequence of 56 or 112 data chips positioned after the data phase reversals within  $P_6$  (171.05.4.3.1.2.1.5.2.3). A 180-degree carrier phase reversal preceding a chip must characterize that chip as a binary ONE. The absence of a preceding phase reversal must denote a binary ZERO.

171.05.4.3.1.2.3.1.2 *Reply data.* The reply data block must consist of 56 or 112 data bits formed by binary pulse position modulation encoding of the reply data as described in 171.05.4.3.1.2.2.5.1.2. A pulse transmitted in the first half of the interval must represent a binary ONE whereas a pulse transmitted in the second half must represent a binary ZERO.


171.05.4.3.1.2.3.1.3 *Bit numbering.* The bits must be numbered in the order of their transmission, beginning with bit 1. Unless otherwise stated, numerical values encoded by groups (fields) of bits must be encoded using positive binary notation and the first bit transmitted must be the most significant bit (MSB). Information must be coded in fields which consist of at least one bit.

*Note.* — In the description of Mode S formats the decimal equivalent of the binary code formed by the bit sequence within a field is used as the designator of the field function or command.

#### 171.05.4.3.1.2.3.2 Formats Of Mode S Interrogations And Replies

*Note.* — A summary of all Mode S interrogation and reply formats is presented in Figures 3-7 and 3-8. A summary of all fields appearing in uplink and downlink formats is given in Table 3-3 and a summary of all subfields is given in Table 3-4.

171.05.4.3.1.2.3.2.1 *Essential fields.* Every Mode S transmission must contain two essential fields. One is a descriptor which must uniquely define the format of the transmission. This must appear at the

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beginning of the transmission for all formats. The descriptors are designated by the UF (uplink format) or DF (downlink format) fields. The second essential field must be a 24-bit field appearing at the end of each transmission and must contain parity information. In all uplink and in currently defined downlink formats parity information must be overlaid either on the aircraft address (171.05.4.3.1.2.4.1.2.3.1) or on the interrogator identifier according to 171.05.4.3.1.2.3.3.2. The designators are AP (address/parity) or PI (parity/interrogator identifier).

*Note.* — The remaining coding space is used to transmit the mission fields. For specific functions, a specific set of mission fields is prescribed. Mode S mission fields have two-letter designators. Subfields may appear within mission fields. Mode S subfields are labelled with three-letter designators.

171.05.4.3.1.2.3.2.1.1 *UF: Uplink format.* This uplink format field (5 bits long except in format 24 where it is 2 bits long) must serve as the uplink format descriptor in all Mode S interrogations and must be coded according to Figure 3-7.

171.05.4.3.1.2.3.2.1.2 *DF: Downlink format.* This downlink format field (5 bits long except in format 24 where it is 2 bits long) must serve as the downlink format descriptor in all Mode S replies and must be coded according to Figure 3-8.

171.05.4.3.1.2.3.2.1.3 *AP: Address/parity.* This 24-bit (33-56 or 89-112) field must appear in all uplink and currently defined downlink formats except the Mode S-only all-call reply, DF = 11. The field must contain parity overlaid on the aircraft address according to 171.05.4.3.1.2.3.3.2.

171.05.4.3.1.2.3.2.1.4 *PI: Parity/interrogator identifier.* This 24-bit (33-56) or (89-112) downlink field must have parity overlaid on the interrogator's identity code according to 171.05.4.3.1.2.3.3.2 and must appear in the Mode S all-call reply, DF = 11 and in the extended squitter, DF = 17 or DF = 18. If the reply is made in response to a Mode A/C/S all-call, a Mode S-only allcall with CL field (171.05.4.3.1.2.5.2.1.3) and IC field (171.05.4.3.1.2.5.2.1.2) equal to 0, or is an acquisition or an extended squitter (171.05.4.3.1.2.8.5, 171.05.4.3.1.2.8.6 or 171.05.4.3.1.2.8.7), the II and the SI codes must be 0.

171.05.4.3.1.2.3.2.1.5 *DP: Data parity.* This 24-bit (89-112) downlink field must contain the parity overlaid on a "Modified AA" field which is established by performing a modulo-2 summation (e.g. Exclusive-Or function) of the discrete address most significant 8 bits and BDS1, BDS2, where BDS1

(171.05.4.3.1.2.6.11.2.2) and BDS2 (171.05.4.3.1.2.6.11.2.3) are provided by the “RR” (171.05.4.3.1.2.6.1.2) and “RRS” (171.05.4.3.1.2.6.1.4.1) as specified in 171.05.4.3.1.2.6.11.2.2 and 171.05.4.3.1.2.6.11.2.3.

Example:

Discrete address	=	AA AA AA Hex	=	1010	1010	1010	1010	1010	1010
BDS1, BDS2	=	5F 00 00 Hex	=	0101	1111	0000	0000	0000	0000
Discrete address	⊕	BDS1, BDS2 Hex	=	1111	0101	1010	1010	1010	1010
“Modified AA”	=	F5 AA AA Hex	=	1111	0101	1010	1010	1010	1010

where “⊕” prescribes modulo-2 addition

The resulting “Modified AA” field then represents the 24-bit sequence (a1, a2...a24) that must be used to generate the DP field in accordance with paragraph 171.05.4.3.1.2.3.3.2.

The DP field must be used in DF=20 and DF=21 replies if the transponder is capable of supporting the DP field and if the overlay control (OVC - 171.05.4.3.1.2.6.1.4.1.i)) bit is set to one (1) in the interrogation requesting downlink of GICB registers.


171.05.4.3.1.2.3.2.2 *Unassigned coding space.* Unassigned coding space must contain all ZEROs as transmitted by interrogators and transponders.

*Note.— Certain coding space indicated as unassigned in this section is reserved for other applications such as ACAS, data link, etc.*

171.05.4.3.1.2.3.2.3 *Zero and unassigned codes.* A zero code assignment in all defined fields must indicate that no action is required by the field. In addition, codes not assigned within the fields must indicate that no action is required.

*Note.— The provisions of 171.05.4.3.1.2.3.2.2 and 171.05.4.3.1.2.3.2.3 ensure that future assignments of previously unassigned coding space will not result in ambiguity. That is, Mode S equipment in which the new coding has not been implemented will clearly indicate that no information is being transmitted in newly assigned coding space.*

171.05.4.3.1.2.3.2.4 *Formats reserved for military use.* Namibia must ensure that uplink formats are only used for selectively addressed interrogations and that transmissions of uplink or downlink formats

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do not exceed the RF power, interrogation rate, reply rate and squitter rate requirements of Annex 10.

171.05.4.3.1.2.3.2.4.1 **Recommendation.**— *Through investigation and validation, States may ensure that military applications do not unduly affect the existing 1 030/1 090 MHz civil aviation operations environment.*

**171.05.4.3.1.2.3.3 Error Protection**

171.05.4.3.1.2.3.3.1 *Technique.* Parity check coding must be used within Mode S interrogations and replies to provide protection against the occurrence of errors.

171.05.4.3.1.2.3.3.1.1 *Parity check sequence.* A sequence of 24 parity check bits must be generated by the rule described in 171.05.4.3.1.2.3.3.1.2 and must be incorporated into the field formed by the last 24 bits of all Mode S transmissions. The 24 parity check bits must be combined with either the address coding or the interrogator identifier coding as described in 171.05.4.3.1.2.3.3.2. The resulting combination then forms either the AP (address/parity, 171.05.4.3.1.2.3.2.1.3) field or the PI (parity/interrogator identifier, 171.05.4.3.1.2.3.2.1.4) field.

171.05.4.3.1.2.3.3.1.2 *Parity check sequence generation.* The sequence of 24 parity bits ( $p_1, p_2, \dots, p_{24}$ ) must be generated from the sequence of information bits ( $m_1, m_2, \dots, m_k$ ) where k is 32 or 88 for short or long transmissions respectively. This must be done by means of a code generated by the polynomial:


$$G(x) = 1 + x^3 + x^{10} + x^{12} + x^{13} + x^{14} + x^{15} + x^{16} + x^{17} + x^{18} + x^{19} + x^{20} + x^{21} + x^{22} + x^{23} + x^{24}$$

When by the application of binary polynomial algebra,  $x^{24} [M(x)]$  is divided by  $G(x)$  where the information sequence  $M(x)$  is:

$$m_k + m_{k-1}x + m_{k-2}x^2 + \dots + m_1x^{k-1}$$

the result is a quotient and a remainder  $R(x)$  of degree less than 24. The bit sequence formed by this remainder represents the parity check sequence. Parity bit  $p_i$ , for any  $i$  from 1 to 24, is the coefficient of  $x^{24-i}$  in  $R(x)$ .

*Note.*— *The effect of multiplying  $M(x)$  by  $x^{24}$  is to append 24 ZERO bits to the end of the sequence.*

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171.05.4.3.1.2.3.3.2 *AP and PI field generation.* Different address parity sequences must be used for the uplink and downlink.

*Note.— The uplink sequence is appropriate for a transponder decoder implementation. The downlink sequence facilitates the use of error correction in downlink decoding.*

The code used in uplink AP field generation must be formed as specified below from either the aircraft address (171.05.4.3.1.2.4.1.2.3.1.1), the all-call address (171.05.4.3.1.2.4.1.2.3.1.2) or the broadcast address (171.05.4.3.1.2.4.1.2.3.1.3).

The code used in downlink AP field generation must be formed directly from the sequence of 24 Mode S address bits ( $a_1, a_2, \dots, a_{24}$ ), where  $a_i$  is the  $i$ -th bit transmitted in the aircraft address (AA) field of an all-call reply (171.05.4.3.1.2.5.2.2.2).

The code used in downlink PI field generation must be formed by a sequence of 24 bits ( $a_1, a_2, \dots, a_{24}$ ), where the first 17 bits are ZEROs, the next three bits are a replica of the code label (CL) field (171.05.4.3.1.2.5.2.1.3) and the last four bits are a replica of the interrogator code (IC) field (171.05.4.3.1.2.5.2.1.2).

*Note.— The PI code is not used in uplink transmissions.*

A modified sequence ( $b_1, b_2, \dots, b_{24}$ ) must be used for uplink AP field generation. Bit  $b_i$  is the coefficient of  $x^{48-i}$  in the polynomial  $G(x)A(x)$ , where:

$$A(x) = a_1x^{23} + a_2x^{22} + \dots + a_{24}$$


and  $G(x)$  is as defined in 171.05.4.3.1.2.3.3.1.2.

In the aircraft address  $a_i$  must be the  $i$ -th bit transmitted in the AA field of an all-call reply. In the all-call and broadcast addresses  $a_i$  must equal 1 for all values of  $i$ .

171.05.4.3.1.2.3.3.2.1 *Uplink transmission order.* The sequence of bits transmitted in the uplink AP field is:

$$tk + 1, tk + 2 \dots tk + 24$$

where the bits are numbered in order of transmission, starting with  $k + 1$ .

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In uplink transmissions:

$$tk + i = bi \oplus pi$$

where “ $\oplus$ ” prescribes modulo-2 addition:  $i$  equals 1 is the first bit transmitted in the AP field.

171.05.4.3.1.2.3.3.2.2 *Downlink transmission order.* The sequence of bits transmitted in the downlink AP and PI field is:

$$tk + 1, tk + 2... tk + 24$$

where the bits are numbered in order of transmission, starting with  $k + 1$ . In downlink transmissions:

$$tk + i = ai \oplus pi$$


where “ $\oplus$ ” prescribes modulo-2 addition:  $i$  equals 1 is the first bit transmitted in the AP or PI field.

#### 171.05.4.3.1.2.4 General Interrogation-Reply Protocol

171.05.4.3.1.2.4.1 *Transponder transaction cycle.* A transponder transaction cycle must begin when the SSR Mode S transponder has recognized an interrogation. The transponder must then evaluate the interrogation and determine whether it must be accepted. If accepted, it must then process the received interrogation and generate a reply, if appropriate. The transaction cycle must end when:

- a) any one of the necessary conditions for acceptance has not been met, or
- b) an interrogation has been accepted and the transponder has either:
  - 1) completed the processing of the accepted interrogation if no reply is required, or
  - 2) completed the transmission of a reply.

A new transponder transaction cycle must not begin until the previous cycle has ended.

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171.05.4.3.1.2.4.1.1 *Interrogation recognition.* SSR Mode S transponders must be capable of recognizing the following distinct types of interrogations:

- a) Modes A and C;
- b) intermode; and
- c) Mode S.


*Note.* — *The recognition process is dependent upon the signal input level and the specified dynamic range (3.1.2.10.1).*

171.05.4.3.1.2.4.1.1.1 *Mode A and Mode C interrogation recognition.* A Mode A or Mode C interrogation must be recognized when a  $P_1 - P_3$  pulse pair meeting the requirements of 171.05.4.3.1.1.4 has been received, and the leading edge of a  $P_4$  pulse with an amplitude that is greater than a level 6 dB below the amplitude of  $P_3$  is not received within the interval from 1.7 to 2.3 microseconds following the leading edge of  $P_3$ .

If a  $P_1 - P_2$  suppression pair and a Mode A or Mode C interrogation are recognized simultaneously, the transponder must be suppressed. An interrogation must not be recognized as Mode A or Mode C if the transponder is in suppression (171.05.4.3.1.2.4.2). If a Mode A and a Mode C interrogation are recognized simultaneously the transponder must complete the transaction cycle as if only a Mode C interrogation had been recognized.

171.05.4.3.1.2.4.1.1.2 *Intermode interrogation recognition.* An intermode interrogation must be recognized when a  $P_1 - P_3 - P_4$  pulse triplet meeting the requirements of 171.05.4.3.1.2.1.5.1 is received. An interrogation must not be recognized as an intermode interrogation if:

- a) the received amplitude of the pulse in the  $P_4$  position is smaller than 6 dB below the amplitude of  $P_3$ ; or
- b) the pulse interval between  $P_3$  and  $P_4$  is larger than 2.3 microseconds or shorter than 1.7 microseconds; or
- c) the received amplitude of  $P_1$  and  $P_3$  is between MTL and  $-45$  dBm and the pulse duration of  $P_1$  or  $P_3$  is less than 0.3 microsecond; or

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d) the transponder is in suppression (171.05.4.3.1.2.4.2).

If a  $P_1 - P_2$  suppression pair and a Mode A or Mode C intermode interrogation are recognized simultaneously the transponder must be suppressed.

**171.05.4.3.1.2.4.1.1.3 Mode S interrogation recognition.** A Mode S interrogation must be recognized when a  $P_6$  pulse is received with a sync phase reversal within the interval from 1.20 to 1.30 microseconds following the leading edge of  $P_6$ . A Mode S interrogation must not be recognized if a sync phase reversal is not received within the interval from 1.05 to 1.45 microseconds following the leading edge of  $P_6$ .

**171.05.4.3.1.2.4.1.2 Interrogation acceptance.** Recognition according to 171.05.4.3.1.2.4.1 must be a prerequisite for acceptance of any interrogation.

**171.05.4.3.1.2.4.1.2.1 Mode A and Mode C interrogation acceptance.** Mode A and Mode C interrogations must be accepted when recognized (171.05.4.3.1.2.4.1.1.1).


**171.05.4.3.1.2.4.1.2.2 Intermode interrogation acceptance**

**171.05.4.3.1.2.4.1.2.2.1 Mode A/C/S all-call interrogation acceptance.** A Mode A/C/S all-call interrogation must be accepted if the trailing edge of  $P_4$  is received within 3.45 to 3.75 microseconds following the leading edge of  $P_3$  and no lockout condition (3.1.2.6.9) prevents acceptance. A Mode A/C/S all-call must not be accepted if the trailing edge of  $P_4$  is received earlier than 3.3 or later than 4.2 microseconds following the leading edge of  $P_3$ , or if a lockout condition (3.1.2.6.9) prevents acceptance.

**171.05.4.3.1.2.4.1.2.2.2 Mode A/C-only all-call interrogation acceptance.** A Mode A/C-only all-call interrogation must not be accepted by a Mode S transponder.

*Note.* — The technical condition for non-acceptance of a Mode A/C-only all-call is given in the preceding paragraph by the requirement for rejecting an intermode interrogation with a  $P_4$  pulse having a trailing edge following the leading edge of  $P_3$  by less than 3.3 microseconds.

**171.05.4.3.1.2.4.1.2.3 Mode S interrogation acceptance.** A Mode S interrogation must only be accepted if:

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- a) the transponder is capable of processing the uplink format (UF) of the interrogation (171.05.4.3.1.2.3.2.1.1);
- b) the address of the interrogation matches one of the addresses as defined in 171.05.4.3.1.2.4.1.2.3.1 implying that parity is established, as defined in 171.05.4.3.1.2.3.3;
- c) in the case of an all-call interrogation, no all-call lockout condition applies, as defined in 171.05.4.3.1.2.6.9; and
- d) the transponder is capable of processing the uplinked data of a long air-air surveillance (ACAS) interrogation (UF-16) and presenting it at an output interface as prescribed in 171.05.4.3.1.2.10.5.2.2.1.


*Note.— A Mode S interrogation may be accepted if the conditions specified in 171.05.4.3.1.2.4.1.2.3 a) and b) are met and the transponder is not capable of both processing the uplinked data of a Comm-A interrogation (UF=20 and 21) and presenting it at an output interface as prescribed in 171.05.4.3.1.2.10.5.2.2.1.*

171.05.4.3.1.2.4.1.2.3.1 *Addresses.* Mode S interrogations must contain either:

- a) aircraft address; or
- b) the all-call address; or
- c) the broadcast address.

171.05.4.3.1.2.4.1.2.3.1.1 *Aircraft address.* If the aircraft's address is identical to the address extracted from a received interrogation according to the procedure of 171.05.4.3.1.2.3.3.2 and 171.05.4.3.1.2.3.3.2.1, the extracted address must be considered correct for purposes of Mode S interrogation acceptance.

171.05.4.3.1.2.4.1.2.3.1.2 *All-call address.* A Mode S-only all-call interrogation (uplink format UF = 11) must contain an address, designated the all-call address, consisting of twenty-four consecutive ONEs. If the all-call address is extracted from a received interrogation with format UF = 11 according to the procedure of 171.05.4.3.1.2.3.3.2 and 171.05.4.3.1.2.3.3.2.1, the address must be considered correct for Mode S-only all-call interrogation acceptance.

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171.05.4.3.1.2.4.1.2.3.1.3 *Broadcast address.* To broadcast a message to all Mode S transponders within the interrogator beam, a Mode S interrogation uplink format 20 or 21 must be used and an address of twenty-four consecutive ONEs must be substituted for the aircraft address. If the UF code is 20 or 21 and this broadcast address is extracted from a received interrogation according to the procedure of 171.05.4.3.1.2.3.3.2 and 171.05.4.3.1.2.3.3.2.1, the address must be considered correct for Mode S broadcast interrogation acceptance.

*Note.* — *Transponders associated with airborne collision avoidance systems also accept a broadcast with UF = 16.*

171.05.4.3.1.2.4.1.3 *Transponder replies.* Mode S transponders must transmit the following reply types:

- a) Mode A and Mode C replies; and
- b) Mode S replies.


171.05.4.3.1.2.4.1.3.1 *Mode A and Mode C replies.* A Mode A (Mode C) reply must be transmitted as specified in 171.05.4.3.1.1.6 when a Mode A (Mode C) interrogation has been accepted.

171.05.4.3.1.2.4.1.3.2 *Mode S replies.* Replies to other than Mode A and Mode C interrogations must be Mode S replies.

171.05.4.3.1.2.4.1.3.2.1 *Replies to intermode interrogations.* A Mode S reply with downlink format 11 must be transmitted in accordance with the provisions of 171.05.4.3.1.2.5.2.2 when a Mode A/C/S all-call interrogation has been accepted. Equipment certified on or after 1 January 2020 must not reply to Intermode Mode A/C/S all-call interrogations.

*Note.* — *Since Mode S transponders do not accept Mode A/C-only all-call interrogations, no reply is generated.*

171.05.4.3.1.2.4.1.3.2.2 *Replies to Mode S interrogations.* The information content of a Mode S reply must reflect the conditions existing in the transponder after completion of all processing of the interrogation eliciting that reply. The correspondence between uplink and downlink formats must be as summarized in Table 3-5.

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*Note.* — Four categories of Mode S replies may be transmitted in response to Mode S interrogations:

- a) Mode S all-call replies (DF = 11);
- b) surveillance and standard-length communications replies (DF = 4, 5, 20 and 21);
- c) extended length communications replies (DF = 24); and
- d) air-air surveillance replies (DF = 0 and 16).

171.05.4.3.1.2.4.1.3.2.2.1 *Replies to SSR Mode S-only all-call interrogations.* The downlink format of the reply to a Mode S only all-call interrogation (if required) must be DF = 11. The reply content and rules for determining the requirement to reply must be as defined in 171.05.4.3.1.2.5.

*Note.* — A Mode S reply may or may not be transmitted when a Mode S interrogation with UF = 11 has been accepted.


171.05.4.3.1.2.4.1.3.2.2.2 *Replies to surveillance and standard length communications interrogations.* A Mode S reply must be transmitted when a Mode S interrogation with UF = 4, 5, 20 or 21 and an aircraft address has been accepted. The contents of these interrogations and replies must be as defined in 171.05.4.3.1.2.6.

*Note.* — If a Mode S interrogation with UF = 20 or 21 and a broadcast address is accepted, no reply is transmitted (171.05.4.3.1.2.4.1.2.3.1.3).

171.05.4.3.1.2.4.1.3.2.2.3 *Replies to extended length communications interrogations.* A series of Mode S replies ranging in number from 0 to 16 must be transmitted when a Mode S interrogation with UF = 24 has been accepted. The downlink format of the reply (if any) must be DF = 24. Protocols defining the number and content of the replies must be as defined in 171.05.4.3.1.2.7.

171.05.4.3.1.2.4.1.3.2.2.4 *Replies to air-air surveillance interrogations.* A Mode S reply must be transmitted when a Mode S interrogation with UF = 0 and an aircraft address has been accepted. The contents of these interrogations and replies must be as defined in 171.05.4.3.1.2.8.

#### **171.05.4.3.1.2.4.2 Suppression**

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171.05.4.3.1.2.4.2.1 *Effects of suppression.* A transponder in suppression (171.05.4.3.1.1.7.4) must not recognize Mode A, Mode C or intermode interrogations if either the  $P_1$  pulse alone or both the  $P_1$  and  $P_3$  pulses of the interrogation are received during the suppression interval. Suppression must not affect the recognition of, acceptance of, or replies to Mode S interrogations.

171.05.4.3.1.2.4.2.2 *Suppression pairs.* The two-pulse Mode A/C suppression pair defined in 171.05.4.3.1.1.7.4.1 must initiate suppression in a Mode S transponder regardless of the position of the pulse pair in a group of pulses, provided the transponder is not already suppressed or in a transaction cycle.

*Note.— The  $P_3 - P_4$  pair of the Mode A/C-only all-call interrogation both prevents a reply and initiates suppression. Likewise, the  $P_1 - P_2$  preamble of a Mode S interrogation initiates suppression independently of the waveform that follows it.*

171.05.4.3.1.2.4.2.3 Suppression in presence of  $S_1$  pulse must be as defined in 171.05.4.3.1.1.7.4.3.

#### **171.05.4.3.1.2.5 Intermode And Mode S All-Call Transactions**

##### **171.05.4.3.1.2.5.1 Intermode Transactions**

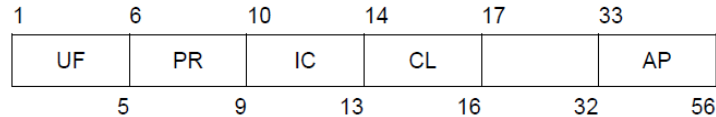
*Note.— Intermode transactions permit the surveillance of Mode A/C-only aircraft and the acquisition of Mode S aircraft. The Mode A/C/S all-call interrogation allows Mode A/C-only and Mode S transponders to be interrogated by the same transmissions. The Mode A/C-only all-call interrogation makes it possible to elicit replies only from Mode A/C transponders. In multisite scenarios, the interrogator must transmit its identifier code in the Mode S only all-call interrogation. Thus, a pair of Mode S-only and Mode A/C-only all-call interrogations are used. The intermode interrogations are defined in 171.05.4.3.1.2.1.5.1 and the corresponding interrogation-reply protocols are defined in 171.05.4.3.1.2.4.*

##### **171.05.4.3.1.2.5.2 Mode S-Only All-Call Transactions**

*Note.— These transactions allow the ground to acquire Mode S aircraft by use of an interrogation addressed to all Mode S-equipped aircraft. The reply is via downlink format 11 which returns the aircraft address. The interrogation-reply protocols are defined in 3.1.2.4.*



**171.05.4.3.1.2.5.2.1 Mode S-only all-call interrogation, uplink format 11**



The format of this interrogation must consist of these fields:


<i>Field</i>	<i>Reference</i>
UF uplink format	171.05.4.3.1.2.3.2.1.1
PR probability of reply	171.05.4.3.1.2.5.2.1.1
IC interrogator code	171.05.4.3.1.2.5.2.1.2
CL code label spare — 16 bits	171.05.4.3.1.2.5.2.1.3
AP address/parity	171.05.4.3.1.2.3.2.1.3

171.05.4.3.1.2.5.2.1.1 *PR: Probability of reply.* This 4-bit (6-9) uplink field must contain commands to the transponder specifying the probability of reply to that interrogation (3.1.2.5.4). Codes are as follows:

- 0 signifies reply with probability of 1
- 1 signifies reply with probability of 1/2
- 2 signifies reply with probability of 1/4
- 3 signifies reply with probability of 1/8
- 4 signifies reply with probability of 1/16
- 5, 6, 7 not assigned
- 8 signifies disregard lockout, reply with probability of 1
- 9 signifies disregard lockout, reply with probability of 1/2
- 10 signifies disregard lockout, reply with probability of 1/4
- 11 signifies disregard lockout, reply with probability of 1/8
- 12 signifies disregard lockout, reply with probability of 1/16
- 13, 14, 15 not assigned.

171.05.4.3.1.2.5.2.1.2 *IC: Interrogator code.* This 4-bit (10-13) uplink field must contain either the 4-bit interrogator identifier code (171.05.4.3.1.2.5.2.1.2.3) or the lower 4 bits of the 6-bit surveillance identifier code (171.05.4.3.1.2.5.2.1.2.4) depending on the value of the CL field (171.05.4.3.1.2.5.2.1.3).

171.05.4.3.1.2.5.2.1.2.1 **Recommendation.**— *It is recommended that whenever possible an interrogator may operate using a single interrogator code.*

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171.05.4.3.1.2.5.2.1.2.2 *The use of multiple interrogator codes by one interrogator.* An interrogator must not interleave Mode Only all-call interrogations using different interrogator codes.

*Note.* — An explanation of RF interference issues, sector size and impact on data link transactions is presented in the Aeronautical Surveillance Manual (Doc 9924).

171.05.4.3.1.2.5.2.1.2.3 *II: Interrogator identifier.* This 4-bit value must define an interrogator identifier (II) code. These II codes must be assigned to interrogators in the range from 0 to 15. The II code value of 0 must only be used for supplementary acquisition in conjunction with acquisition based on lockout override (171.05.4.3.1.2.5.2.1.4 and 171.05.4.3.1.2.5.2.1.5). When two II codes are assigned to one interrogator only, one II code must be used for full data link purposes.

*Note.* — Limited data link activity including single segment Comm-A, uplink and downlink broadcast protocols and GICB extraction may be performed by both II codes.

171.05.4.3.1.2.5.2.1.2.4 *SI: Surveillance identifier.* This 6-bit value must define a surveillance identifier (SI) code. These SI codes must be assigned to interrogators in the range from 1 to 63. The SI code value of 0 must not be used. The SI codes must be used with the multisite lockout protocols (171.05.4.3.1.2.6.9.1). The SI codes must not be used with the multisite communications protocols (171.05.4.3.1.2.6.11.3.2, 171.05.4.3.1.2.7.4 or 171.05.4.3.1.2.7.7).


171.05.4.3.1.2.5.2.1.3 *CL: Code label.* This 3-bit (14-16) uplink field must define the contents of the IC field.

*Coding (in binary)*

- 000 signifies that the IC field contains the II code
- 001 signifies that the IC field contains SI codes 1 to 15
- 010 signifies that the IC field contains SI codes 16 to 31
- 011 signifies that the IC field contains SI codes 32 to 47
- 100 signifies that the IC field contains SI codes 48 to 63

The other values of the CL field must not be used.

171.05.4.3.1.2.5.2.1.3.1 *Surveillance identifier (SI) code capability report.* Transponders which process the SI codes (171.05.4.3.1.2.5.2.1.2.4) must report this capability by setting bit 35 to 1 in the surveillance identifier capability (SIC) subfield of the MB field of the data link capability report (171.05.4.3.1.2.6.10.2.2).

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**171.05.4.3.1.2.5.2.1.4 Operation based on lockout override**

*Note 1.— The Mode S-only all-call lockout override provides the basis for acquisition of Mode S aircraft for interrogators that have not been assigned a unique IC (II or SI code) for full Mode S operation (protected acquisition by ensuring that no other interrogator on the same IC can lock out the target in the same coverage area).*

*Note 2.— Lockout override is possible using any interrogator code.*

171.05.4.3.1.2.5.2.1.4.1 *Maximum Mode S-only all-call interrogation rate.* The maximum rate of Mode S-only all-call interrogations made by an interrogator using acquisition based on lockout override must depend on the reply probability as follows:

a) for a reply probability equal to 1.0:

the smaller of 3 interrogations per 3 dB beam dwell or 30 interrogations per second;

b) for a reply probability equal to 0.5:

the smaller of 5 interrogations per 3 dB beam dwell or 60 interrogations per second; and


c) for a reply probability equal to 0.25 or less:

the smaller of 10 interrogations per 3 dB beam dwell or 125 interrogations per second.

*Note.— These limits have been defined in order to minimize the RF pollution generated by such a method while keeping a minimum of replies to allow acquisition of aircraft within a beam dwell.*

171.05.4.3.1.2.5.2.1.4.2 **Recommendation.**— *Passive acquisition without using all-call interrogations may be used in the place of lockout override.*

*Note.— The Aeronautical Surveillance Manual (Doc 9924) provides guidance on different passive acquisition methods.*

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171.05.4.3.1.2.5.2.1.4.3 *Field content for a selectively addressed interrogation used by an interrogator without an assigned interrogator code. An interrogator that has not been assigned with a unique discrete interrogator code and is authorized to transmit must use the II code 0 to perform the selective interrogations. In this case, selectively addressed interrogations used in connection with acquisition using lockout override must have interrogation field contents restricted as follows:*

UF = 4, 5, 20 or 21  
 PC = 0  
 DI = 7  
 IIS = 0  
 LOS = 0 except as specified in 171.04.4.3.1.2.5.2.1.5  
 TMS = 0

171.05.4.3.1.2.5.2.1.4.4 *An interrogator that has not been assigned with a unique discrete interrogator code and is authorized to transmit using II code 0 must not attempt to extract an air-initiated Comm-B message announced by DR = 1 or 3.*

*Note.— These restrictions permit surveillance transaction, GICB transaction and Comm-B broadcast extraction, but prevent the interrogation from making any changes to transponder multisite lockout or communications protocol states.*

171.05.4.3.1.2.5.2.1.5 *Supplementary acquisition using II equals 0*

*Note 1.— The acquisition technique defined in 171.05.4.3.1.2.5.2.1.4 provides rapid acquisition for most aircraft. Due to the probabilistic nature of the process, it may take many interrogations to acquire the last aircraft of a large set of aircraft in the same beam dwell and near the same range (termed a local garble zone). Acquisition performance is greatly improved for the acquisition of these aircraft through the use of limited selective lockout using II equals 0.*

*Note 2.— Supplementary acquisition consists of locking out acquired aircraft to II=0 followed by acquisition by means of the Mode S-only all-call interrogation with II=0. Only the aircraft not yet acquired and not yet locked-out will reply resulting in an easier acquisition.*

**171.05.4.3.1.2.5.2.1.5.1 Lockout within a beam dwell**

171.05.4.3.1.2.5.2.1.5.1.1 **Recommendation.**— *When II equals 0 lockout is used to supplement acquisition, all aircraft within the beam dwell of the aircraft being acquired may be commanded to lock out to II equals 0, not just those in the garble zone.*

*Note.*— *Lockout of all aircraft in the beam dwell will reduce the amount of all-call fruit replies generated to the II equals 0 all-call interrogations.*

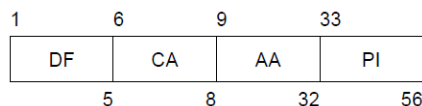
**171.05.4.3.1.2.5.2.1.5.2 Duration of lockout**

171.05.4.3.1.2.5.2.1.5.2.1 Interrogators performing supplementary acquisition using II equals 0 must perform acquisition by transmitting a lockout command for no more than two consecutive scans to each of the aircraft already acquired in the beam dwell containing the garble zone and must not repeat it before 48 seconds have elapsed.

*Note.*— *Minimizing the lockout time reduces the probability of conflict with the acquisition activities of a neighbouring interrogator that is also using II equals 0 for supplementary acquisition.*


171.05.4.3.1.2.5.2.1.5.2.2 **Recommendation.**— *Mode S only all-call interrogations with II=0 for the purpose of supplementary acquisition may take place within a garble zone over no more than two consecutive scans or a maximum of 18 seconds.*

**171.05.4.3.1.2.5.2.2 All-call reply, downlink format 11**



The reply to the Mode S-only all-call or the Mode A/C/S all-call interrogation must be the Mode S all-call reply, downlink format 11. The format of this reply must consist of these fields:

<i>Field</i>	<i>Reference</i>
DF downlink format	171.05.4.3.1.2.3.2.1.2
CA capability	171.05.4.3.1.2.5.2.2.1

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AA address announced 171.05.4.3.1.2.5.2.2.2

PI parity/interrogator identifier 171.05.4.3.1.2.3.2.1.4

171.05.4.3.1.2.5.2.2.1 *CA: Capability.* This 3-bit (6-8) downlink field must convey information on the transponder level, the additional information below, and must be used in formats DF = 11 and DF = 17.

*Coding*

- 0 signifies Level 1 transponder (surveillance only), and no ability to set CA code 7 and either airborne or on the ground
- 1 reserved
- 2 reserved
- 3 reserved
- 4 signifies Level 2 or above transponder and ability to set CA code 7 and on the ground
- 5 signifies Level 2 or above transponder and ability to set CA code 7 and airborne
- 6 signifies Level 2 or above transponder and ability to set CA code 7 and either airborne or on the ground
- 7 signifies the DR field is not equal to 0 or the FS field equals 2, 3, 4 or 5, and either airborne or on the ground

When the conditions for CA code 7 are not satisfied, aircraft with Level 2 or above transponders:

- a) that do not have automatic means to set the on-the-ground condition must use CA code 6; and
- b) with automatic on-the-ground determination must use CA code 4 when on the ground and 5 when airborne.

Data link capability reports (171.05.4.3.1.2.6.10.2.2) must be available from aircraft installations that set CA code 4, 5, 6 or 7.

*Note.* — CA codes 1 to 3 are reserved to maintain backward compatibility.

171.05.4.3.1.2.5.2.2.2 *AA: Address announced.* This 24-bit (9-32) downlink field must contain the aircraft address which provides unambiguous identification of the aircraft.

171.05.4.3.1.2.5.3 *Lockout protocol.* The all-call lockout protocol defined in 3.1.2.6.9 must be used by the interrogator with respect to an aircraft once the address of that specific aircraft has been acquired by an interrogator provided that:

- the interrogator is using an IC code different from zero; and

— the aircraft is located in an area where the interrogator is authorized to use lockout.

*Note 1.— Following acquisition, a transponder is interrogated by discretely addressed interrogations as prescribed in 171.05.4.3.1.2.6, 3.1.2.7 and 171.05.4.3.1.2.8 and the all-call lockout protocol is used to inhibit replies to further all-call interrogations.*

*Note 2.— Regional IC allocation bodies may define rules limiting the use of selective interrogation and lockout protocol (e.g. no lockout in defined limited area, use of intermittent lockout in defined areas, and no lockout of aircraft not yet equipped with SI code capability).*

**171.05.4.3.1.2.5.4 Stochastic all-call protocol.** The transponder must execute a random process upon acceptance of a Mode S only all-call with a PR code equal to 1 to 4 or 9 to 12. A decision to reply must be made in accordance with the probability specified in the interrogation. A transponder must not reply if a PR code equal to 5, 6, 7, 13, 14 or 15 is received (171.05.4.3.1.2.5.2.1.1).

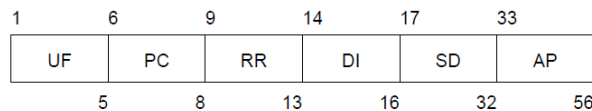
*Note.— The random occurrence of replies makes it possible for the interrogator to acquire closely spaced aircraft, replies from which would otherwise synchronously garble each other.*

**171.05.4.3.1.2.6 Addressed Surveillance And Standard Length Communication Transactions**

*Note 1.— The interrogations described in this section are addressed to specific aircraft. There are two basic interrogation and reply types, short and long. The short interrogations and replies are UF 4 and 5 and DF 4 and 5, while the long interrogations and replies are UF 20 and 21 and DF 20 and 21.*

*Note 2.— The communications protocols are given in 171.05.4.3.1.2.6.11. These protocols describe the control of the data exchange.*

**171.05.4.3.1.2.6.1 Surveillance, Altitude Request, Uplink Format 4**



The format of this interrogation must consist of these fields:

<i>Field</i>	<i>Reference</i>
UF uplink format	171.05.4.3.1.2.3.2.1.1

PC protocol	171.05.4.3.1.2.6.1.1
RR reply request	171.05.4.3.1.2.6.1.2
DI designator identification	171.05.4.3.1.2.6.1.3
SD special designator	171.05.4.3.1.2.6.1.4
AP address/parity	171.05.4.3.1.2.3.2.1.3

171.05.4.3.1.2.6.1.1 *PC: Protocol.* This 3-bit, (6-8) uplink field must contain operating commands to the transponder. The PC field values 2 through 7 must be ignored and the values 0 and 1 must be processed for surveillance or Comm-A interrogations containing DI = 3 (171.05.4.3.1.2.6.1.4.1).

*Coding*


- 0 signifies no action
- 1 signifies non-selective all-call lockout (171.05.4.3.1.2.6.9.2)
- 2 not assigned
- 3 not assigned
- 4 signifies close out Comm-B (171.05.4.3.1.2.6.11.3.2.3)
- 5 signifies close out uplink ELM (171.05.4.3.1.2.7.4.2.8)
- 6 signifies close out downlink ELM (171.05.4.3.1.2.7.7.3)
- 7 not assigned.

171.05.4.3.1.2.6.1.2 *RR: Reply request.* This 5-bit, (9-13) uplink field must command the length and content of a requested reply.

The last four bits of the 5-bit RR code, transformed into their decimal equivalent, must designate the BDS1 code (171.05.4.3.1.2.6.11.2 or 171.05.4.3.1.2.6.11.3) of the requested Comm-B message if the most significant bit (MSB) of the RR code is 1 (RR is equal to or greater than 16).

*Coding*

- RR = 0-15 must be used to request a reply with surveillance format (DF = 4 or 5);
- RR = 16-31 must be used to request a reply with Comm-B format (DF = 20 or 21);
- RR = 16 must be used to request transmission of an air-initiated Comm-B message according to 171.05.4.3.1.2.6.11.3 or to request the extraction of a Comm-B broadcast message according to 171.05.4.3.1.2.6.11.4;
- RR = 17 must be used to request a data link capability report according 171.05.4.3.1.2.6.10.2.2;

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RR = 18 must be used to request aircraft identification according to 171.05.4.3.1.2.9;  
19-31 are not assigned in section 3.1.

*Note.— Codes 19-31 are reserved for applications such as data link communications, airborne collision avoidance systems (ACAS), etc.*

171.05.4.3.1.2.6.1.3 *DI: Designator identification.* This 3-bit (14-16) uplink field must identify the structure of the SD field (3.1.2.6.1.4).

*Coding*

- 0 signifies SD not assigned except for IIS, bits 21-27 and 29-32 are not assigned, and bit 28 contains the “OVC” (overlay control - 171.05.4.3.1.2.6.1.4.1 i))
- 1 signifies SD contains multisite and communications control information
- 2 signifies SD contains control data for extended squitter
- 3 signifies SD contains SI multisite lockout, broadcast and GICB control information, and bit 28 contains the “OVC” (overlay control - 171.05.4.3.1.2.6.1.4.1 i))
- 4-6 signifies SD not assigned
- 7 signifies SD contains extended data readout request, multisite and communications control information, and bit 28 contains the “OVC” (overlay control - 171.05.4.3.1.2.6.1.4.1 i))

171.05.4.3.1.2.6.1.4 *SD: Special designator.* This 16-bit (17-32) uplink field must contain control codes which depend on the coding in the DI field.

*Note.— The special designator (SD) field is provided to accomplish the transfer of multisite, lockout and communications control information from the ground station to the transponder.*



<i>DI CODE</i>	<i>SD FIELD STRUCTURE</i>							
0	17	21	Reserved			28	29	
	IIS	Reserved			OVC	Reserved		
	20		27		28	32		
1	17	21	23	26	27	29		
	IIS	MBS	MES	LOS	RSS	TMS		
	20		22	25	26	28	32	
2	17	21	24		27	29		
	Reserved	TCS	RCS	SAS	Reserved			
	20		23	26	28	32		
3	17	23	24	28		29		
	SIS	LSS	RRS	OVC	Reserved			
	22		23	27	28	32		
7	17	21	25	26	27	28	29	
	IIS	RRS	Reserved	LOS	Reserved	OVC	TMS	
	20		24	25	26	27	28	32

171.05.4.3.1.2.6.1.4.1 *Subfields in SD.* The SD field must contain information as follows:

a) If DI = 0, 1 or 7: IIS, the 4-bit (17-20) interrogator identifier subfield must contain an assigned identifier code of the interrogator (171.05.4.3.1.2.5.2.1.2.3).


b) If DI = 0: bits 21-27 and 29-32 are not assigned.

c) If DI = 1: MBS, the 2-bit (21, 22) multisite Comm-B subfield must have the following codes:

- 0 signifies no Comm-B action
- 1 signifies air-initiated Comm-B reservation request (171.05.4.3.1.2.6.11.3.1)
- 2 signifies Comm-B closeout (171.05.4.3.1.2.6.11.3.2.3)
- 3 not assigned.

MES, the 3-bit (23-25) multisite ELM subfield must contain reservation and closeout commands for ELM as follows:

- 0 signifies no ELM action

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- 1 signifies uplink ELM reservation request (171.05.4.3.1.2.7.4.1)
- 2 signifies uplink ELM closeout (171.05.4.3.1.2.7.4.2.8)
- 3 signifies downlink ELM reservation request (171.05.4.3.1.2.7.7.1.1)
- 4 signifies downlink ELM closeout (171.05.4.3.1.2.7.7.3)
- 5 signifies uplink ELM reservation request and downlink ELM closeout
- 6 signifies uplink ELM closeout and downlink ELM reservation request
- 7 signifies uplink ELM and downlink ELM closeouts.

RSS, the 2-bit (27, 28) reservation status subfield must request the transponder to report its reservation status in the UM field. The following codes have been assigned:

- 0 signifies no request
- 1 signifies report Comm-B reservation status in UM
- 2 signifies report uplink ELM reservation status in UM
- 3 signifies report downlink ELM reservation status in UM.

d) If DI = 1 or 7: LOS, the 1-bit (26) lockout subfield, if set to 1, must signify a multisite lockout command from the interrogator indicated in IIS. LOS set to 0, must be used to signify that no change in lockout state is commanded.


TMS, the 4-bit (29-32) tactical message subfield must contain communications control information used by the data link avionics.

e) If DI = 7: RRS, the 4-bit (21-24) reply request subfield in SD must give the BDS2 code of a requested Comm-B reply.

Bits 25 and 27 are not assigned.

f) If DI = 2: TCS, the 3-bit (21-23) type control subfield in SD must control the extended squitter airborne and surface format types reported by the transponder and its response to Mode A/C, Mode A/C/S all-call and Mode S-only all-call interrogations. The following codes have been assigned:

- 0 signifies no surface format types or reply inhibit command
- 1 signifies surface format types for the next 15 seconds (see 171.05.4.3.1.2.6.1.4.2)

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- 2 signifies surface format types for the next 60 seconds (see 171.05.4.3.1.2.6.1.4.3)
- 3 signifies cancel surface format types and reply inhibit commands 4-7 reserved.

The transponder must be able to accept a new command even though a prior command has not as yet timed out.

RCS, the 3-bit (24-26) rate control subfield in SD must control the squitter rate of the transponder when it is reporting the extended squitter surface type formats. This subfield must have no effect on the transponder squitter rate when it is reporting the extended squitter airborne type formats. The following codes have been assigned:

- 0 signifies no surface extended squitter rate command
- 1 signifies report high surface extended squitter rate for 60 seconds
- 2 signifies report low surface extended squitter rate for 60 seconds
- 3-7 reserved.


*Note 1.— The definition of high and low extended squitter rates is given in 171.05.4.3.1.2.8.6.4 and applies to the surface position, aircraft identification and category, and the operational status messages.*

*Note 2.— As stated in 171.05.4.3.1.2.8.5.2 d), acquisition squitters are transmitted when surface type format extended squitters are not being transmitted.*

SAS, the 2-bit (27-28) surface antenna subfield in SD must control the selection of the transponder diversity antenna that is used for (1) the extended squitter when the transponder is reporting the surface type formats, and (2) the acquisition squitter when the transponder is reporting the on-the-ground status. This subfield must have no effect on the transponder diversity antenna selection when it is reporting the airborne status. The following codes have been assigned:

- 0 signifies no antenna command
- 1 signifies alternate top and bottom antennas for 120 seconds
- 2 signifies use bottom antenna for 120 seconds
- 3 signifies return to the default.

*Note.— The top antenna is the default condition (171.05.4.3.1.2.8.6.5).*

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g) If DI = 3: SIS, the 6-bit (17-22) surveillance identifier subfield in SD must contain an assigned surveillance identifier code of the interrogator (171.05.4.3.1.2.5.2.1.2.4).

LSS, the 1-bit (23) lockout surveillance subfield, if set to 1, must signify a multisite lockout command from the interrogator indicated in SIS. If set to 0, LSS must signify that no change in lockout state is commanded.

RRS, the 4-bit (24-27) reply request subfield in SD must contain the BDS2 code of a requested GICB register.

Bits 29 to 32 are not assigned.

h) If DI=4, 5 or 6 then the SD field has no meaning and must not impact other transaction cycle protocols. These DI codes remain reserved until future assignment of the SD field.

i) If DI = 0, 3 or 7:


In addition to the requirements provided above, the “SD” must contain the following:

“OVC”: The 1-bit (bit 28) “overlay control” subfield in “SD” is used by the interrogator to command that the data parity (“DP” 171.05.4.3.1.2.3.2.1.5) be overlaid upon the resulting reply to the interrogation in accordance with paragraph 171.05.4.3.1.2.6.11.2.5.

171.05.4.3.1.2.6.1.4.2 *TCS subfield equal to one (1) in the SD field for extended squitters.* When the TCS subfield in the SD field is set equal to one (1), it must signify the following:

a) broadcast of the extended squitter surface formats, including the surface position message (171.05.4.3.1.2.8.6.4.3), the identification and category message (171.05.4.3.1.2.8.6.4.4), the aircraft operational status message (171.05.4.3.1.2.8.6.4.6) and the aircraft status message (171.05.4.3.1.2.8.6.4.6) for the next 15 seconds at the appropriate rates on the top antenna for aircraft systems having the antenna diversity capability, except if otherwise specified by SAS (171.05.4.3.1.2.6.1.4.1 f));

b) inhibit replies to Mode A/C, Mode A/C/S all-call and Mode S-only all-call interrogations for the next 15 seconds;

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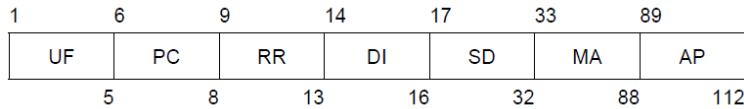
- c) broadcast of acquisition squitters as per 171.05.4.3.1.2.8.5 using antenna as specified in 171.05.4.3.1.2.8.5.3 a);
- d) does not impact the air/ground state reported via the CA, FS and VS fields;
- e) discontinue broadcast of the extended squitter airborne message formats; and
- f) broadcast of the extended squitter surface formats at the rates according to the TRS subfield unless commanded to transmit at the rates set by the RCS subfield.

171.05.4.3.1.2.6.1.4.3 *TCS subfield equal to two (2) in the SD field for extended squitters.* When the TCS subfield in the SD field is set equal to two (2), it must signify the following:

- a) broadcast of the extended squitter surface formats, including the surface position message (171.05.4.3.1.2.8.6.4.3), the identification and category message (171.05.4.3.1.2.8.6.4.4), the aircraft operational status message (171.05.4.3.1.2.8.6.4.6) and the aircraft status message (171.05.4.3.1.2.8.6.4.6) for the next 60 seconds at the appropriate rates on the top antenna for aircraft systems having the antenna diversity capability, except if otherwise specified by SAS (171.05.4.3.1.2.6.1.4.1 f));
- b) inhibit replies to Mode A/C, Mode A/C/S all-call and Mode S-only all-call interrogations for the next 60 seconds;
- c) broadcast of acquisition squitters as per 171.05.4.3.1.2.8.5 using antenna as specified in 171.05.4.3.1.2.8.5.3 a);
- d) does not impact the air/ground state reported via the CA, FS and VS fields;
- e) discontinue broadcast of the extended squitter airborne message formats; and
- f) broadcast of the extended squitter surface formats at the rates according to the TRS subfield unless commanded to transmit at the rates set by the RCS subfield.

171.05.4.3.1.2.6.1.5 *PC and SD field processing.* When DI = 1, PC field processing must be completed before processing the SD field.

**171.05.4.3.1.2.6.2 Comm-A Altitude Request, Uplink Format 20**

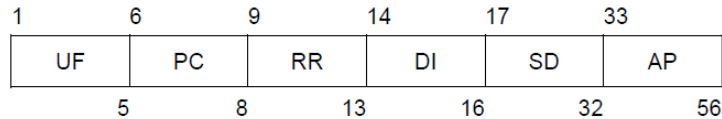


The format of this interrogation must consist of these fields:

<i>Field</i>	<i>Reference</i>
UF uplink format	171.04.4.3.1.2.3.2.1.1
PC protocol	171.04.4.3.1.2.6.1.1
RR reply request	171.04.4.3.1.2.6.1.2
DI designator identification	171.04.4.3.1.2.6.1.3
SD special designator	171.04.4.3.1.2.6.1.4
MA message, Comm-A	171.04.4.3.1.2.6.2.1
AP address/parity	171.04.4.3.1.2.3.2.1.3

171.05.4.3.1.2.6.2.1 *MA: Message, Comm-A.* This 56-bit (33-88) field must contain a data link message to the aircraft.

**171.05.4.3.1.2.6.3 Surveillance Identity Request, Uplink Format 5**



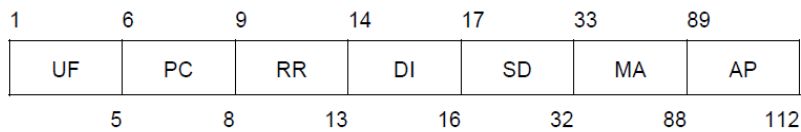
The format of this interrogation must consist of these fields:

<i>Field</i>	<i>Reference</i>
UF uplink format	171.05.4.3.1.2.3.2.1.1
PC protocol	171.05.4.3.1.2.6.1.1
RR reply request	171.05.4.3.1.2.6.1.2
DI designator identification	171.05.4.3.1.2.6.1.3
SD special designator	171.05.4.3.1.2.6.1.4

AP address/parity

171.05.4.3.1.2.3.2.1.3

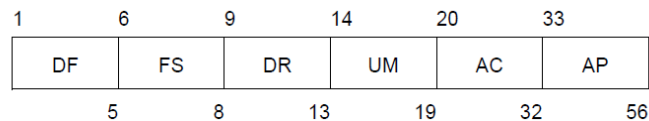
#### 171.05.4.3.1.2.6.4 Comm-A Identity Request, Uplink Format 21



The format of this interrogation must consist of these fields:


<i>Field</i>	<i>Reference</i>
UF uplink format	171.05.4.3.1.2.3.2.1.1
PC protocol	171.05.4.3.1.2.6.1.1
RR reply request	171.05.4.3.1.2.6.1.2
DI designator identification	171.05.4.3.1.2.6.1.3
SD special designator	171.05.4.3.1.2.6.1.4
MA message, Comm-A	171.05.4.3.1.2.6.2.1
AP address/parity	171.05.4.3.1.2.3.2.1.3

#### 171.05.4.3.1.2.6.5 Surveillance Altitude Reply, Downlink Format 4



This reply must be generated in response to an interrogation UF 4 or 20 with an RR field value less than 16. The format of this reply must consist of these fields:

<i>Field</i>	<i>Reference</i>
DF downlink format	171.05.4.3.1.2.3.2.1.2
FS flight status	171.05.4.3.1.2.6.5.1

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DR downlink request	171.05.4.3.1.2.6.5.2
UM utility message	171.05.4.3.1.2.6.5.3
AC altitude code	171.05.4.3.1.2.6.5.4
AP address/parity	171.05.4.3.1.2.3.2.1.3

171.05.4.3.1.2.6.5.1 *FS: Flight status.* This 3-bit (6-8) downlink field must contain the following information:

*Coding*

- 0 signifies no alert and no SPI, aircraft is airborne
- 1 signifies no alert and no SPI, aircraft is on the ground
- 2 signifies alert, no SPI, aircraft is airborne
- 3 signifies alert, no SPI, aircraft is on the ground
- 4 signifies alert and SPI, aircraft is airborne or on the ground
- 5 signifies no alert and SPI, aircraft is airborne or on the ground
- 6 reserved
- 7 not assigned

*Note.— The conditions which cause an alert are given in 171.05.4.3.1.2.6.10.1.1.*

171.05.4.3.1.2.6.5.2 *DR: Downlink request.* This 5-bit (9-13) downlink field must contain requests to downlink information.

*Coding*

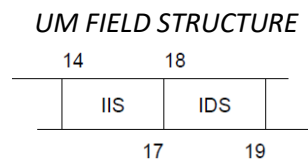
- 0 signifies no downlink request
- 1 signifies request to send Comm-B message
- 2 reserved for ACAS
- 3 reserved for ACAS
- 4 signifies Comm-B broadcast message 1 available
- 5 signifies Comm-B broadcast message 2 available
- 6 reserved for ACAS
- 7 reserved for ACAS
- 8-15 not assigned
- 16-31 see downlink ELM protocol (171.05.4.3.1.2.7.7.1)

Codes 1-15 must take precedence over codes 16-31.

*Note.— Giving precedence to codes 1-15 permits the announcement of a Comm-B message to interrupt the announcement of a downlink ELM. This gives priority to the announcement of the shorter message.*

171.05.4.3.1.2.6.5.3 *UM: Utility message. This 6-bit (14-19) downlink field must contain transponder communications status information as specified in 171.05.4.3.1.2.6.1.4.1 and 171.05.4.3.1.2.6.5.3.1.*

**171.05.4.3.1.2.6.5.3.1 Subfields in UM for multisite protocols**



The following subfields must be inserted by the transponder into the UM field of the reply if a surveillance or Comm-A interrogation (UF equals 4, 5, 20, 21) contains DI = 1 and RSS other than 0:


IIS: The 4-bit (14-17) interrogator identifier subfield reports the identifier of the interrogator that is reserved for multisite communications.

IDS: The 2-bit (18, 19) identifier designator subfield reports the type of reservation made by the interrogator identified in IIS.

Assigned coding is:

- 0 signifies no information
- 1 signifies IIS contains Comm-B II code
- 2 signifies IIS contains Comm-C II code
- 3 signifies IIS contains Comm-D II code.

171.05.4.3.1.2.6.5.3.2 *Multisite reservation status.* The interrogator identifier of the ground station currently reserved for multisite Comm-B delivery (171.05.4.3.1.2.6.11.3.1) must be transmitted in the IIS subfield together with code 1 in the IDS subfield if the UM content is not specified by the interrogation (when DI = 0 or 7, or when DI = 1 and RSS = 0).

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The interrogator identifier of the ground station currently reserved for downlink ELM delivery (171.05.4.3.1.2.7.6.1), if any, must be transmitted in the IIS subfield together with code 3 in the IDS subfield if the UM content is not specified by the interrogation and there is no current Comm-B reservation.

171.05.4.3.1.2.6.5.4 *AC: Altitude code.* This 13-bit (20-32) field must contain altitude coded as follows:

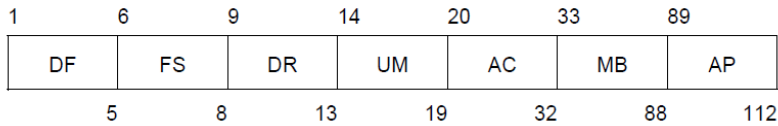
- a) Bit 26 is designated as the M bit, and must be 0 if the altitude is reported in feet. M equals 1 must be reserved to indicate that the altitude reporting is in metric units.
- b) If M equals 0, then bit 28 is designated as the Q bit. Q equals 0 must be used to indicate that the altitude is reported in 100-foot increments. Q equals 1 must be used to indicate that the altitude is reported in 25-foot increments.
- c) If the M bit (bit 26) and the Q bit (bit 28) equal 0, the altitude must be coded according to the pattern for Mode C replies of 171.05.4.3.1.1.7.12.2.3. Starting with bit 20 the sequence must be C1, A1, C2, A2, C4, A4, ZERO, B1, ZERO, B2, D2, B4, D4.
- d) If the M bit equals 0 and the Q bit equals 1, the 11-bit field represented by bits 20 to 25, 27 and 29 to 32 must represent a binary coded field with a least significant bit (LSB) of 25 ft. The binary value of the positive decimal integer “N” must be encoded to report pressure-altitude in the range  $[(25 N - 1\ 000) \text{ plus or minus } 12.5 \text{ ft}]$ . The coding of 3.1.2.6.5.4 c) must be used to report pressure-altitude above 50 187.5 ft.

*Note 1.— This coding method is only able to provide values between minus 1 000 ft and plus 50 175 ft.*

*Note 2.— The most significant bit (MSB) of this field is bit 20 as required by 171.05.4.3.1.2.3.1.3.*

- e) If the M bit equals 1, the 12-bit field represented by bits 20 to 25 and 27 to 31 must be reserved for encoding altitude in metric units.
- f) 0 must be transmitted in each of the 13 bits of the AC field if altitude information is not available or if the altitude has been determined invalid.

**171.05.4.3.1.2.6.6 Comm-B Altitude Reply, Downlink Format 20**

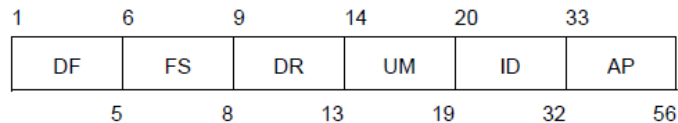


This reply must be generated in response to an interrogation UF 4 or 20 with an RR field value greater than 15. The format of this reply must consist of these fields:

<i>Field</i>	<i>Reference</i>
DF downlink format	171.05.4.3.1.2.3.2.1.2
FS flight status	171.05.4.3.1.2.6.5.1
DR downlink request	171.05.4.3.1.2.6.5.2
UM utility message	171.05.4.3.1.2.6.5.3
AC altitude code	171.05.4.3.1.2.6.5.4
MB message, Comm-B	171.05.4.3.1.2.6.6.1
AP address/parity	171.05.4.3.1.2.3.2.1.3

171.05.4.3.1.2.6.6.1 *MB: Message, Comm-B.* This 56-bit (33-88) downlink field must be used to transmit data link messages to the ground.

**171.05.4.3.1.2.6.7 Surveillance Identity Reply, Downlink Format 5**

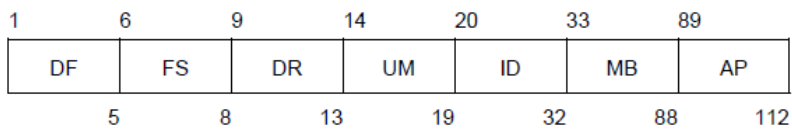


This reply must be generated in response to an interrogation UF 5 or 21 with an RR field value less than 16. The format of this reply must consist of these fields:

<i>Field</i>	<i>Reference</i>
DF downlink format	171.05.4.3.1.2.3.2.1.2
FS flight status	171.05.4.3.1.2.6.5.1
DR downlink request	171.05.4.3.1.2.6.5.2
UM utility message	171.05.4.3.1.2.6.5.3
ID identity	171.05.4.3.1.2.6.7.1
AP address/parity	171.05.4.3.1.2.3.2.1.3

171.05.4.3.1.2.6.7.1 *ID: Identity (Mode A code).* This 13-bit (20-32) field must contain aircraft identity code, in accordance with the pattern for Mode A replies in 3.1.1.6. Starting with bit 20, the sequence must be C1, A1, C2, A2, C4, A4, ZERO, B1, D1, B2, D2, B4, D4.

**171.05.4.3.1.2.6.8 Comm-B Identity Reply, Downlink Format 21**



This reply must be generated in response to an interrogation UF 5 or 21 with an RR field value greater than 15. The format of this reply must consist of these fields:


<i>Field</i>	<i>Reference</i>
DF downlink format	171.05.4.3.1.2.3.2.1.2
FS flight status	171.05.4.3.1.2.6.5.1
DR downlink request	171.05.4.3.1.2.6.5.2
UM utility message	171.05.4.3.1.2.6.5.3
ID identity	171.05.4.3.1.2.6.7.1
MB message, Comm-B	171.05.4.3.1.2.6.6.1
AP address/parity	171.05.4.3.1.2.3.2.1.3

**171.05.4.3.1.2.6.9 Lockout Protocols**

*Note.— Non-selective all-call lockout and multisite lockout are not mutually exclusive. Interrogators using multisite lockout protocols for interrogator networking coordination may use non-selective lockout commands in the same interrogation. For example, the non-selective lockout may be used to prevent Mode S transponder replies with DF=11 to wrongly detected Mode A/C/S all-call interrogations from Mode A/C-only all-call interrogations. This is because of the misinterpretation of the narrow P<sub>4</sub> pulse as a wide P<sub>4</sub> pulse.*

**171.05.4.3.1.2.6.9.1 Multisite all-call lockout**

*Note.— The multisite lockout protocol prevents transponder acquisition from being denied one ground station by lockout commands from an adjacent ground station that has overlapping coverage.*

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171.05.4.3.1.2.6.9.1.1 The multisite lockout command must be transmitted in the SD field (3.1.2.6.1.4.1). A lockout command for an II code must be transmitted in an SD with DI = 1 or DI = 7. An II lockout command must be indicated by LOS code equals 1 and the presence of a non-zero interrogator identifier in the IIS subfield of SD. A lockout command for an SI code must be transmitted in an SD with DI = 3. SI lockout must be indicated by LSS equals 1 and the presence of a non-zero interrogator identifier in the SIS subfield of SD. After a transponder has accepted an interrogation containing a multisite lockout command, that transponder must commence to lock out (i.e. not accept) any Mode S-only all-call interrogation which includes the identifier of the interrogator that commanded the lockout. The lockout must persist for an interval  $T_L$  (3.1.2.10.3.9) after the last acceptance of an interrogation containing the multisite lockout command. Multisite lockout must not prevent acceptance of a Mode S-only all-call interrogation containing PR codes 8 to 12. If a lockout command (LOS = 1) is received together with IIS = 0, it must be interpreted as a non-selective all-call lockout (3.1.2.6.9.2).

*Note 1.— Fifteen interrogators can send independent multisite II lockout commands. In addition, 63 interrogators can send independent SI lockout commands. Each of these lockout commands must be timed separately.*


*Note 2.— Multisite lockout (which only uses non-zero II codes) does not affect the response of the transponder to Mode S-only all-call interrogations containing II equals 0 or to Mode A/C/S all-call interrogations.*

#### **171.05.4.3.1.2.6.9.2 Non-selective all-call lockout**

*Note 1.— In cases where the multisite lockout protocol for II codes is not required (e.g. there is no overlapping coverage or there is ground station coordination via ground-to-ground communications) the non-selective lockout protocol may be used.*

On acceptance of an interrogation containing code 1 in the PC field, a transponder must commence to lock out (i.e. not accept) two types of all-call interrogations:

- a) the Mode S-only all-call (UF = 11), with II equals 0; and
- b) the Mode A/C/S all-call of 171.05.4.3.1.2.1.5.1.1.

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This lockout condition must persist for an interval  $T_D$  (171.05.4.3.1.2.10.3.9) after the last receipt of the command. Non-selective lockout must not prevent acceptance of a Mode S-only all-call interrogation containing PR codes 8 to 12.

*Note 2.— Non-selective lockout does not affect the response of the transponder to Mode S-only all-call interrogations containing  $II$  not equal to 0.*

#### **171.05.4.3.1.2.6.10 Basic Data Protocols**

171.05.4.3.1.2.6.10.1 *Flight status protocol.* Flight status must be reported in the FS field (171.05.4.3.1.2.6.5.1).

171.05.4.3.1.2.6.10.1.1 *Alert.* An alert condition must be reported in the FS field if the Mode A identity code transmitted in Mode A replies and in downlink formats DF equals 5 and DF equals 21 are changed by the pilot.

171.05.4.3.1.2.6.10.1.1.1 *Permanent alert condition.* The alert condition must be maintained if the Mode A identity code is changed to 7500, 7600 or 7700.


171.05.4.3.1.2.6.10.1.1.2 *Temporary alert condition.* The alert condition must be temporary and must cancel itself after  $T_C$  seconds if the Mode A identity code is changed to a value other than those listed in 171.05.4.3.1.2.6.10.1.1.1. The  $T_C$  must be retriggered and continued for  $T_C$  seconds after any change has been accepted by the transponder function.

*Note 1.— This retriggering is performed to ensure that the ground interrogator obtains the desired Mode A identity code before the alert condition is cleared.*

*Note 2.— The value of  $T_C$  is given in 171.05.4.3.1.2.10.3.9.*

171.05.4.3.1.2.6.10.1.1.3 *Termination of the permanent alert condition.* The permanent alert condition must be terminated and replaced by a temporary alert condition when the Mode A identity code is set to a value other than 7500, 7600 or 7700.

171.05.4.3.1.2.6.10.1.2 *Ground report.* The on-the-ground status of the aircraft must be reported in the CA field (171.05.4.3.1.2.5.2.2.1), the FS field (171.05.4.3.1.2.6.5.1), and the VS field (171.05.4.3.1.2.8.2.1). If an automatic indication of the on-the-ground condition (e.g., from a weight on wheels or strut switch) is available at the transponder data interface, it must be used as the basis for the reporting of on-the-ground status except as specified in

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171.05.4.3.1.2.6.10.3.1. If such indication is not available at the transponder data interface (171.05.4.3.1.2.10.5.1.3), the FS and VS codes must indicate that the aircraft is airborne and the CA field must indicate that the aircraft is either airborne or on the ground (CA = 6).

171.05.4.3.1.2.6.10.1.3 *Special position identification (SPI)*. An equivalent of the SPI pulse must be transmitted by Mode S transponders in the FS field and the surveillance status subfield (SSS) when manually activated. This pulse must be transmitted for  $T_i$  seconds after initiation (171.05.4.3.1.1.6.3, 171.05.4.3.1.1.7.13 and 171.05.4.3.1.2.8.6.3.1.1).

*Note.* — The value of  $T_i$  is given in 171.05.4.3.1.2.10.3.9.

171.05.4.3.1.2.6.10.2 *Capability reporting protocol*. The data structure and content of the data link capability report registers must be implemented in such a way that interoperability is ensured.

*Note 1.* — Aircraft capability is reported in special fields as defined in the following paragraphs.

*Note 2.* — The data format of the registers for reporting capability is specified in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871).


171.05.4.3.1.2.6.10.2.1 *Capability report*. The 3-bit CA field, contained in the all-call reply, DF equals 11, must report the basic capability of the Mode S transponder as described in 171.05.4.3.1.2.5.2.2.1.

171.05.4.3.1.2.6.10.2.2 *Data link capability report*. The data link capability report must provide the interrogator with a description of the data link capability of the Mode S installation.

*Note.* — The data link capability report is contained in register  $10_{16}$  with a possible extension in registers  $11_{16}$  to  $16_{16}$  when any continuation will be required.

#### **171.05.4.3.1.2.6.10.2.2.1 Extraction and subfields in MB for data link capability report**

171.05.4.3.1.2.6.10.2.2.1.1 *Extraction of the data link capability report contained in register  $10_{16}$* . The report must be obtained by a ground-initiated Comm-B reply in response to an interrogation containing RR equals 17 and DI is not equal to 7 or DI equals 7 and RRS equals 0 (171.05.4.3.1.2.6.11.2).

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171.05.4.3.1.2.6.10.2.2.1.2 *Sources of data link capability.* Data link capability reports must contain the capabilities provided by the transponder, the ADLP and the ACAS unit. If external inputs are lost, the transponder must zero the corresponding bits in the data link report.

171.05.4.3.1.2.6.10.2.2.1.3 The data link capability report must contain information on the following capabilities as specified in Table 3-6.

171.05.4.3.1.2.6.10.2.2.1.4 The Mode S subnetwork version number must contain information to ensure interoperability with older airborne equipment.

171.05.4.3.1.2.6.10.2.2.1.4.1 The Mode S subnetwork version number must indicate that all implemented subnetwork functions are in compliance with the requirements of the indicated version number. The Mode S subnetwork version number must be set to a non-zero value if at least one DTE or Mode S specific service is installed.


*Note.— The version number does not indicate that all possible functions of that version are implemented.*

171.05.4.3.1.2.6.10.2.2.2 *Updating of the data link capability report.* The transponder must, at intervals not exceeding four seconds, compare the current data link capability status (bits 41-88 in the data link capability report) with that last reported and must, if a difference is noted, initiate a revised data link capability report by Comm-B broadcast (171.05.4.3.1.2.6.11.4) for BDS1 = 1 (33-36) and BDS 2 = 0 (37-40). The transponder must initiate, generate and announce the revised capability report even if the aircraft data link capability is degraded or lost. The transponder must ensure that the BDS code is set for the data link capability report in all cases, including a loss of the interface.

*Note.— The setting of the BDS code by the transponder ensures that a broadcast change of capability report will contain the BDS code for all cases of data link failure (e.g. the loss of the transponder data link interface).*

#### **171.05.4.3.1.2.6.10.2.2.3 Zeroing of bits in the data link capability report**

If capability information to the transponder fails to provide an update at a rate of at least once every 4 seconds, the transponder must insert ZERO in bits 41 to 56 of the data link capability report (transponder register 10<sub>16</sub>).

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*Note. — Bits 1 to 8 contain the BDS1 and BDS2 codes. Bits 16 and 37 to 40 contain ACAS capability information. Bit 33 indicates the availability of aircraft identification data and is set by the transponder when the data comes from a separate interface and not from the ADLP. Bit 35 is the SI code indication. All of these bits are inserted by the transponder.*

171.05.4.3.1.2.6.10.2.3 *Common usage GICB capability report.* Common usage GICB services which are being actively updated must be indicated in transponder register 17<sub>16</sub>.

171.05.4.3.1.2.6.10.2.4 *Mode S specific services GICB capability reports.* GICB services that are installed must be reported in registers 18<sub>16</sub> to 1C<sub>16</sub>.

171.05.4.3.1.2.6.10.2.5 *Mode S specific services MSP capability reports.* MSP services that are installed must be reported in registers 1D<sub>16</sub> to 1F<sub>16</sub>.


**171.05.4.3.1.2.6.10.3 Validation of on-the-ground status declared by an automatic means**

*Note. — For aircraft with an automatic means of determining vertical status, the CA field reports whether the aircraft is airborne or on the ground. ACAS II acquires aircraft using the short or extended squitter, both of which contain the CA field. If an aircraft reports on-the-ground status, that aircraft will not be interrogated by ACAS II in order to reduce unnecessary interrogation activity. If the aircraft is equipped to report extended squitter messages, the function that formats these messages may have information available to validate that an aircraft reporting “on-the-ground” is actually airborne.*

171.05.4.3.1.2.6.10.3.1 Aircraft with an automatic means for determining the on-the-ground state on which transponders have access to at least one of the parameters, ground speed, radio altitude or airspeed, must perform the following validation check:

If the automatically determined air/ground status is not available or is “airborne”, no validation must be performed. If the automatically determined air/ground status is available and “on-the-ground” condition is being reported, the air/ground status must be overridden and changed to “airborne” if:

Ground Speed > 100 knots OR Airspeed > 100 knots OR Radio Altitude > 50 feet

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#### 171.05.4.3.1.2.6.11 Standard Length Communications Protocols

*Note 1.— The two types of standard length communications protocols are Comm-A and Comm-B; messages using these protocols are transferred under the control of the interrogator. Comm-A messages are sent directly to the transponder and are completed within one transaction. A Comm-B message is used to transfer information from air to ground and can be initiated either by the interrogator or the transponder. In the case of ground-initiated Comm-B transfers, the interrogator requests data to be read out from the transponder, which delivers the message in the same transaction. In the case of airinitiated Comm-B transfers, the transponder announces the intention to transmit a message; in a subsequent transaction an interrogator will extract the message.*


*Note 2.— In a non-selective air-initiated Comm-B protocol all transactions necessary can be controlled by any interrogator.*

*Note 3.— In some areas of overlapping interrogator coverage there may be no means for coordinating interrogator activities via ground communications. Air-initiated Comm-B communications protocols require more than one transaction for completion. Provision is made to ensure that a Comm-B message is closed out only by the interrogator that actually transferred the message. This can be accomplished through the use of the multisite Comm-B communications protocols or through the use of the enhanced Comm-B communications protocols.*

*Note 4.— The multisite and the non-selective communications protocols cannot be used simultaneously in a region of overlapping interrogator coverage unless the interrogators coordinate their communications activities via ground communications.*

*Note 5.— The multisite communications protocol is independent of the multisite lockout protocol. That is, the multisite communications protocol may be used with the non-selective lockout protocol and vice versa. The choice of lockout and communications protocols to be used depends upon the network management technique being used.*

*Note 6.— The broadcast Comm-B protocol can be used to make a message available to all active interrogators.*

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171.05.4.3.1.2.6.11.1 *Comm-A*. The interrogator must deliver a Comm-A message in the MA field of an interrogation UF = 20 or 21.

171.05.4.3.1.2.6.11.1.1 *Comm-A technical acknowledgement*. Acceptance of a Comm-A interrogation must be automatically technically acknowledged by the transponder, by the transmission of the requested reply (171.05.4.3.1.2.10.5.2.2.1).

*Note.— The receipt of a reply from the transponder according to the rules of 171.05.4.3.1.2.4.1.2.3 d) and 171.05.4.3.1.2.4.1.3.2.2.2 is the acknowledgement to the interrogator that the interrogation has been accepted by the transponder. If either uplink or downlink fail, this reply will be missing and the interrogator will normally send the message again. In the case of downlink failure, the transponder may receive the message more than once.*

171.05.4.3.1.2.6.11.1.2 *Comm-A broadcast*. If a Comm-A broadcast interrogation is accepted (171.05.4.3.1.2.4.1.2.3.1.3) information transfer must be handled according to 171.05.4.3.1.2.10.5.2.1.1 but other transponder functions must not be affected and a reply must not be transmitted.

*Note 1.— There is no technical acknowledgement to a Comm-A broadcast message.*


*Note 2.— Since the transponder does not process the control fields of a Comm-A broadcast interrogation, the 27 bits following the UF field are also available for user data.*

#### **171.05.4.3.1.2.6.11.2 Ground-initiated Comm-B**

171.05.4.3.1.2.6.11.2.1 *Comm-B data selector, BDS*. The 8-bit BDS code must determine the register whose contents must be transferred in the MB field of the Comm-B reply. It must be expressed in two groups of 4 bits each, BDS1 (most significant 4 bits) and BDS2 (least significant 4 bits).

*Note.— The transponder register allocation is specified in Annex 10, Volume III, Part I, Chapter 5, Table 5-24.*

171.05.4.3.1.2.6.11.2.2 *BDS1 code*. The BDS1 code must be as defined in the RR field of a surveillance or Comm-A interrogation.

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171.05.4.3.1.2.6.11.2.3 *BDS2 code.* The BDS2 code must be as defined in the RRS subfield of the SD field (171.05.4.3.1.2.6.1.4.1) when DI = 7 or DI = 3. If no BDS2 code is specified (i.e. DI is not equal to either 7 or 3) it must signify that BDS2 = 0.

171.05.4.3.1.2.6.11.2.4 *Protocol.* On receipt of such a request, the MB field of the reply must contain the contents of the requested ground-initiated Comm-B register.

171.05.4.3.1.2.6.11.2.4.1 If the requested register is not serviced by the aircraft installation, the transponder must reply and the MB field of the reply must contain all ZEROS.

171.05.4.3.1.2.6.11.2.5 *Overlay control.* If the “DI” code of the Comm-B requesting interrogation is 0, 3 or 7, the “SD” contains the overlay control (OVC) field in accordance with paragraph 171.05.4.3.1.2.6.1.4.1 i).


a) If the “OVC” is equal to “1,” then the reply to the interrogation must contain the “DP” (data parity) field in accordance with paragraph 171.05.4.3.1.2.3.2.1.5; and

b) If the “OVC” is equal to “0,” then the reply to the interrogation must contain the “AP” field in accordance with paragraph 171.05.4.3.1.2.3.2.1.3.

### **171.05.4.3.1.2.6.11.3 Air-initiated Comm-B**

171.05.4.3.1.2.6.11.3.1 *General protocol.* The transponder must announce the presence of an air-initiated Comm-B message with the insertion of code 1 in the DR field. To extract an air-initiated Comm-B message, the interrogator must transmit a request for a Comm-B message reply in a subsequent interrogation with RR equal to 16 and, if DI equals 7, RRS must be equal to 0 (171.05.4.3.1.2.6.11.3.2.1 and 171.05.4.3.1.2.6.11.3.3.1). Receipt of this request code must cause the transponder to transmit the air-initiated Comm-B message. If a command to transmit an air-initiated Comm-B message is received while no message is waiting to be transmitted, the reply must contain all ZEROS in the MB field.

The reply that delivers the message must continue to contain code 1 in the DR field. After a Comm-B closeout has been accomplished, the message must be cancelled and the DR code belonging to this message immediately removed. If another air-initiated Comm-B message is waiting to be transmitted, the transponder must set the DR code to 1, so that the reply contains the announcement of this next message.

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*Note.*— The announcement and cancellation protocol ensures that an air-initiated message will not be lost due to uplink or downlink failures that occur during the delivery process.

#### **171.05.4.3.1.2.6.11.3.2 Additional protocol for multisite air-initiated Comm-B**

*Note.*— The announcement of an air-initiated Comm-B message waiting to be delivered may be accompanied by a multisite reservation status report in the UM field (171.05.4.3.1.2.6.5.3.2).

**Recommendation.**— An interrogator may not attempt to extract a message if it has determined that it is not the reserved site.

171.05.4.3.1.2.6.11.3.2.1 *Message transfer.* An interrogator must request a Comm-B reservation and extract an air-initiated Comm-B message by transmitting a surveillance or Comm-A interrogation UF equals 4, 5, 20 or 21 containing:

RR = 16

DI = 1

IIS = assigned interrogator identifier

MBS = 1 (Comm-B reservation request)


*Note.*— A Comm-B multisite reservation request is normally accompanied by a Comm-B reservation status request (RSS = 1). This causes the interrogator identifier of the reserved site to be inserted in the UM field of the reply.

171.05.4.3.1.2.6.11.3.2.1.1 Protocol procedure in response to this interrogation must depend upon the state of the B-timer which indicates if a Comm-B reservation is active. This timer must run for  $T_R$  seconds.

*Note 1.*— The value of  $T_R$  is given in 171.05.4.3.1.2.10.3.9.

a) If the B-timer is not running, the transponder must grant a reservation to the requesting interrogator by:

- 1) storing the IIS of the interrogation as the Comm-B II; and
- 2) starting the B-timer.

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A multisite Comm-B reservation must not be granted by the transponder unless an air-initiated Comm-B message is waiting to be transmitted and the requesting interrogation contains RR equals 16, DI equals 1, MBS equals 1 and IIS is not 0.

b) If the B-timer is running and the IIS of the interrogation equals the Comm-B II, the transponder must restart the B-timer.

c) If the B-timer is running and the IIS of the interrogation does not equal the Comm-B II, then there must be no change to the Comm-B II or the B-timer.

*Note 2. — In case c) the reservation request has been denied.*

171.05.4.3.1.2.6.11.3.2.1.2 In each case the transponder must reply with the Comm-B message in the MB field.

171.05.4.3.1.2.6.11.3.2.1.3 An interrogator must determine if it is the reserved site for this message through coding in the UM field. If it is the reserved site it must attempt to close out the message in a subsequent interrogation. If it is not the reserved site it must not attempt to close out the message.


171.05.4.3.1.2.6.11.3.2.2 *Multisite-directed Comm-B transmissions.* To direct an air-initiated Comm-B message to a specific interrogator, the multisite Comm-B protocol must be used. When the B-timer is not running, the interrogator identifier of the desired destination must be stored as the Comm-B II. Simultaneously the B-timer must be started and the DR code must be set to 1. For a multisite-directed Comm-B message, the B-timer must not automatically time out but must continue to run until:

a) the message is read and closed out by the reserved site; or

b) the message is cancelled (171.05.4.3.1.2.10.5.4) by the data link avionics.

*Note. — The protocols of 171.05.4.3.1.2.6.5.3 and 171.05.4.3.1.2.6.11.3.2.1 will then result in delivery of the message to the reserved site. The data link avionics may cancel the message if delivery to the reserved site cannot be accomplished.*

171.05.4.3.1.2.6.11.3.2.3 *Multisite Comm-B closeout.* The interrogator must close out a multisite air-initiated Comm-B by transmitting either a surveillance or a Comm-A interrogation containing:

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*either* DI = 1

IIS = assigned interrogator identifier

MBS = 2 (Comm-B closeout)

*or* DI = 0, 1 or 7

IIS = assigned interrogator identifier

PC = 4 (Comm-B closeout)

The transponder must compare the IIS of the interrogation to the Comm-B II and if the interrogator identifiers do not match, the message must not be cleared and the status of the Comm-B II, B-timer, and DR code must not be changed. If the interrogator identifiers match, the transponder must set the Comm-B II to 0, reset the B-timer, clear the DR code for this message and clear the message itself. The transponder must not close out a multisite air-initiated Comm-B message unless it has been read out at least once by the reserved site.

171.05.4.3.1.2.6.11.3.2.4 *Automatic expiration of Comm-B reservation.* If the B-timer period expires before a multisite closeout has been accomplished, the Comm-B II must be set to 0 and the B-timer reset. The Comm-B message and the DR field must not be cleared by the transponder.


*Note.* — *This makes it possible for another site to read and clear this message.*

171.05.4.3.1.2.6.11.3.3 *Additional protocol for non-selective air-initiated Comm-B*

*Note.* — *In cases where the multisite protocols are not required (i.e. no overlapping coverage or sensor coordination via ground-to-ground communication), the non-selective air-initiated Comm-B protocol may be used.*

171.05.4.3.1.2.6.11.3.3.1 *Message transfer.* The interrogator must extract the message by transmitting either RR equals 16 and DI is not equal to 7, or RR equals 16, DI equals 7 and RRS equals 0 in a surveillance or Comm-A interrogation.

171.05.4.3.1.2.6.11.3.3.2 *Comm-B closeout.* The interrogator must close out a non-selective air-initiated Comm-B message by transmitting PC equals 4 (Comm-B closeout). On receipt of this command, the transponder must perform closeout, unless the B-timer is running. If the B-timer is running, indicating that a multisite reservation is in effect, closeout must be accomplished as per 171.05.4.3.1.2.6.11.3.2.3. The transponder must not close out a non-selective air-initiated

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Comm-B message unless it has been read out at least once by an interrogation using non-selective protocols.

#### **171.05.4.3.1.2.6.11.3.4 Enhanced air-initiated Comm-B protocol**

*Note.— The enhanced air-initiated Comm-B protocol provides a higher data link capacity by permitting parallel delivery of air-initiated Comm-B messages by up to sixteen interrogators, one for each II code. Operation without the need for multisite Comm-B reservations is possible in regions of overlapping coverage for interrogators equipped for the enhanced air-initiated Comm-B protocol. The protocol is fully conformant to the standard multisite protocol and thus is compatible with interrogators that are not equipped for the enhanced protocol.*


171.05.4.3.1.2.6.11.3.4.1 The transponder must be capable of storing each of the sixteen II codes: (1) an air-initiated or multisite-directed Comm-B message and (2) the contents of GICB registers 2 through 4.

*Note.— GICB registers 2 through 4 are used for the Comm-B linking protocol defined in the Mode S subnetwork SARPs (NAM-CATS-ATEL, Subpart 171.05.3, Part I, Chapter 5).*

#### **171.05.4.3.1.2.6.11.3.4.2 Enhanced multisite air-initiated Comm-B protocol**

171.05.4.3.1.2.6.11.3.4.2.1 *Initiation.* An air-initiated Comm-B message input into the transponder must be stored in the registers assigned to II = 0.

171.05.4.3.1.2.6.11.3.4.2.2 *Announcement and extraction.* A waiting air-initiated Comm-B message must be announced in the DR field of the replies to all interrogators for which a multisite directed Comm-B message is not waiting. The UM field of the announcement reply must indicate that the message is not reserved for any II code, i.e. the IIS subfield must be set to 0. When a command to read this message is received from a given interrogator, the reply containing the message must contain an IIS subfield content indicating that the message is reserved for the II code contained in the interrogation from that interrogator. After readout and until closeout, the message must continue to be assigned to that II code. Once a message is assigned to a specific II code, announcement of this message must be no longer made in the replies to interrogators with other II codes. If the message is not closed out by the assigned interrogator for the period of the B-timer, the message must revert back to multisite air-initiated status and the process must repeat. Only one multisite air-initiated Comm-B message must be in process at a time.

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171.05.4.3.1.2.6.11.3.4.2.3 *Closeout.* A closeout for a multisite air-initiated message must only be accepted from the interrogator that is currently assigned to transfer the message.

171.05.4.3.1.2.6.11.3.4.2.4 *Announcement of the next message waiting.* The DR field must indicate a message waiting in the reply to an interrogation containing a Comm-B closeout if an unassigned air-initiated message is waiting and has not been assigned to a II code, or if a multisite-directed message is waiting for that II code (171.05.4.3.1.2.6.11.3.4.3).


**171.05.4.3.1.2.6.11.3.4.3 Enhanced multisite directed Comm-B protocol**

171.05.4.3.1.2.6.11.3.4.3.1 *Initiation.* When a multisite directed message is input into the transponder, it must be placed in the Comm-B registers assigned to the II code specified for the message. If the registers for this II code are already occupied, (i.e. a multisite directed message is already in process to this II code) the new message must be queued until the current transaction with that II code is closed out.

171.05.4.3.1.2.6.11.3.4.3.2 *Announcement.* Announcement of a Comm-B message waiting transfer must be made using the DR field as specified in 171.05.4.3.1.2.6.5.2 with the destination interrogator II code contained in the IIS subfield as specified in 171.05.4.3.1.2.6.5.3.2. The DR field and IIS subfield contents must be set specifically for the interrogator that is to receive the reply. A waiting multisite directed message must only be announced in the replies to the intended interrogator. It must not be announced in the replies to other interrogators.

*Note 1.— If a multisite-directed message is waiting for II = 2, the surveillance replies to that interrogator will contain DR = 1 and IIS = 2. If this is the only message in process, replies to all other interrogators will indicate that no message is waiting.*

*Note 2.— In addition to permitting parallel operation, this form of announcement enables a greater degree of announcement of downlink ELMs. The announcements for the downlink ELM and the Comm-B share the DR field. Only one announcement can take place at a time due to coding limitations. In case both a Comm-B and a downlink ELM are waiting, announcement preference is given to the Comm-B. In the example above, if an air-directed Comm-B was waiting for II = 2 and a multisite-directed downlink ELM was waiting for II = 6, both interrogators would see their respective announcements on the first scan since there would be no Comm-B announcement to II = 6 to block the announcement of the waiting downlink ELM.*

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171.05.4.3.1.2.6.11.3.4.3.3 *Closeout.* Closeout must be accomplished as specified in 171.05.4.3.1.2.6.11.3.2.3.

171.05.4.3.1.2.6.11.3.4.3.4 *Announcement of the next message waiting.* The DR field must indicate a message waiting in the reply to an interrogation containing a Comm-B closeout if another multisite directed message is waiting for that II code, or if an air-initiated message is waiting and has not been assigned to a II code. (See 171.05.4.3.1.2.6.11.3.4.2.4.)

171.05.4.3.1.2.6.11.3.4.4 *Enhanced non-selective Comm-B protocol.* The availability of a non-selective Comm-B message must be announced to all interrogators. Otherwise, the protocol must be as specified in 171.05.4.3.1.2.6.11.3.3.

#### **171.05.4.3.1.2.6.11.4 Comm-B broadcast**

*Note 1.— A Comm-B message may be broadcast to all active interrogators within range. Messages are alternately numbered 1 and 2 and are self-cancelling after 18 seconds. Interrogators have no means to cancel Comm-B broadcast messages.*

*Note 2.— Use of the Comm-B broadcast is restricted to transmission of information which does not require a subsequent ground-initiated uplink response.*


*Note 3.— The timer used for the Comm-B broadcast cycle is the same as that used for the Comm-B multisite protocol.*

*Note 4.— Data formats for Comm-B broadcast are specified in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871).*

#### **171.05.4.3.1.2.6.11.4.1 Initiation**

171.05.4.3.1.2.6.11.4.1.1 A Comm-B broadcast cycle must begin with:

- a) the loading of the broadcast message into the Comm-B buffer;
- b) the starting of the B-timer for the current Comm-B message; and

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*Note.— If there is more than one Comm-B message waiting for transmission, the timer is only started once the message becomes the current Comm-B broadcast.*

c) the selection of DR code 4 or 5 (see 171.05.4.3.1.2.6.5.2), for insertion into future replies with DF 4, 5, 20 or 21 when ACAS information is not available, or DR code 6 or 7 when ACAS information is available.

171.05.4.3.1.2.6.11.4.1.2 The DR field must be changed to the next value each time a new Comm-B broadcast message is initiated by the transponder.

*Note.— The change of the DR value is used by the interrogator to detect that a new Comm-B broadcast message is announced and to extract the new Comm-B message.*

171.05.4.3.1.2.6.11.4.1.3 A Comm-B broadcast cycle must not be initiated when an air-initiated Comm-B message is waiting to be transmitted.


171.05.4.3.1.2.6.11.4.1.4 A new Comm-B broadcast cycle must not interrupt a current Comm-B broadcast cycle.

171.05.4.3.1.2.6.11.4.2 *Extraction.* To extract the broadcast message, an interrogator must transmit RR equals 16 and DI not equal to 3 or 7 or RR equals 16 and DI equals 3 or 7 with RRS equals 0 in a subsequent interrogation.

171.05.4.3.1.2.6.11.4.3 *Expiration.* When the B-timer period expires, the transponder must clear the DR code for this message, discard the present broadcast message and change the broadcast message number (from 1 to 2 or 2 to 1) in preparation for a subsequent Comm-B broadcast.

171.05.4.3.1.2.6.11.4.4 *Interruption.* In order to prevent a Comm-B broadcast cycle from delaying the delivery of an air-initiated Comm-B message, provision must be made for an air-initiated Comm-B to interrupt a Comm-B broadcast cycle. If a broadcast cycle is interrupted, the B-timer must be reset, the interrupted broadcast message must be retained and the message number must not be changed. Delivery of the interrupted broadcast message must recommence when no air-initiated CommB transaction is in effect. The message must then be broadcast for the full duration of the B-timer.

171.05.4.3.1.2.6.11.4.5 *Enhanced broadcast Comm-B protocol.* A broadcast Comm-B message must be announced to all interrogators using II codes. The message must remain active for the period of

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the B-timer for each II code. The provision for interruption of a broadcast by non-broadcast Comm-B as specified in 171.05.4.3.1.2.6.11.4.4 must apply separately to each II code. When the B-timer period has been achieved for all II codes, the broadcast message must be automatically cleared as specified in 171.05.4.3.1.2.6.11.4.3. A new broadcast message must not be initiated until the current broadcast has been cleared.

*Note.— Due to the fact that broadcast message interruption occurs independently for each II code, it is possible that the broadcast message timeout will occur at different times for different II codes.*

**171.05.4.3.1.2.6.11.4.6** *Management of Comm-B messages waiting for transmission.* If the content of a waiting Comm-B broadcast message is updated, only the most recent value for each downlink broadcast identifier must be retained and broadcast once the current Comm-B broadcast is finished.

*Note.— Downlink broadcast identifiers are defined in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871).*

**171.05.4.3.1.2.7 Extended Length Communication Transactions**

*Note 1.— Long messages, either on the uplink or the downlink, can be transferred by the extended length message (ELM) protocols through the use of Comm-C (UF = 24) and Comm-D (DF = 24) formats respectively. The ELM uplink protocol provides for the transmission on the uplink of up to sixteen 80-bit message segments before requiring a reply from the transponder. They also allow a corresponding procedure on the downlink.*

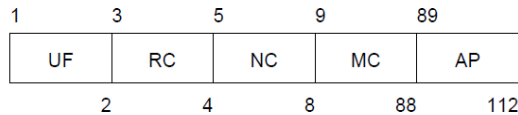
*Note 2.— In some areas of overlapping interrogator coverage there may be no means for coordinating interrogator activities via ground communications. However, the ELM communication protocols require more than one transaction for completion; coordination is thus necessary to ensure that segments from different messages are not interleaved and that transactions are not inadvertently closed out by the wrong interrogator. This can be accomplished through the use of the multisite communications protocols or through the use of the enhanced ELM protocols.*

*Note 3.— Downlink extended length messages are transmitted only after authorization by the interrogator. The segments to be transmitted are contained in Comm-D replies. As with air-initiated Comm-B messages, downlink ELMs are either announced to all interrogators or directed to a specific interrogator. In the former case an individual interrogator can use the multisite*

protocol to reserve for itself the ability to close out the downlink ELM transaction. A transponder can be instructed to identify the interrogator that has reserved the transponder for an ELM transaction. Only that interrogator can close out the ELM transaction and reservation.

Note 4.— The multisite protocol and the non-selective protocol cannot be used simultaneously in a region of overlapping interrogator coverage unless the interrogators coordinate their communications activities via ground communications.

**171.05.4.3.1.2.7.1 Comm-C, Uplink Format 24**



The format of this interrogation must consist of these fields:

<i>Field</i>	<i>Reference</i>
UF uplink format	171.05.4.3.1.2.3.2.1.1
RC reply control	171.05.4.3.1.2.7.1.1
NC number of C-segment	171.05.4.3.1.2.7.1.2
MC message, Comm-C	171.05.4.3.1.2.7.1.3
AP address/parity	171.05.4.3.1.2.3.2.1.3

171.05.4.3.1.2.7.1.1 *RC: Reply control.* This 2-bit (3-4) uplink field must designate segment significance and reply decision.

*Coding*

- RC = 0 signifies uplink ELM initial segment in MC
- = 1 signifies uplink ELM intermediate segment in MC
- = 2 signifies uplink ELM final segment in MC
- = 3 signifies a request for downlink ELM delivery (171.05.4.3.1.2.7.7.2)

171.05.4.3.1.2.7.1.2 *NC: Number of C-segment.* This 4-bit (5-8) uplink field must designate the number of the message segment contained in MC (171.05.4.3.1.2.7.4.2.1). NC must be coded as a binary number.

171.05.4.3.1.2.7.1.3 *MC: Message, Comm-C.* This 80-bit (9-88) uplink field must contain:

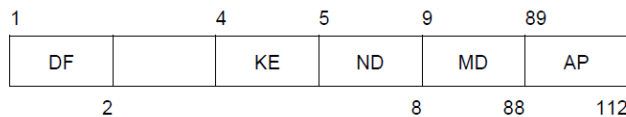
- a) one of the segments of a sequence used to transmit an uplink ELM to the transponder containing the 4-bit (9-12) IIS subfield; or
- b) control codes for a downlink ELM, the 16-bit (9-24) SRS subfield (171.05.4.3.1.2.7.7.2.1) and the 4-bit (25-28) IIS subfield.

*Note.* — Message content and codes are not included in this chapter except for 171.05.4.3.1.2.7.7.2.1.

**171.05.4.3.1.2.7.2 Interrogation-Reply Protocol For Uf24**

*Note.* — Interrogation-reply coordination for the above format follows the protocol outlined in Table 3-5 (171.05.4.3.1.2.4.1.3.2.2).

**171.05.4.3.1.2.7.3 Comm-D, Downlink Format 24**




The format of this reply must consist of these fields:

Field	Reference
DF downlink format	171.05.4.3.1.2.3.2.1.2
spare — 1 bit	
KE control, ELM	171.05.4.3.1.2.7.3.1
ND number of D-segment	171.05.4.3.1.2.7.3.2
MD message, Comm-D	171.05.4.3.1.2.7.3.3
AP address/parity	171.05.4.3.1.2.3.2.1.3

171.05.4.3.1.2.7.3.1 *KE: Control, ELM.* This 1-bit (4) downlink field must define the content of the ND and MD fields.

*Coding*

- KE = 0 signifies downlink ELM transmission
- 1 signifies uplink ELM acknowledgement

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171.05.4.3.1.2.7.3.2 *ND: Number of D-segment.* This 4-bit (5-8) downlink field must designate the number of the message segment contained in MD (171.05.4.3.1.2.7.7.2). ND must be coded as a binary number.

171.05.4.3.1.2.7.3.3 *MD: Message, Comm-D.* This 80-bit (9-88) downlink field must contain:

- a) one of the segments of a sequence used to transmit a downlink ELM to the interrogator; or
- b) control codes for an uplink ELM.

#### **171.05.4.3.1.2.7.4 Multisite Uplink Elm Protocol**

171.05.4.3.1.2.7.4.1 *Multisite uplink ELM reservation.* An interrogator must request a reservation for an uplink ELM by transmitting a surveillance or Comm-A interrogation containing:


- DI = 1
- IIS = assigned interrogator identifier
- MES = 1 or 5 (uplink ELM reservation request)

*Note.*— A multisite uplink ELM reservation request is normally accompanied by an uplink ELM reservation status request ( $RSS = 2$ ). This causes the interrogator identifier of the reserved site to be inserted in the UM field of the reply.

171.05.4.3.1.2.7.4.1.1 Protocol procedure in response to this interrogation must depend upon the state of the C-timer which indicates if an uplink ELM reservation is active. This timer must run for  $T_R$  seconds.

*Note 1.*— The value of  $T_R$  is given in 171.05.4.3.1.2.10.3.9.

- a) If the C-timer is not running, the transponder must grant a reservation to the requesting interrogator by:
  - 1) storing the IIS of the interrogation as the Comm-C II and,
  - 2) starting the C-timer.
- b) If the C-timer is running and the IIS of the interrogation equals the Comm-C II, the transponder must restart the C-timer.

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c) If the C-timer is running and the IIS of the interrogation does not equal the Comm-C II, there must be no change to the Comm-C II or the C-timer.

*Note 2.— In case c) the reservation request has been denied.*

171.05.4.3.1.2.7.4.1.2 An interrogator must not start ELM activity unless, during the same scan, having requested an uplink ELM status report, it has received its own interrogator identifier as the reserved interrogator for uplink ELM in the UM field.

*Note.— If ELM activity is not started during the same scan as the reservation, a new reservation request may be made during the next scan.*

171.05.4.3.1.2.7.4.1.3 If uplink ELM delivery is not completed on the current scan, the interrogator must ensure that it still has a reservation before delivering additional segments on a subsequent scan.


171.05.4.3.1.2.7.4.2 *Multisite uplink ELM delivery.* The minimum length of an uplink ELM must be 2 segments, the maximum length must be 16 segments.

171.05.4.3.1.2.7.4.2.1 *Initial segment transfer.* The interrogator must begin the ELM uplink delivery for an n-segment message (NC values from 0 to n-1) by a Comm-C transmission containing RC equals 0. The message segment transmitted in the MC field must be the last segment of the message and must carry NC equals n-1.

On receipt of an initializing segment (RC = 0) the transponder must establish a “setup” defined as:

- a) clearing the number and content of previous segment storage registers and the associated TAS field;
- b) assigning storage space for the number of segments announced in NC of this interrogation; and
- c) storing the MC field of the segment received.

The transponder must not reply to this interrogation.

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Receipt of another initializing segment must result in a new setup within the transponder.

171.05.4.3.1.2.7.4.2.2 *Transmission acknowledgement.* The transponder must use the TAS subfield to report the segments received so far in an uplink ELM sequence. The information contained in the TAS subfield must be continually updated by the transponder as segments are received.

*Note.— Segments lost in uplink transmission are noted by their absence in the TAS report and are retransmitted by the interrogator which will then send further final segments to assess the extent of message completion.*

171.05.4.3.1.2.7.4.2.2.1 *TAS, transmission acknowledgement subfield in MD.* This 16-bit (17-32) downlink subfield in MD reports the segment numbers received so far in an uplink ELM sequence. Starting with bit 17, which denotes segment number 0, each of the following bits must be set to ONE if the corresponding segment of the sequence has been received. TAS must appear in MD if KE equals 1 in the same reply.


171.05.4.3.1.2.7.4.2.3 *Intermediate segment transfer.* The interrogator must transfer intermediate segments by transmitting Comm-C interrogations with RC equals 1. The transponder must store the segments and update TAS only if the setup of 171.05.4.3.1.2.7.4.2.1 is in effect and if the received NC is smaller than the value stored at receipt of the initial segment. No reply must be generated on receipt of an intermediate segment.

*Note.— Intermediate segments may be transmitted in any order.*

171.05.4.3.1.2.7.4.2.4 *Final segment transfer.* The interrogator must transfer a final segment by transmitting a Comm-C interrogation with RC equals 2. The transponder must store the content of the MC field and update TAS if the setup of 171.05.4.3.1.2.7.4.2.1 is in effect and if the received NC is smaller than the value of the initial segment NC. The transponder must reply under all circumstances as per 171.05.4.3.1.2.7.4.2.5.

*Note 1.— This final segment transfer interrogation can contain any message segment.*

*Note 2.— RC equals 2 is transmitted any time that the interrogator wants to receive the TAS subfield in the reply. Therefore, more than one “final” segment may be transferred during the delivery of an uplink ELM.*

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171.05.4.3.1.2.7.4.2.5 *Acknowledgement reply.* On receipt of a final segment, the transponder must transmit a Comm-D reply (DF = 24), with KE equals 1 and with the TAS subfield in the MD field. This reply must be transmitted at 128 microseconds plus or minus 0.25 microsecond following the sync phase reversal of the interrogation delivering the final segment.

171.05.4.3.1.2.7.4.2.6 *Completed message.* The transponder must deem the message complete if all segments announced by NC in the initializing segment have been received. If the message is complete, the message content must be delivered to the outside via the ELM interface of 171.05.4.3.1.2.10.5.2.1.3 and cleared. No later-arriving segments must be stored. The TAS content must remain unchanged until either a new setup is called for (171.05.4.3.1.2.7.4.2.1) or until closeout (171.05.4.3.1.2.7.4.2.8).

171.05.4.3.1.2.7.4.2.7 *C-timer restart.* The C-timer must be restarted each time that a received segment is stored and the CommC II is not 0.

*Note.— The requirement for the Comm-C II to be non-zero prevents the C-timer from being restarted during a nonselective uplink ELM transaction.*


171.05.4.3.1.2.7.4.2.8 *Multisite uplink ELM closeout.* The interrogator must close out a multisite uplink ELM by transmitting either a surveillance or a Comm-A interrogation containing:

*either* DI = 1  
IIS = assigned interrogator identifier  
MES = 2, 6 or 7 (uplink ELM closeout)

*or* DI = 0, 1 or 7  
IIS = assigned interrogator identifier  
PC = 5 (uplink ELM closeout)

The transponder must compare the IIS of the interrogation to the Comm-C II and if the interrogator identifiers do not match, the state of the ELM uplink process must not be changed.

If the interrogator identifiers match, the transponder must set the Comm-C II to 0, reset the C-timer, clear the stored TAS and discard any stored segments of an incomplete message.

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171.05.4.3.1.2.7.4.2.9 *Automatic multisite uplink ELM closeout.* If the C-timer period expires before a multisite closeout has been accomplished the closeout actions described in 171.05.4.3.1.2.7.4.2.8 must be initiated automatically by the transponder.

**171.05.4.3.1.2.7.5 Non-Selective Uplink Elm**

*Note.— In cases where the multisite protocols are not required (for example, no overlapping coverage or sensor coordination via ground-to-ground communication), the non-selective uplink ELM protocol may be used.*


Non-selective uplink ELM delivery must take place as for multisite uplink ELMs described in 171.05.4.3.1.2.7.4.2. The interrogator must close out an uplink ELM by transmitting PC equals 5 (uplink ELM closeout) in a surveillance or Comm-A interrogation. On receipt of this command, the transponder must perform closeout, unless the C-timer is running. If the C-timer is running, indicating that a multisite reservation is in effect, the closeout must be accomplished as per 171.05.4.3.1.2.7.4.2.8. An uncompleted message, present when the closeout is accepted, must be cancelled.

**171.05.4.3.1.2.7.6 Enhanced Uplink Elm Protocol**

*Note.— The enhanced uplink ELM protocol provides a higher data link capacity by permitting parallel delivery of uplink ELM messages by up to sixteen interrogators, one for each II code. Operation without the need for multisite uplink ELM reservations is possible in regions of overlapping coverage for interrogators equipped for the enhanced uplink ELM protocol. The protocol is fully conformant to the standard multisite protocol and thus is compatible with interrogators that are not equipped for the enhanced protocol.*

**171.05.4.3.1.2.7.6.1 General**

171.05.4.3.1.2.7.6.1.1 The interrogator must determine from the data link capability report whether the transponder supports the enhanced protocols. If the enhanced protocols are not supported by both the interrogator and the transponder, the multisite reservation protocols specified in 171.05.4.3.1.2.7.4.1 must be used.

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*Note.— If the enhanced protocols are supported, uplink ELMs delivered using the multisite protocol may be delivered without a prior reservation.*

3.1.2.7.6.1.2 **Recommendation.**— *If the transponder and the interrogator are equipped for the enhanced protocol, the interrogator may use the enhanced uplink protocol.*

171.05.4.3.1.2.7.6.1.3 The transponder must be capable of storing a sixteen segment message for each of the sixteen II codes.

171.05.4.3.1.2.7.6.2 *Reservation processing.* The transponder must support reservation processing for each II code as specified in 171.05.4.3.1.2.7.4.1

*Note 1.— Reservation processing is required for interrogators that do not support the enhanced protocol.*


*Note 2.— Since the transponder can process simultaneous uplink ELMs for all sixteen II codes, a reservation will always be granted.*

171.05.4.3.1.2.7.6.3 *Enhanced uplink ELM delivery and closeout.* The transponder must process received segments separately by II code. For each value of II code, uplink ELM delivery and closeout must be performed as specified in 171.05.4.3.1.2.7.4.2 except that the MD field used to transmit the technical acknowledgment must also contain the 4-bit (33-36) IIS subfield.

*Note.— The interrogator may use the II code contained in the technical acknowledgement in order to verify that it has received the correct technical acknowledgement.*

#### **171.05.4.3.1.2.7.7 Multisite Downlink Elm Protocol**

171.05.4.3.1.2.7.7.1 *Initialization.* The transponder must announce the presence of a downlink ELM of  $n$  segments by making the binary code corresponding to the decimal value  $15 + n$  available for insertion in the DR field of a surveillance or Comm-B reply, DF equals 4, 5, 20, 21. This announcement must remain active until the ELM is closed out (171.05.4.3.1.2.7.7.3, 171.05.4.3.1.2.7.8.1).

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171.05.4.3.1.2.7.1.1 *Multisite downlink ELM reservation.* An interrogator must request a reservation for extraction of a downlink ELM by transmitting a surveillance or Comm-A interrogation containing:

DI = 1

IIS = assigned interrogator identifier

MES = 3 or 6 (downlink ELM reservation request)

*Note.— A multisite downlink ELM reservation request is normally accompanied by a downlink ELM reservation status request (RSS = 3). This causes the interrogator identifier of the reserved interrogator to be inserted in the UM field of the reply.*

171.05.4.3.1.2.7.1.1.1 Protocol procedure in response to this interrogation must depend upon the state of the D-timer which indicates if a downlink ELM reservation is active. This timer must run for  $T_R$  seconds.

*Note 1.— The value of  $T_R$  is given in 171.05.4.3.1.2.10.3.9.*

a) if the D-timer is not running, the transponder must grant a reservation to the requesting interrogator by:

1) storing the IIS of the interrogation as the Comm-D II; and


2) starting the D-timer.

A multisite downlink ELM reservation must not be granted by the transponder unless a downlink ELM is waiting to be transmitted.

b) if the D-timer is running and the IIS of the interrogation equals the Comm-D II, the transponder must restart the D-timer; and

c) if the D-timer is running and the IIS of the interrogation does not equal the Comm-D II, there must be no change to the Comm-D II or D-timer.

*Note 2.— In case c) the reservation request has been denied.*

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171.05.4.3.1.2.7.7.1.1.2 An interrogator must determine if it is the reserved site through coding in the UM field and, if so, it is authorized to request delivery of the downlink ELM. Otherwise, ELM activity must not be started during this scan.

*Note.— If the interrogator is not the reserved site, a new reservation request may be made during the next scan.*

171.05.4.3.1.2.7.7.1.1.3 If downlink ELM activity is not completed on the current scan, the interrogator must ensure that it still has a reservation before requesting additional segments on a subsequent scan.


171.05.4.3.1.2.7.7.1.2 *Multisite-directed downlink ELM transmissions.* To direct a downlink ELM message to a specific interrogator, the multisite downlink ELM protocol must be used. When the D-timer is not running, the interrogator identifier of the desired destination must be stored as the Comm-D II. Simultaneously, the D-timer must be started and the DR code (171.05.4.3.1.2.7.7.1) must be set. For a multisite-directed downlink ELM, the D-timer must not automatically time out but must continue to run until:

a) the message is read and closed out by the reserved site; or

b) the message is cancelled (171.05.4.3.1.2.10.5.4) by the data link avionics.

*Note.— The protocols of 171.05.4.3.1.2.7.7.1 will then result in the delivery of the message to the reserved site. The data link avionics may cancel the message if delivery to the reserved site cannot be accomplished.*

171.05.4.3.1.2.7.7.2 *Delivery of downlink ELMs.* The interrogator must extract a downlink ELM by transmitting a Comm-C interrogation with RC equals 3. This interrogation must carry the SRS subfield which specifies the segments to be transmitted. On receipt of this request, the transponder must transfer the requested segments by means of Comm-D replies with KE equals 0 and ND corresponding to the number of the segment in MD. The first segment must be transmitted 128 microseconds plus or minus 0.25 microsecond following the sync phase reversal of the interrogation requesting delivery and subsequent segments must be transmitted at a rate of one every 136 microseconds plus or minus 1 microsecond. If a request is received to transmit downlink ELM segments and no message is waiting, each reply segment must contain all ZEROs in the MD field.

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*Note 1.— The requested segments may be transmitted in any order.*

*Note 2.— Segments lost in downlink transmissions will be requested again by the interrogator on a subsequent interrogation carrying the SRS subfield. This process is repeated until all segments have been transferred.*

171.05.4.3.1.2.7.7.2.1 *SRS, segment request subfield in MC.* This 16-bit (9-24) uplink subfield in MC must request the transponder to transfer downlink ELM segments. Starting with bit 9, which denotes segment number 0, each of the following bits must be set to ONE if the transmission of the corresponding segment is requested. SRS must appear in MC if RC equals 3 in the same interrogation.

171.05.4.3.1.2.7.7.2.2 *D-timer restart.* The D-timer must be restarted each time that a request for Comm-D segments is received if the Comm-D II is non-zero.

*Note.— The requirement for the Comm-D II to be non-zero prevents the D-timer from being restarted during a nonselective downlink ELM transaction.*


171.05.4.3.1.2.7.7.3 *Multisite downlink ELM closeout.* The interrogator must close out a multisite downlink ELM by transmitting either a surveillance or a Comm-A interrogation containing:

*Either* DI = 1  
IIS = assigned interrogator identifier  
MES = 4, 5 or 7 (downlink ELM closeout)

*or* DI = 0, 1 or 7  
IIS = assigned interrogator identifier  
PC = 6 (downlink ELM closeout).

The transponder must compare the IIS of the interrogation to the Comm-D II and if the interrogator identifiers do not match, the state of the downlink process must not be changed.

If the interrogator identifiers match, and if a request for transmission has been complied with at least once, the transponder must set the Comm-D II to 0, reset the D-timer, clear the DR code for this message and clear the message itself.

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If another downlink ELM is waiting to be transmitted, the transponder must set the DR code (if no Comm-B message is waiting to be delivered) so that the reply contains the announcement of the next message.

**171.05.4.3.1.2.7.7.4 Automatic expiration of downlink ELM reservation.** If the D-timer period expires before a multisite closeout has been accomplished, the Comm-D II must be set to 0, and the D-timer reset. The message and DR code must not be cleared.

*Note.*— This makes it possible for another site to read and clear this message.

#### **171.05.4.3.1.2.7.8 Non-Selective Downlink Elm**

*Note.*— In cases where the multisite protocols are not required (i.e. no overlapping coverage or sensor coordination via ground-to-ground communication), the non-selective downlink ELM protocol may be used.


Non-selective downlink ELM delivery must take place as described in 171.05.4.3.1.2.7.7.2.

**171.05.4.3.1.2.7.8.1 Non-selective downlink ELM closeout.** The interrogator must close out a non-selective downlink ELM by transmitting PC equals 6 (downlink ELM closeout) in a surveillance or Comm-A interrogation. On receipt of this command, and if a request for transmission has been complied with at least once, the transponder must perform closeout unless the Dtimer is running. If the D-timer is running, indicating that a multisite reservation is in effect, the closeout must be accomplished as per 171.05.4.3.1.2.7.7.3.

#### **171.05.4.3.1.2.7.9 Enhanced Downlink Elm Protocol**

*Note.*— The enhanced downlink ELM protocol provides a higher data link capacity by permitting parallel delivery of downlink ELM messages by up to sixteen interrogators, one for each II code. Operation without the need for multisite downlink ELM reservations is possible in regions of overlapping coverage for interrogators equipped for the enhanced downlink ELM protocol. The protocol is fully conformant to the standard multisite protocol and thus is compatible with interrogators that are not equipped for the enhanced protocol.

##### **171.05.4.3.1.2.7.9.1 General**

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171.05.4.3.1.2.7.9.1.1 The interrogator must determine from the data link capability report whether the transponder supports the enhanced protocols. If the enhanced protocols are not supported by both the interrogator and the transponder, the multisite reservation protocols specified in 171.05.4.3.1.2.6.11 must be used for multisite and multisite-directed downlink ELMs.

*Note.— If the enhanced protocols are supported, downlink ELMs delivered using the multisite-directed protocol can be delivered without a prior reservation.*

171.05.4.3.1.2.7.9.1.2 **Recommendation.**— *If the transponder and the interrogator are equipped for the enhanced protocol, the interrogator may use the enhanced downlink protocol.*


#### **171.05.4.3.1.2.7.9.2 Enhanced multisite downlink ELM protocol**

171.05.4.3.1.2.7.9.2.1 The transponder must be capable of storing a sixteen segment message for each of the sixteen II codes.

171.05.4.3.1.2.7.9.2.2 *Initialization.* A multisite message input into the transponder must be stored in the registers assigned to II = 0.

171.05.4.3.1.2.7.9.2.3 *Announcement and extraction.* A waiting multisite downlink ELM message must be announced in the DR field of the replies to all interrogators for which a multisite directed downlink ELM message is not waiting. The UM field of the announcement reply must indicate that the message is not reserved for any II code, i.e. the IIS subfield must be set to 0. When a command to reserve this message is received from a given interrogator, the message must be reserved for the II code contained in the interrogation from that interrogator. After readout and until closeout, the message must continue to be assigned to that II code. Once a message is assigned to a specific II code, announcement of this message must no longer be made in the replies to interrogators with other II codes. If the message is not closed out by the associated interrogator for the period of the D-timer, the message must revert back to multisite status and the process must repeat. Only one multisite downlink ELM message must be in process at a time.

171.05.4.3.1.2.7.9.2.4 *Closeout.* A closeout for a multisite message must only be accepted from the interrogator that was assigned most recently to transfer the message.

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171.05.4.3.1.2.7.9.2.5 *Announcement of the next message waiting.* The DR field must indicate a message waiting in the reply to an interrogation containing a downlink ELM closeout if an unassigned multisite downlink ELM is waiting, or if a multisite directed message is waiting for that II code (171.05.4.3.1.2.7.9.2).

### **171.05.4.3.1.2.7.9.3 Enhanced multisite directed downlink ELM protocol**

171.05.4.3.1.2.7.9.3.1 *Initialization.* When a multisite directed message is input into the transponder, it must be placed in the downlink ELM registers assigned to the II code specified for the message. If the registers for this II code are already in use (i.e. a multisite directed downlink ELM message is already in process for this II code), the new message must be queued until the current transaction with that II code is closed out.

171.05.4.3.1.2.7.9.3.2 *Announcement.* Announcement of a downlink ELM message waiting transfer must be made using the DR field as specified in 171.05.4.3.1.2.7.7.1 with the destination interrogator II code contained in the IIS subfield as specified in 171.05.4.3.1.2.6.5.3.2. The DR field and IIS subfield contents must be set specifically for the interrogator that is to receive the reply. A waiting multisite directed message must only be announced in the replies to the intended interrogator. It must not be announced in replies to other interrogators.

171.05.4.3.1.2.7.9.3.3 *Delivery.* An interrogator must determine if it is the reserved site through coding in the UM field. The delivery must only be requested if it is the reserved site and must be as specified in 171.05.4.3.1.2.7.7.2. The transponder must transmit the message contained in the buffer associated with the II code specified in the IIS subfield of the segment request interrogation.

171.05.4.3.1.2.7.9.3.4 *Closeout.* Closeout must be accomplished as specified in 171.05.4.3.1.2.7.7.3 except that a message closeout must only be accepted from the interrogator with a II code equal to the one that transferred the message.

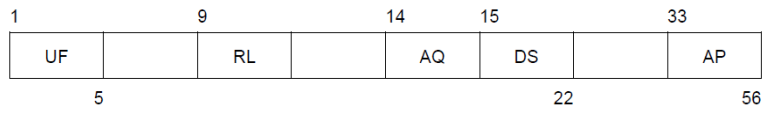
171.05.4.3.1.2.7.9.3.5 *Announcement of the next message waiting.* The DR field must indicate a message waiting in the reply to an interrogation containing a downlink ELM closeout if another multisite directed message is waiting for that II code, or if a downlink message is waiting that has not been assigned a II code (171.05.4.3.1.2.7.9.2).

171.05.4.3.1.2.7.9.4 *Enhanced non-selective downlink ELM protocol.* The availability of a non-selective downlink ELM message must be announced to all interrogators. Otherwise, the protocol must be as specified in 171.05.4.3.1.2.7.7.

**171.05.4.3.1.2.8 Air-Air Service And Squitter Transactions**

*Note.* — Airborne collision avoidance system (ACAS) equipment uses the formats UF or DF equals 0 or 16 for air-air surveillance.

**171.05.4.3.1.2.8.1 Short Air-Air Surveillance, Uplink Format 0**



The format of this interrogation must consist of these fields:

<i>Field</i>	<i>Reference</i>
UF uplink format spare — 3 bits	171.05.4.3.1.2.3.2.1.1
RL reply length spare — 4 bits	171.05.4.3.1.2.8.1.2
AQ acquisition	171.05.4.3.1.2.8.1.1
DS data selector spare — 10 bits	171.05.4.3.1.2.8.1.3
AP address/parity	171.05.4.3.1.2.3.2.1.3

171.05.4.3.1.2.8.1.1 *AQ: Acquisition.* This 1-bit (14) uplink field must contain a code which controls the content of the RI field.

171.05.4.3.1.2.8.1.2 *RL: Reply length.* This 1-bit (9) uplink field must command the format to be used for the reply.

*Coding*

- 0 signifies a reply with DF = 0
- 1 signifies a reply with DF = 16



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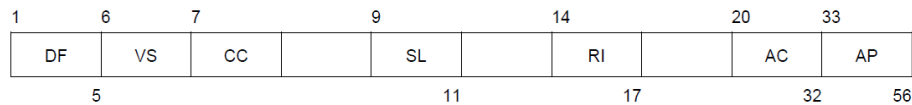
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*Note.*— A transponder that does not support DF = 16 (i.e. transponder which does not support the ACAS cross-link capability and is not associated with airborne collision avoidance equipment) would not reply to a UF=0 interrogation with RL=1.

171.05.4.3.1.2.8.1.3 *DS: Data selector.* This 8-bit (15-22) uplink field must contain the BDS code (171.05.4.3.1.2.6.11.2.1) of the GICB register whose contents must be returned to the corresponding reply with DF = 16.

**171.05.4.3.1.2.8.2 Short Air-Air Surveillance, Downlink Format 0**



This reply must be sent in response to an interrogation with UF equals 0 and RL equals 0. The format of this reply must consist of these fields:

<i>Field</i>	<i>Reference</i>
DF downlink format	171.05.4.3.1.2.3.2.1.2
VS vertical status	171.05.4.3.1.2.8.2.1
CC cross-link capability	171.05.4.3.1.2.8.2.3
spare — 1 bit	
SL sensitivity level, ACAS	171.05.4.4.3.8.4.2.5
spare — 2 bits	
RI reply information	171.05.4.3.1.2.8.2.2
spare — 2 bits	
AC altitude code	171.05.4.3.1.2.6.5.4
AP address/parity	171.05.4.3.1.2.3.2.1.3

171.05.4.3.1.2.8.2.1 *VS: Vertical status:* This 1-bit (6) downlink field must indicate the status of the aircraft (171.05.4.3.1.2.6.10.1.2).

*Coding*

- 0 signifies that the aircraft is airborne
- 1 signifies that the aircraft is on the ground

171.05.4.3.1.2.8.2.2 *RI: Reply information, air-air.* This 4-bit (14-17) downlink field must report the aircraft’s maximum cruising true airspeed capability and type of reply to interrogating aircraft. The coding must be as follows:

- 0 signifies a reply to an air-air interrogation UF = 0 with AQ = 0, no operating ACAS
- 1-7 reserved for ACAS
- 8-15 signifies a reply to an air-air interrogation UF = 0 with AQ = 1 and that the maximum

airspeed is as follows:

- 8 no maximum airspeed data available
- 9 maximum airspeed is .LE. 140 km/h (75 kt)
- 10 maximum airspeed is .GT. 140 and .LE. 280 km/h (75 and 150 kt)
- 11 maximum airspeed is .GT. 280 and .LE. 560 km/h (150 and 300 kt)
- 12 maximum airspeed is .GT. 560 and .LE. 1 110 km/h (300 and 600 kt)
- 13 maximum airspeed is .GT. 1 110 and .LE. 2 220 km/h (600 and 1 200 kt)
- 14 maximum airspeed is more than 2 220 km/h (1 200 kt)
- 15 not assigned.

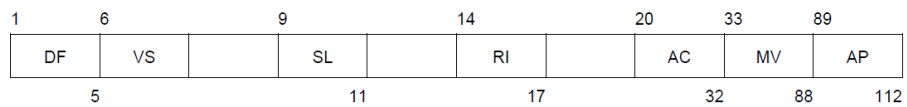
*Note.* — “.LE.” means “less than or equal to” and “.GT.” means “greater than”.

171.05.4.3.1.2.8.2.3 *CC: Cross-link capability.* This 1-bit (7) downlink field must indicate the ability of the transponder to support the cross-link capability, i.e. decode the contents of the DS field in an interrogation with UF equals 0 and respond with the contents of the specified GICB register in the corresponding reply with DF equals 16.

*Coding*

- 0 signifies that the transponder cannot support the cross-link capability
- 1 signifies that the transponder supports the cross-link capability.

**171.05.4.3.1.2.8.3 Long Air-Air Surveillance, Downlink Format 16**



This reply must be sent in response to an interrogation with UF equals 0 and RL equals 1. The format of this reply must consist of these fields:

<i>Field</i>	<i>Reference</i>
DF downlink format	171.05.4.3.1.2.3.2.1.2
VS vertical status	171.05.4.3.1.2.8.2.1
spare — 2 bits	
SL sensitivity level, ACAS	171.05.4.4.3.8.4.2.5
spare — 2 bits	
RI reply information	171.05.4.3.1.2.8.2.2
spare — 2 bits	
AC altitude code	171.05.4.3.1.2.6.5.4
MV message, ACAS	171.05.4.3.1.2.8.3.1
AP address/parity	171.05.4.3.1.2.3.2.1.3

171.05.4.3.1.2.8.3.1 *MV: Message, ACAS.* This 56-bit (33-88) downlink field must contain GICB information as requested in the DS field of the UF 0 interrogation that elicited the reply.

*Note.* — The MV field is also used by ACAS for air-air coordination (171.05.4.4.3.8.4.2.4).

#### **171.05.4.3.1.2.8.4 Air-Air Transaction Protocol**

*Note.* — Interrogation-reply coordination for the air-air formats follows the protocol outlined in Table 3-5 (171.05.4.3.1.2.4.1.3.2.2).


The most significant bit (bit 14) of the RI field of an air-air reply must replicate the value of the AQ field (bit 14) received in an interrogation with UF equals 0.

If AQ equals 0 in the interrogation, the RI field of the reply must contain the value 0.

If AQ equals 1 in the interrogation, the RI field of the reply must contain the maximum cruising true airspeed capability of the aircraft as defined in 171.05.4.3.1.2.8.2.2.

In response to a UF = 0 with RL = 1 and DS ≠ 0, the transponder must reply with a DF = 16 reply in which the MV field must contain the contents of the GICB register designated by the DS value. If the requested register is not serviced by the aircraft installation, the transponder must reply and the MV field of the reply must contain all ZEROS.

#### **171.05.4.3.1.2.8.5 Acquisition Squitter**

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*Note.— SSR Mode S transponders transmit acquisition squitters (unsolicited downlink transmissions) to permit passive acquisition by interrogators with broad antenna beams, where active acquisition may be hindered by all-call synchronous garble. Examples of such interrogators are an airborne collision avoidance system and an airport surface surveillance system.*

171.05.4.3.1.2.8.5.1 *Acquisition squitter format.* The format used for acquisition squitter transmissions must be the all-call reply, (DF = 11) with II = 0.

171.05.4.3.1.2.8.5.2 *Acquisition squitter rate.* Acquisition squitter transmissions must be emitted at random intervals that are uniformly distributed over the range from 0.8 to 1.2 seconds using a time quantization of no greater than 15 milliseconds relative to the previous acquisition squitter, with the following exceptions:

- a) the scheduled acquisition squitter must be delayed if the transponder is in a transaction cycle (171.05.4.3.1.2.4.1);
- b) the acquisition squitter must be delayed if an extended squitter is in process;
- c) the scheduled acquisition squitter must be delayed if a mutual suppression interface is active (see Note 1 below); or
- d) acquisition squitters must only be transmitted on the surface if the transponder is not reporting the surface position type of Mode S extended squitter.

An acquisition squitter must not be interrupted by link transactions or mutual suppression activity after the squitter transmission has begun.

*Note 1.— A mutual suppression system may be used to connect onboard equipment operating in the same frequency band in order to prevent mutual interference. Acquisition squitter action resumes as soon as practical after a mutual suppression interval.*

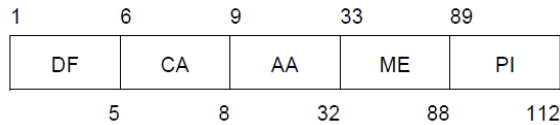
*Note 2.— The surface report type may be selected automatically by the aircraft or by commands from a squitter ground station (171.05.4.3.1.2.8.6.7).*

171.05.4.3.1.2.8.5.3 *Acquisition squitter antenna selection.* Transponders operating with antenna diversity (171.05.4.3.1.2.10.4) must transmit acquisition squitters as follows:

- a) when airborne (171.05.4.3.1.2.8.6.7), the transponder must transmit acquisition squitters alternately from the two antennas; and
- b) when on the surface (171.05.4.3.1.2.8.6.7), the transponder must transmit acquisition squitters under control of SAS (171.05.4.3.1.2.6.1.4.1 f)). In the absence of any SAS commands, use of the top antenna only must be the default.

*Note.— Acquisition squitters are not emitted on the surface if the transponder is reporting the surface type of extended squitter (171.05.4.3.1.2.8.6.4.3).*

**171.05.4.3.1.2.8.6 Extended Squitter, Downlink Format 17**




*Note.— SSR Mode S transponders transmit extended squitters to support the broadcast of aircraft-derived position for surveillance purposes. The broadcast of this type of information is a form of automatic dependent surveillance (ADS) known as ADS-broadcast (ADS-B).*

171.05.4.3.1.2.8.6.1 *Extended squitter format.* The format used for the extended squitter must be a 112-bit downlink format (DF = 17) containing the following fields:

<i>Field</i>	<i>Reference</i>
DF downlink format	171.05.4.3.1.2.3.2.1.2
CA capability	171.05.4.3.1.2.5.2.2.1
AA address, announced	171.05.4.3.1.2.5.2.2.2
ME message, extended squitter	171.05.4.3.1.2.8.6.2
PI parity/interrogator identifier	171.05.4.3.1.2.3.2.1.4

The PI field must be encoded with II equal to 0.

171.05.4.3.1.2.8.6.2 *ME: Message, extended squitter.* This 56-bit (33-88) downlink field in DF = 17 must be used to transmit broadcast messages. Extended squitter must be supported by registers 05, 06, 07,

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08, 09, 0A {HEX} and 61-6F {HEX} and must conform to either version 0, version 1 or version 2 message formats as described below:


- a) Version 0 ES message formats and related requirements report surveillance quality by navigation uncertainty category (NUC), which can be an indication of either the accuracy or integrity of the navigation data used by ADS-B. However, there is no indication as to which of these, integrity or accuracy, the NUC value is providing an indication of.
- b) Version 1 ES message formats and related requirements report surveillance accuracy and integrity separately as navigation accuracy category (NAC), navigation integrity category (NIC) and surveillance integrity level (SIL). Version 1 ES formats also include provisions for enhanced reporting of status information; and
- c) Version 2 ES message formats and related requirements contain the provisions of version 1 but further enhance integrity and parameter reporting. Version 2 ES formats separately report position source integrity from the integrity of the ADS-B transmitting equipment. Version 2 ES formats also separate vertical accuracy reporting from horizontal position accuracy, remove vertical integrity from position integrity, and provide for the reporting of the SSR Mode A code, GNSS antenna offset and additional horizontal position integrity values. Version 2 ES formats also modify the target state report to include selected altitude, selected heading, and barometric pressure setting.

*Note 1.— The formats and update rates of each register are specified in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871). The formats and update rates for individual squitters are defined by the version number of the extended squitter.*

*Note 2.— The formats for the three different versions are interoperable. An extended squitter receiver can recognize and decode signals of its own version, as well as lower versions' message formats. The receiver, however, can decode higher version signals according to its own capability.*

*Note 3.— Guidance material on transponder register formats and data sources is included in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871).*

#### **171.05.4.3.1.2.8.6.3 Extended squitter types**

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171.05.4.3.1.2.8.6.3.1 *Airborne position squitter.* The airborne position extended squitter type must use format DF = 17 with the contents of GICB register 05 {HEX} inserted in the ME field.

*Note.* — A GICB request (171.05.4.3.1.2.6.11.2) containing RR equals 16 and DI equals 3 or 7 and RRS equals 5 will cause the resulting reply to contain the airborne position message in its MB field.

171.05.4.3.1.2.8.6.3.1.1 *SSS, surveillance status subfield in ME.* The transponder must report the surveillance status of the transponder in this 2-bit (38, 39) subfield of ME when ME contains an airborne position message.

*Coding*


- 0 signifies no status information
- 1 signifies transponder reporting permanent alert condition (171.05.4.3.1.2.6.10.1.1.1)
- 2 signifies transponder reporting a temporary alert condition (171.05.4.3.1.2.6.10.1.1.2)
- 3 signifies transponder reporting SPI condition (171.05.4.3.1.2.6.10.1.3)

Codes 1 and 2 must take precedence over code 3.

171.05.4.3.1.2.8.6.3.1.2 *ACS, altitude code subfield in ME.* Under control of ATS (171.05.4.3.1.2.8.6.3.1.3), the transponder must report either navigation-derived altitude, or the barometric altitude code in this 12-bit (41-52) subfield of ME when ME contains an airborne position message. When barometric altitude is reported, the contents of the ACS must be as specified for the 13-bit AC field (171.05.4.3.1.2.6.5.4) except that the M-bit (bit 26) must be omitted.

171.05.4.3.1.2.8.6.3.1.3 *Control of ACS reporting.* Transponder reporting of altitude data in ACS must depend on the altitude type subfield (ATS) as specified in 171.05.4.3.1.2.8.6.8.2. Transponder insertion of barometric altitude data in the ACS subfield must take place when the ATS subfield has the value of ZERO. Transponder insertion of barometric altitude data in ACS must be inhibited when ATS has the value 1.

171.05.4.3.1.2.8.6.3.2 *Surface position squitter.* The surface position extended squitter type must use format DF = 17 with the contents of GICB register 06 {HEX} inserted in the ME field.

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*Note.* — A GICB request (171.05.4.3.1.2.6.11.2) containing RR equals 16 and DI equals 3 or 7 and RRS equals 6 will cause the resulting reply to contain the surface position message in its MB field..

171.05.4.3.1.2.8.6.3.3 *Aircraft identification squitter.* The aircraft identification extended squitter type must use format DF = 17 with the contents of GICB register 08 {HEX} inserted in the ME field.

*Note.* — A GICB request (171.05.4.3.1.2.6.11.2) containing RR equals 16 and DI equals 3 or 7 and RRS equals 8 will cause the resulting reply to contain the aircraft identification message in its MB field.

171.05.4.3.1.2.8.6.3.4 *Airborne velocity squitter.* The airborne velocity extended squitter type must use format DF = 17 with the contents of GICB register 09 {HEX} inserted in the ME field.

*Note.* — A GICB request (171.05.4.3.1.2.6.11.2) containing RR equals 16 and DI equals 3 or 7 and RRS equals 9 will cause the resulting reply to contain the airborne velocity message in its MB field.

#### **171.05.4.3.1.2.8.6.3.5 Periodic status and event-driven squitters**


171.05.4.3.1.2.8.6.3.5.1 *Periodic status squitter.* The periodic status extended squitter types must use format DF = 17 to convey aircraft status and other surveillance data. The aircraft operational status extended squitter type must use the contents of GICB register 65 {HEX} inserted in the ME field. The target state and status extended squitter type must use the contents of GICB register 62 {HEX} inserted in the ME field.

*Note 1.* — A GICB request (171.05.4.3.1.2.6.11.2) containing RR equals 22 and DI equals 3 or 7 and RRS equals 5 will cause the resulting reply to contain the aircraft operational status message in its MB field.

*Note 2.* — A GICB request (171.05.4.3.1.2.6.11.2) containing RR equals 22 and DI equals 3 or 7 and RRS equals 2 will cause the resulting reply to contain the target state and status information in its MB field.

171.05.4.3.1.2.8.6.3.5.2 *Event-driven squitter.* The event-driven extended squitter type must use format DF = 17 with the contents of GICB register 0A {HEX} inserted in the ME field.

*Note.* — A GICB request (171.05.4.3.1.2.6.11.2) containing RR equals 16 and DI equals 3 or 7 and RRS equals 10 will cause the resulting reply to contain the event-driven message in its MB field.

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#### 171.05.4.3.1.2.8.6.4 Extended squitter rate


171.05.4.3.1.2.8.6.4.1 *Initialization.* At power up initialization, the transponder must commence operation in a mode in which it broadcasts only acquisition squitters (171.05.4.3.1.2.8.5). The transponder must initiate the broadcast of extended squitters for airborne position, surface position, airborne velocity and aircraft identification when data are inserted into transponder registers 05, 06, 09 and 08 {HEX}, respectively. This determination must be made individually for each squitter type. When extended squitters are broadcast, transmission rates must be as indicated in the following paragraphs. Acquisition squitters must be reported in addition to extended squitters unless the acquisition squitter is inhibited (171.05.4.2.1.5.4). Acquisition squitters must always be reported if both position and velocity extended squitters are not reported.

*Note 1.— This suppresses the transmission of extended squitters from aircraft that are unable to report position, velocity or identity. If input to the register for the position squitter type stops for 60 seconds, broadcast will be discontinued until data insertion is resumed. Broadcast of airborne position squitters is not discontinued if barometric altitude data is available. Terminating broadcast of other squitter types is described in Technical Provisions for Mode S Services and Extended Squitter (Doc 9871).*

*Note 2.— After timeout (171.05.4.3.1.2.8.6.6), the position squitter type may contain an ME field of all zeroes.*

171.05.4.3.1.2.8.6.4.2 *Airborne position squitter rate.* Airborne position squitter transmissions must be emitted when the aircraft is airborne (171.05.4.3.1.2.8.6.7) at random intervals that are uniformly distributed over the range from 0.4 to 0.6 seconds using a time quantization of no greater than 15 milliseconds relative to the previous airborne position squitter, with the exceptions as specified in 171.05.4.3.1.2.8.6.4.7.

171.05.4.3.1.2.8.6.4.3 *Surface position squitter rate.* Surface position squitter transmissions must be emitted when the aircraft is on the surface (171.05.4.3.1.2.8.6.7) using one of two rates depending upon whether the high or low squitter rate has been selected (171.05.4.3.1.2.8.6.9). When the high squitter rate has been selected, surface position squitters must be emitted at random intervals that are uniformly distributed over the range from 0.4 to 0.6 seconds using a time quantization of no greater than 15 milliseconds relative to the previous surface position squitter (termed the

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high rate). When the low squitter rate has been selected, surface position squitters must be emitted at random intervals that are uniformly distributed over the range of 4.8 to 5.2 seconds using a time quantization of no greater than 15 milliseconds relative to the previous surface position squitter (termed the low rate). Exceptions to these transmission rates are specified in 171.05.4.3.1.2.8.6.4.7.

171.05.4.3.1.2.8.6.4.4 *Aircraft identification squitter rate.* Aircraft identification squitter transmissions must be emitted at random intervals that are uniformly distributed over the range of 4.8 to 5.2 seconds using a time quantization of no greater than 15 milliseconds relative to the previous identification squitter when the aircraft is reporting the airborne position squitter type, or when the aircraft is reporting the surface position squitter type and the high surface squitter rate has been selected. When the surface position squitter type is being reported at the low surface rate, the aircraft identification squitter must be emitted at random intervals that are uniformly distributed over the range of 9.8 to 10.2 seconds using a time quantization of no greater than 15 milliseconds relative to the previous identification squitter. Exceptions to these transmission rates are specified in 171.05.4.3.1.2.8.6.4.7.


171.05.4.3.1.2.8.6.4.5 *Airborne velocity squitter rate.* Airborne velocity squitter transmissions must be emitted when the aircraft is airborne (171.05.4.3.1.2.8.6.7) at random intervals that are uniformly distributed over the range from 0.4 to 0.6 seconds using a time quantization of no greater than 15 milliseconds relative to the previous airborne velocity squitter, with the exceptions as specified in 171.05.4.3.1.2.8.6.4.7.

#### **171.05.4.3.1.2.8.6.4.6 Periodic status and event-driven squitter rates**

171.05.4.3.1.2.8.6.4.6.1 *Periodic status squitter rates.* The periodic status squitter types supported by a Mode S extended squitter transmitting system class, as specified in 171.05.4.5.1.1.2, must be periodically emitted at defined intervals depending on the on-the-ground status and whether their content has changed.

*Note.* — *The aircraft operational status extended squitter type and the target state and status extended squitter type rates are specified in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871).*

171.05.4.3.1.2.8.6.4.6.2 *Event-driven squitter rate.* The event-driven squitter must be transmitted once, each time that GICB register OA {HEX} is loaded, while observing the delay conditions specified in

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171.05.4.3.1.2.8.6.4.7. The maximum transmission rate for the event-driven squitter must be limited by the transponder to twice per second. If a message is inserted in the event-driven register and cannot be transmitted due to rate limiting, it must be held and transmitted when the rate limiting condition has cleared. If a new message is received before transmission is permitted, it must overwrite the earlier message.

171.05.4.3.1.2.8.6.4.7 *Delayed transmission.* Extended squitter transmission must be delayed in the following circumstances:

- a) if the transponder is in a transaction cycle (171.05.4.3.1.2.4.1);
- b) if an acquisition or another type of extended squitter is in process; or
- c) if a mutual suppression interface is active.

The delayed squitter must be transmitted as soon as the transponder becomes available.


171.05.4.3.1.2.8.6.5 *Extended squitter antenna selection.* Transponders operating with antenna diversity (171.05.4.3.1.2.10.4) must transmit extended squitters as follows:

- a) when airborne (171.05.4.3.1.2.8.6.7), the transponder must transmit each type of extended squitter alternately from the two antennas; and
- b) when on the surface (171.05.4.3.1.2.8.6.7), the transponder must transmit extended squitters under control of SAS (171.05.4.3.1.2.6.1.4.1 f)).

In the absence of any SAS commands, use of the top antenna only must be the default condition.

171.05.4.3.1.2.8.6.6 *Register time-out and termination.* The transponder must clear and terminate broadcast of information in extended squitter registers as required to prevent the reporting of outdated information.

*Note.* — *Timeout and termination of extended squitter broadcast is specified in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871).*

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171.05.4.3.1.2.8.6.7 *Airborne/surface state determination.* Aircraft with an automatic means of determining on-the-ground conditions must use this input to select whether to report the airborne or surface message types. Aircraft without such means must report the airborne type messages, except as specified in Table 3-7. Use of this table must only be applicable to aircraft that are equipped to provide data for radio altitude AND, as a minimum, airspeed OR ground speed. Otherwise, aircraft in the specified categories that are only equipped to provide data for airspeed and ground speed must broadcast the surface format if:  
airspeed < 50 knots AND ground speed < 50 knots

Aircraft with or without such automatic on-the-ground determination must use position message types as commanded by control codes in TCS (171.05.4.3.1.2.6.1.4.1 f)). After time-out of the TCS commands, control of airborne/surface determination must revert to the means described above.

*Note 1. — Use of this technique may result in the surface position format being transmitted when the air-ground status in the CA fields indicates “airborne or on the ground”.*


*Note 2. — Extended squitter ground stations determine aircraft airborne or on-the-ground status by monitoring aircraft position, altitude and ground speed. Aircraft determined to be on the ground that are not reporting the surface position message types will be commanded to report the surface formats via TCS (171.05.4.3.1.2.6.1.4.1 f)). The normal return to the airborne position message types is via a ground command to report airborne message types. To guard against loss of communications after take-off, commands to report the surface position message types automatically time-out.*

171.05.4.3.1.2.8.6.8 *Squitter status reporting.* A GICB request (171.05.4.3.1.2.6.11.2) containing RR equals 16 and DI equals 3 or 7 and RRS equals 7 must cause the resulting reply to contain the squitter status report in its MB field.

171.05.4.3.1.2.8.6.8.1 *TRS, transmission rate subfield in MB.* The transponder must report the capability of the aircraft to automatically determine its surface squitter rate and its current squitter rate in this 2-bit (33, 34) subfield of MB.

*Coding*

- 0 signifies no capability to automatically determine surface squitter rate
- 1 signifies that the high surface squitter rate has been selected

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- 2 signifies that the low surface squitter rate has been selected
- 3 unassigned

*Note 1. — High and low squitter rate is determined on board the aircraft.*

*Note 2. — The low rate is used when the aircraft is stationary and the high rate is used when the aircraft is moving. For details of how “moving” is determined, see the data format of register 07<sub>16</sub> in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871).*

171.05.4.3.1.2.8.6.8.2 *ATS, altitude type subfield in MB.* The transponder must report the type of altitude being provided in the airborne position extended squitter in this 1-bit (35) subfield of MB when the reply contains the contents of transponder register 07 {HEX}.

*Coding*

- 0 signifies that barometric altitude must be reported in the ACS (171.05.4.3.1.2.8.6.3.1.2) of transponder register 05 {HEX}.
- 1 signifies that navigation-derived altitude must be reported in the ACS (171.05.4.3.1.2.8.6.3.1.2) of transponder register 05 {HEX}.

*Note. — Details of the contents of transponder registers 05 {HEX} and 07 {HEX} are shown in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871).*

171.05.4.3.1.2.8.6.9 *Surface squitter rate control.* Surface squitter rate must be determined as follows:

- a) once per second the contents of the TRS must be read. If the value of TRS is 0 or 1, the transponder must transmit surface squitters at the high rate. If the value of TRS is 2, the transponder must transmit surface squitters at the low rate;
- b) the squitter rate determined via TRS must be subject to being overridden by commands received via RCS (171.05.4.3.1.2.6.1.4.1 f)). RCS code 1 must cause the transponder to squitter at the high rate for 60 seconds. RCS code 2 must cause the transponder to squitter at the low rate for 60 seconds. These commands must be able to be refreshed for a new 60 second period before time-out of the prior period; and

c) after time-out and in the absence of RCS codes 1 and 2, control must return to TRS.

171.05.4.3.1.2.8.6.10 *Latitude/longitude coding using compact position reporting (CPR).* Mode S extended squitter must use compact position reporting (CPR) to encode latitude and longitude efficiently into messages.

*Note.* — *The method used to encode/decode CPR is specified in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871).*

171.05.4.3.1.2.8.6.11 *Data insertion.* When the transponder determines that it is time to emit an airborne position squitter, it must insert the current value of the barometric altitude (unless inhibited by the ATS subfield, 171.05.4.3.1.2.8.6.8.2) and surveillance status into the appropriate fields of register 05 {HEX}. The contents of this register must then be inserted into the ME field of DF = 17 and transmitted.

*Note.* — *Insertion in this manner ensures that (1) the squitter contains the latest altitude and surveillance status, and (2) ground read-out of register 05 {HEX} will yield exactly the same information as the AC field of a Mode S surveillance reply.*

**171.05.4.3.1.2.8.7 Extended Squitter/Supplementary, Downlink Format 18**

10010	CF:3			PI:24
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*Note 1.* — *This format supports the broadcast of extended squitter ADS-B messages by non-transponder devices, i.e. they are not incorporated into a Mode S transponder. A separate format is used to clearly identify this non-transponder case to prevent ACAS II or extended squitter ground stations from attempting to interrogate these devices.*

*Note 2.* — *This format is also used for ground broadcast of ADS-B related services such as traffic information broadcast (TIS-B).*

*Note 3.* — *The format of the DF = 18 transmission is defined by the value of the CF field.*

171.05.4.3.1.2.8.7.1 *ES supplementary format.* The format used for ES supplementary must be a 112-bit downlink format (DF = 18) containing the following fields:

<i>Field</i>	<i>Reference</i>
DF downlink format	171.05.4.3.1.2.3.2.1.2
CF control field	171.05.4.3.1.2.8.7.2
PI parity/interrogator identifier	171.05.4.3.1.2.3.2.1.4

The PI field must be encoded with II equal to zero.

171.05.4.3.1.2.8.7.2 *Control field.* This 3-bit (6-8) downlink field in DF = 18 must be used to define the format of the 112-bit transmission as follows.

Code 0 = ADS-B ES/NT devices that report the ICAO 24-bit address in the AA field (171.05.4.3.1.2.8.7.3)

Code 1 = Reserved for ADS-B for ES/NT devices that use other addressing techniques in the AA field (171.05.4.3.1.2.8.7.3)

Code 2 = Fine format TIS-B message  
Code 3 = Coarse format TIS-B message

Code 4 = Reserved for TIS-B management messages

Code 5 = TIS-B messages that relay ADS-B messages that use other addressing techniques in the AA field

Code 6 = ADS-B rebroadcast using the same type codes and message formats as defined for DF = 17 ADS-B messages

Code 7 = Reserved

*Note 1.— Administrations may wish to make address assignments for ES/NT devices in addition to the 24-bit addresses allocated by ICAO (Annex 10, Volume III, Part I, Chapter 9) in order to increase the available number of 24-bit addresses.*

Note 2. — These non-ICAO 24-bit addresses are not intended for international use.

**171.05.4.3.1.2.8.7.3 ADS-B for extended squitter/non-transponder (ES/NT) devices**

10010	CF=0	AA:24	ME:56	PI:24
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171.05.4.3.1.2.8.7.3.1 *ES/NT format.* The format used for ES/NT must be a 112-bit downlink format (DF = 18) containing the following fields:

<i>Field</i>	<i>Reference</i>
DF downlink format	171.05.4.3.1.2.3.2.1.2
CF control field = 0	171.05.4.3.1.2.8.7.2
AA address, announced	171.05.4.3.1.2.5.2.2.2
ME message, extended squitter	171.05.4.3.1.2.8.6.2
PI parity/interrogator identifier	171.05.4.3.1.2.3.2.1.4

The PI field must be encoded with II equal to zero.

**171.05.4.3.1.2.8.7.3.2 ES/NT squitter types**


171.05.4.3.1.2.8.7.3.2.1 *Airborne position squitter.* The airborne position type ES/NT must use format DF = 18 with the format for register 05 {HEX} as defined in 171.05.4.3.1.2.8.6.2 inserted in the ME field.

171.05.4.3.1.2.8.7.3.2.2 *Surface position squitter.* The surface position type ES/NT must use format DF = 18 with the format for register 06 {HEX} as defined in 171.05.4.3.1.2.8.6.2 inserted in the ME field.

171.05.4.3.1.2.8.7.3.2.3 *Aircraft identification squitter.* The aircraft identification type ES/NT must use format DF = 18 with the format for register 08 {HEX} as defined in 171.05.4.3.1.2.8.6.2 inserted in the ME field.

171.05.4.3.1.2.8.7.3.2.4 *Airborne velocity squitter.* The airborne velocity type ES/NT must use format DF = 18 with the format for register 09 {HEX} as defined in 171.05.4.3.1.2.8.6.2 inserted in the ME field.

**171.05.4.3.1.2.8.7.3.2.5 Periodic status and event-driven squitters**

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171.05.4.3.1.2.8.7.3.2.5.1 *Periodic status squitters.* The periodic status extended squitter types must use format DF = 18 to convey aircraft status and other surveillance data. The aircraft operational status extended squitter type must use the format of GICB register 65 {HEX} as defined in 171.05.4.3.1.2.8.6.4.6.1 inserted in the ME field. The target state and status extended squitter type must use the format of GICB register 62 {HEX} as defined in 171.05.4.3.1.2.8.6.4.6.1 inserted in the ME field.

171.05.4.3.1.2.8.7.3.2.5.2 *Event-driven squitter.* The event-driven type ES/NT must use format DF = 18 with the format for register 0A {HEX} as defined in 171.05.4.3.1.2.8.6.2 inserted in the ME field.

### **171.05.4.3.1.2.8.7.3.3 ES/NT squitter rate**


171.05.4.3.1.2.8.7.3.3.1 *Initialization.* At power up initialization, the non-transponder device must commence operation in a mode in which it does not broadcast any squitters. The non-transponder device must initiate the broadcast of ES/NT squitters for airborne position, surface position, airborne velocity and aircraft identification when data are available for inclusion in the ME field of these squitter types. This determination must be made individually for each squitter type. When ES/NT squitters are broadcast, transmission rates must be as indicated in 171.05.4.3.1.2.8.6.4.2 to 171.05.4.3.1.2.8.6.4.6.

*Note 1.— This suppresses the transmission of extended squitters from aircraft that are unable to report position, velocity or identity. If input to the register for the position squitter type stops for 60 seconds, broadcast will cease until data insertion resumes, except for an ES/NT device operating on the surface (as specified in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871)). Broadcast of airborne position squitters is not discontinued if barometric altitude data is available. Terminating broadcast of other squitter types is described in Doc 9871.*

*Note 2.— After timeout (171.05.4.3.1.2.8.7.6) this squitter type may contain an ME field of all zeros.*

171.05.4.3.1.2.8.7.3.3.2 *Delayed transmission.* ES/NT squitter transmission must be delayed if the non-transponder device is busy broadcasting one of the other squitter types.

171.05.4.3.1.2.8.7.3.3.2.1 The delayed squitter must be transmitted as soon as the non-transponder device becomes available.

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171.05.4.3.1.2.8.7.3.3.3 *ES/NT antenna selection.* Non-transponder devices operating with antenna diversity (171.05.4.3.1.2.10.4) must transmit ES/NT squitters as follows:

- a) when airborne (171.05.4.3.1.2.8.6.7), the non-transponder device must transmit each type of ES/NT squitter alternately from the two antennas; and
- b) when on the surface (171.05.4.3.1.2.8.6.7), the non-transponder device must transmit ES/NT squitters using the top antenna.

171.05.4.3.1.2.8.7.3.3.4 *Register timeout and termination.* The non-transponder device must clear message fields and terminate broadcast of extended squitter messages as required to prevent the reporting of outdated information.

*Note.— The timeout and termination of an extended squitter broadcast is specified in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871).*

171.05.4.3.1.2.8.7.3.3.5 *Airborne/surface state determination.* Aircraft with an automatic means of determining the on-the-ground state must use this input to select whether to report the airborne or surface message types except as specified in 171.05.4.3.1.2.6.10.3.1. Aircraft without such means must report the airborne type message.

171.05.4.3.1.2.8.7.3.3.6 *Surface squitter rate control.* Aircraft motion must be determined once per second. The surface squitter rate must be set according to the results of this determination.

*Note.— The algorithm to determine aircraft motion is specified in the definition of register 07<sub>16</sub> in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871).*

**171.05.4.3.1.2.8.7.4 Use of ES by other surveillance systems.**

**171.05.4.3.1.2.8.7.4.1 Surface system control**

**Recommendation.—** *When a surface surveillance system uses DF=18 as part of a surveillance function, it may not use the formats that have been allocated for the purpose of surveillance of aircraft, vehicles and/or obstacles.*

*Note 1.— The formats allocated for the purpose of surveillance of aircraft, vehicles and/or obstacles are specified in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871).*

*Note 2.— The transmission of any message format used for conveying position, velocity, identification, state information, etc., may result in the initiation and maintenance of false tracks in other 1090ES receivers. The use of these messages for this purpose may be prohibited in the future.*

**171.05.4.3.1.2.8.7.4.2 Surface system status**

**Recommendation.—** *The surface system status message type (Type Code=24) may be the only message used to provide the status or synchronization of surface surveillance systems.*

*Note.— The surface system status message is specified in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871). This message will be used only by the surface surveillance system that generated it and will be ignored by other surface systems.*

**171.05.4.3.1.2.8.8 Extended Squitter Military Application, Downlink Format 19**


10011	AF:3	
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*Note.— This format supports the broadcast of extended squitter ADS-B messages in support of military applications. A separate format is used to distinguish these extended squitters from the standard ADS-B message set broadcast using DF = 17 or 18.*

**171.05.4.3.1.2.8.8.1 Military format.** The format used for DF = 19 must be a 112-bit downlink format containing the following fields:

<i>Field</i>	<i>Reference</i>
DF downlink format	171.05.4.3.1.2.3.2.1.2
AF control field	171.05.4.3.1.2.8.8.2

**171.05.4.3.1.2.8.8.2 Application field.** This 3-bit (6-8) downlink field in DF = 19 must be used to define the format of the 112-bit transmission.

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Code 0 to 7 = Reserved

#### **171.05.4.3.1.2.8.9 Extended Squitter Maximum Transmission Rate**

171.05.4.3.1.2.8.9.1 The maximum total number of full power extended squitters (DF = 17, 18 and 19) emitted by any extended squitter installation must not exceed the following:

- a) 6.2 messages per second averaged over 60 seconds for nominal aircraft operations with no emergency and no ACAS RA activity, while not exceeding 11 messages being transmitted in any 1-second interval; or
- b) 7.4 messages per second averaged over 60 seconds under an emergency and/or ACAS RA condition, while not exceeding 11 messages being transmitted in any 1-second interval.

171.05.4.3.1.2.8.9.2 For installations capable of emitting DF = 19 squitters and in accordance with 3.1.2.8.8, transmission rates for lower power DF = 19 squitters must be limited to a peak of forty DF = 19 squitters per second, and thirty DF = 19 squitters per second averaged over 10 seconds, provided that the maximum total squitter power-rate product for the sum of full power DF = 17 squitters, full power DF = 18 squitters, full power DF = 19 squitters, and lower power DF = 19 squitters, is maintained at or below a level equivalent to the power sum of 6.2 full power squitters per second averaged over 10 seconds.

171.05.4.3.1.2.8.9.3 States must ensure that the use of low power and higher rate DF = 19 operation (as per 171.05.4.3.1.2.8.9.2) is compliant with the following requirements:

- a) it is limited to formation or element lead aircraft engaged in formation flight, directing the messages toward wing and other lead aircraft through a directional antenna with a beamwidth of no more than 90 degrees; and
- b) the type of information contained in the DF = 19 message is limited to the same type of information in the DF = 17 message, that is, information for the sole purpose of safety-of-flight.

*Note. — This low-power, higher squitter rate capability is intended for limited use by Namibia aircraft in coordination with appropriate regulatory bodies.*

171.05.4.3.1.2.8.9.4 All UF = 19 airborne interrogations must be included in the interference control provisions of 171.05.4.4.3.2.2.2.2.

**171.05.4.3.1.2.9 Aircraft Identification Protocol**

171.05.4.3.1.2.9.1 *Aircraft identification reporting.* A ground-initiated Comm-B request (171.05.4.3.1.2.6.11.2) containing RR equals 18 and either DI does not equal 7 or DI equals 7 and RRS equals 0 must cause the resulting reply to contain the aircraft identification in its MB field.

171.05.4.3.1.2.9.1.1 *AIS, aircraft identification subfield in MB.* The transponder must report the aircraft identification in the 48-bit (41-88) AIS subfield of MB. The aircraft identification transmitted must be that employed in the flight plan. When no flight plan is available, the registration marking of the aircraft must be inserted in this subfield.

*Note.* — When the registration marking of the aircraft is used, it is classified as “fixed direct data” (171.05.4.3.1.2.10.5.1.1). When another type of aircraft identification is used, it is classified as “variable direct data” (171.05.4.3.1.2.10.5.1.3).


171.05.4.3.1.2.9.1.2 *Coding of the AIS subfield.* The AIS subfield must be coded as follows:

33	41	47	53	59	65	71	77	83
BDS	Char. 1	Char. 2	Char. 3	Char. 4	Char. 5	Char. 6	Char. 7	Char. 8
40	46	52	58	64	70	76	82	88

*Note.* — Aircraft identification coding provides up to eight characters.

The BDS code for the aircraft identification message must be BDS1 equals 2 (33-36) and BDS2 equals 0 (37-40).

Each character must be coded as a 6-bit subset of the International Alphabet Number 5 (IA-5) as illustrated in Table 3-8. The character code must be transmitted with the high order unit ( $b_6$ ) first and the reported aircraft identification must be transmitted with its left-most character first. Characters must be coded consecutively without intervening SPACE code. Any unused character spaces at the end of the subfield must contain a SPACE character code.

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171.05.4.3.1.2.9.1.3 *Aircraft identification capability report.* Transponders which respond to a ground-initiated request for aircraft identification must report this capability in the data link capability report (171.05.4.3.1.2.6.10.2.2.2) by setting bit 33 of the MB subfield to 1.

171.05.4.3.1.2.9.1.4 *Change of aircraft identification.* If the aircraft identification reported in the AIS subfield is changed in flight, the transponder must report the new identification to the ground by use of the Comm-B broadcast message protocol of 171.05.4.3.1.2.6.11.4 for BDS1 = 2 (33 - 36) and BDS2 = 0 (37 - 40). The transponder must initiate, generate and announce the revised aircraft identification even if the interface providing flight identification is lost. The transponder must ensure that the BDS code is set for the aircraft identification report in all cases, including a loss of the interface. In this latter case, bits 41 - 88 must contain all ZEROs.


*Note.— The setting of the BDS code by the transponder ensures that a broadcast change of aircraft identification will contain the BDS code for all cases of flight identification failure (e.g. the loss of the interface providing flight identification).*

#### **171.05.4.3.1.2.10 Essential System Characteristics Of The Ssr Mode S Transponder**

171.05.4.3.1.2.10.1 *Transponder sensitivity and dynamic range.* Transponder sensitivity must be defined in terms of a given interrogation signal input level and a given percentage of corresponding replies. Only correct replies containing the required bit pattern for the interrogation received must be counted. Given an interrogation that requires a reply according to 171.05.4.3.1.2.4, the minimum triggering level, MTL, must be defined as the minimum input power level for 90 per cent reply-to-interrogation ratio. The MTL must be  $-74 \text{ dBm} \pm 3 \text{ dB}$  for Mode S interrogations (interrogations using  $P_6$ ), and as defined in 171.05.4.3.1.1.7.5.1 b) for Mode A and C, and inter-mode interrogations. The reply-to-interrogation ratio of a Mode S transponder must be:

- a) at least 99 per cent for signal input levels between 3 dB above MTL and  $-21 \text{ dBm}$ ; and
- b) no more than 10 per cent at signal input levels below  $-81 \text{ dBm}$ .

*Note.— Transponder sensitivity and output power are described in this section in terms of signal level at the terminals of the antenna. This gives the designer freedom to arrange the installation, optimizing cable length and receiver-transmitter design, and does not exclude receiver and/or transmitter components from becoming an integral part of the antenna subassembly.*

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#### 171.05.4.3.1.2.10.1.1 Reply ratio in the presence of interference

*Note.— The following paragraphs present measures of the performance of the Mode S transponder in the presence of interfering Mode A/C interrogation pulses and low-level in-band CW interference.*


171.05.4.3.1.2.10.1.1.1 *Reply ratio in the presence of an interfering pulse.* Given a Mode S interrogation which requires a reply (171.05.4.3.1.2.4), the reply ratio of a transponder must be at least 95 per cent in the presence of an interfering Mode A/C interrogation pulse if the level of the interfering pulse is 6 dB or more below the signal level for Mode S input signal levels between -68 dBm and -21 dBm and the interfering pulse overlaps the  $P_6$  pulse of the Mode S interrogation anywhere after the sync phase reversal.

Under the same conditions, the reply ratio must be at least 50 per cent if the interference pulse level is 3 dB or more below the signal level.

171.05.4.3.1.2.10.1.1.2 *Reply ratio in the presence of pulse pair interference.* Given an interrogation which requires a reply (3.1.2.4), the reply ratio of a transponder must be at least 90 per cent in the presence of an interfering  $P_1 - P_2$  pulse pair if the level of the interfering pulse pair is 9 dB or more below signal level for input signal levels between -68 dBm and -21 dBm and the  $P_1$  pulse of the interfering pair occurs no earlier than the  $P_1$  pulse of the Mode S signal.

171.05.4.3.1.2.10.1.1.3 *Reply ratio in the presence of low level asynchronous interference.* For all received signals between -65 dBm and -21 dBm and given a Mode S interrogation that requires a reply according to 171.05.4.3.1.2.4 and if no lockout condition is in effect, the transponder must reply correctly with at least 95 per cent reply ratio in the presence of asynchronous interference. Asynchronous interference must be taken to be a single Mode A/C interrogation pulse occurring at all repetition rates up to 10 000 Hz at a level 12 dB or more below the level of the Mode S signal.

*Note.— Such pulses may combine with the  $P_1$  and  $P_2$  pulses of the Mode S interrogation to form a valid Mode A/C-only all-call interrogation. The Mode S transponder does not respond to Mode A/C-only all-call interrogations. A preceding pulse may also combine with the  $P_2$  of the Mode S interrogation to form a valid Mode A or Mode C interrogation. However, the  $P_1 - P_2$  pair of the Mode S preamble takes precedence (171.05.4.3.1.2.4.1.1.1). The Mode S decoding process is independent of the Mode A/Mode C decoding process and the Mode S interrogation is accepted.*

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171.05.4.3.1.2.10.1.1.4 *Reply ratio in the presence of low-level in-band CW interference.* In the presence of non-coherent CW interference at a frequency of  $1\ 030 \pm 0.2$  MHz at signal levels of 20 dB or more below the desired Mode A/C or Mode S interrogation signal level, the transponder must reply correctly to at least 90 per cent of the interrogations.

**171.05.4.3.1.2.10.1.1.5 Spurious response**

171.05.4.3.1.2.10.1.1.5.1 **Recommendation.**— *The response to signals not within the receiver pass band may be at least 60 dB below normal sensitivity.*

171.05.4.3.1.2.10.1.1.5.2 For transponder designs first certified on or after 1 January 2011, the spurious Mode A/C reply ratio resulting from low level Mode S interrogations must be no more than:


- a) an average of 1 per cent in the input interrogation signal range between  $-81$  dBm and the Mode S MTL; and
- b) a maximum of 3 per cent at any given level in the input interrogation signal range between  $-81$  dBm and the Mode S MTL.

*Note 1.— Failure to detect a low level Mode S interrogation can also result in the transponder decoding a three-pulse Mode A/C/S all-call interrogation. This would result in the transponder responding with a Mode S all-call (DF = 11) reply. The above requirement will also control these DF = 11 replies since it places a limit on the probability of failing to correctly detect the Mode S interrogation.*

*Note 2.— More information about issuing a type certificate for aircraft and separate design approval can be found in the Airworthiness Manual (Doc 9760).*

171.05.4.3.1.2.10.2 *Transponder peak pulse power.* The peak power of each pulse of a reply must:

- a) not be less than 18.5 dBW for aircraft not capable of operating at altitudes exceeding 4 570 m (15 000 ft);
- b) not be less than 21.0 dBW for aircraft capable of operating above 4 570 m (15 000 ft);

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c) not be less than 21.0 dBW for aircraft with maximum cruising speed exceeding 324 km/h (175 kt); and

d) not exceed 27.0 dBW.

171.05.4.3.1.2.10.2.1 *Inactive state transponder output power.* When the transponder is in the inactive state the peak pulse power at 1 090 MHz plus or minus 3 MHz must not exceed –50 dBm. The inactive state is defined to include the entire period between transmissions less 10-microsecond transition periods preceding the first pulse and following the last pulse of the transmission.

*Note.— Inactive state transponder power is constrained in this way to ensure that an aircraft, when located as near as 185 m (0.1 NM) to a Mode A/C or Mode S interrogator, does not cause interference to that installation. In certain applications of Mode S, airborne collision avoidance for example, where a 1 090 MHz transmitter and receiver are in the same aircraft, it may be necessary to further constrain the inactive state transponder power.*

#### 171.05.4.3.1.2.10.2.2 Spurious emission radiation

*Recommendation.— CW radiation may not exceed 70 dB below 1 watt.*


#### 171.05.4.3.1.2.10.3 Special Characteristics

##### 171.05.4.3.1.2.10.3.1 Mode S side-lobe suppression

*Note.— Side-lobe suppression for Mode S formats occurs when a  $P_5$  pulse overlays the location of the sync phase reversal of  $P_6$ , causing the transponder to fail to recognize the interrogation (171.05.4.3.1.2.4.1.1.3).*

Given a Mode S interrogation that requires a reply, the transponder must:

- a) at all signal levels between MTL +3 dB and –21 dBm, have a reply ratio of less than 10 per cent if the received amplitude of  $P_5$  exceeds the received amplitude of  $P_6$  by 3 dB or more;
- b) at all signal levels between MTL +3 dB and –21 dBm, have a reply ratio of at least 99 per cent if the received amplitude of  $P_6$  exceeds the received amplitude of  $P_5$  by 12 dB or more.

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171.05.4.3.1.2.10.3.2 *Mode S dead time.* Dead time must be defined as the time interval beginning at the end of a reply transmission and ending when the transponder has regained sensitivity to within 3 dB of MTL. Mode S transponders must not have more than 125 microseconds' dead time.

171.05.4.3.1.2.10.3.3 *Mode S receiver desensitization.* The transponder's receiver must be desensitized according to 171.05.4.3.1.1.7.7.1 on receipt of any pulse of more than 0.7 microseconds duration.

171.05.4.3.1.2.10.3.3.1 *Recovery from desensitization.* Recovery from desensitization must begin at the trailing edge of each pulse of a received signal and must occur at the rate prescribed in 171.05.4.3.1.1.7.7.2, provided that no reply or data transfer is made in response to the received signal.

**171.05.4.3.1.2.10.3.4 Recovery after Mode S interrogations that do not elicit replies**

**171.05.4.3.1.2.10.3.4.1 Recovery after a single Mode S interrogation**


171.05.4.3.1.2.10.3.4.1.1 The transponder must recover sensitivity to within 3 dB of MTL no later than 128 microseconds after receipt of the sync phase reversal following a Mode S interrogation that is not accepted (3.1.2.4.1.2) or that is accepted but requires no reply.

171.05.4.3.1.2.10.3.4.1.2 **Recommendation.**— *The transponder may recover sensitivity to within 3 dB of MTL no later than 45 microseconds after receipt of the sync phase reversal following a Mode S interrogation that is not accepted (171.05.4.3.1.2.4.1.2) or that is accepted but requires no reply.*

171.05.4.3.1.2.10.3.4.1.3 All Mode S transponders installed on or after 1 January 1999 must recover sensitivity to within 3 dB of MTL no later than 45 microseconds after receipt of the sync phase reversal following a Mode S interrogation that is not accepted (171.05.4.3.1.2.4.1.2) or that is accepted but requires no reply.

171.05.4.3.1.2.10.3.4.2 *Recovery after a Mode S Comm-C interrogation.* A Mode S transponder with Comm-C capability must recover sensitivity to within 3 dB of MTL no later than 45 microseconds after receipt of the sync phase reversal following acceptance of a Comm-C interrogation for which no reply is required.

171.05.4.3.1.2.10.3.5 *Unwanted Mode S replies.* Mode S transponders must not generate unwanted Mode S replies more often than once in 10 seconds. Installation in the aircraft must be made in such a

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manner that this standard must be achieved when all possible interfering equipments installed in the same aircraft are operating at maximum interference levels.

171.05.4.3.1.2.10.3.5.1 *Unwanted Mode S replies in the presence of low-level in-band CW interference.* In the presence of noncoherent CW interference at a frequency of  $1\ 030 \pm 0.2$  MHz and at signal levels of -60 dBm or less, and in the absence of valid interrogation signals, Mode S transponders must not generate unwanted Mode S replies more often than once per 10 seconds.

**171.05.4.3.1.2.10.3.6 Reply rate limiting**

*Note.* — Reply rate limiting is prescribed separately for Modes A and C and for Mode S.

171.05.4.3.1.2.10.3.6.1 *Mode S reply rate limiting.* Reply rate limiting is not required for the Mode S formats of a transponder. If such limiting is incorporated for circuit protection, it must permit the minimum reply rates required in 171.05.4.3.1.2.10.3.7.2 and 171.05.4.3.1.2.10.3.7.3.

171.05.4.3.1.2.10.3.6.2 *Modes A and C reply rate limiting.* Reply rate limiting for Modes A and C must be effected according to 171.05.4.3.1.1.7.9.1. The prescribed sensitivity reduction (171.05.4.3.1.1.7.9.2) must not affect the Mode S performance of the transponder.


**171.05.4.3.1.2.10.3.7 Minimum reply rate capability, Modes A, C and S**

171.05.4.3.1.2.10.3.7.1 All reply rates specified in 171.05.4.3.1.2.10.3.7 must be in addition to any squitter transmissions that the transponder is required to make.

171.05.4.3.1.2.10.3.7.2 *Minimum reply rate capability, Modes A and C.* The minimum reply rate capability for Modes A and C must be in accordance with 171.05.4.3.1.1.7.9.

171.05.4.3.1.2.10.3.7.3 *Minimum reply rate capability, Mode S.* A transponder capable of transmitting only short Mode S replies must be able to generate replies at the following rates:

- 50 Mode S replies in any 1-second interval
- 18 Mode S replies in a 100-millisecond interval
- 8 Mode S replies in a 25-millisecond interval
- 4 Mode S replies in a 1.6-millisecond interval

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In addition to any downlink ELM transmissions, a level 2, 3 or 4 transponder must be able to generate as long replies at least:

- 16 of 50 Mode S replies in any 1-second interval
- 6 of 18 Mode S replies in a 100-millisecond interval
- 4 of 8 Mode S replies in a 25-millisecond interval
- 2 of 4 Mode S replies in a 1.6-millisecond interval

Transponders used in conjunction with ACAS must be able to generate as long replies at least:

- 60 Mode S replies in any 1-second interval
- 6 of 18 Mode S replies in a 100-millisecond interval
- 4 of 8 Mode S replies in a 25-millisecond interval
- 2 of 4 Mode S replies in a 1.6-millisecond interval


In addition to downlink ELM transmissions, a level 5 transponder must be able to generate as long replies at least:

- 24 of 50 Mode S replies in any 1-second interval
- 9 of 18 Mode S replies in a 100-millisecond interval
- 6 of 8 Mode S replies in a 25-millisecond interval
- 2 of 4 Mode S replies in a 1.6-millisecond interval

**171.05.4.3.1.2.10.3.7.4 Minimum Mode S ELM peak reply rate**

*Note 1.— When a downlink ELM is initialized (171.05.4.3.1.2.7.7.1), the Mode S transponder announces the length (in segments) of the waiting message. The transponder must be able to transmit this number of segments, plus an additional margin to make up for missed replies, during the beam dwell of the ground interrogator.*

At least once every second a Mode S transponder equipped for ELM downlink operation must be capable of transmitting in a 25-millisecond interval, at least 25 per cent more segments than have been announced in the initialization (171.05.4.3.1.2.7.7.1). The minimum length downlink ELM capability for level 4 and 5 transponders must be as specified in 171.05.4.3.1.2.10.5.2.2.2.

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*Note 2.— A transponder capable of processing the maximum length downlink ELM (16 segments) is therefore required to be able to transmit 20 long replies under the above conditions. Level 4 transponders may be built which process less than the maximum message length. These transponders cannot initialize a message length that exceeds their transmitter capability. For example, a transponder that can transmit at most 10 long replies under the above conditions can never announce a message of more than 8 segments.*

#### **171.05.4.3.1.2.10.3.8 Reply delay and jitter**

*Note.— After an interrogation has been accepted and if a reply is required, this reply transmission begins after a fixed delay needed to carry out the protocols. Different values for this delay are assigned for Modes A and C, for Mode S and for Modes A/C/S all-call replies.*


**171.05.4.3.1.2.10.3.8.1 Reply delay and jitter for Modes A and C.** The reply delay and jitter for Modes A and C transactions must be as prescribed in 171.05.4.3.1.1.7.10.

**171.05.4.3.1.2.10.3.8.2 Reply delay and jitter for Mode S.** For all input signal levels between MTL and –21 dBm, the leading edge of the first preamble pulse of the reply (171.05.4.3.1.2.2.5.1.1) must occur 128 plus or minus 0.25 microsecond after the sync phase reversal (171.05.4.3.1.2.1.5.2.2) of the received  $P_6$ . The jitter of the reply delay must not exceed 0.08 microsecond, peak (99.9 percentile).

**171.05.4.3.1.2.10.3.8.3 Reply delay and jitter for Modes A/C/S all call.** For all input signal levels between MTL +3 dB and – 21 dBm the leading edge of the first preamble pulse of the reply (171.05.4.3.1.2.2.5.1.1) must occur 128 plus or minus 0.5 microseconds after the leading edge of the  $P_4$  pulse of the interrogation (171.05.4.3.1.2.1.5.1.1). Jitter must not exceed 0.1 microsecond, peak (99.9 percentile).

*Note.— A peak jitter of 0.1 microsecond is consistent with the jitter prescribed in 171.05.4.3.1.1.7.10.*

**171.05.4.3.1.2.10.3.9 Timers.** Duration and features of timers must be as shown in Table 3-9. All timers must be capable of being restarted. On receipt of any start command, they must run for their specified times. This must occur regardless of whether they are in the running or the non-running state at the time that the start command is received. A command to reset a timer must cause the

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timer to stop running and to return to its initial state in preparation for a subsequent start command.

171.05.4.3.1.2.10.3.10 *Inhibition of replies.* Replies to Mode A/C/S all-call and Mode S-only all-call interrogations must always be inhibited when the aircraft declares the on-the-ground state. It must not be possible to inhibit replies to discretely addressed Mode S interrogations regardless of whether the aircraft is airborne or on the ground.

171.05.4.3.1.2.10.3.10.1 **Recommendation.**— *Aircraft may provide means to determine the on-the-ground state automatically and provide that information to the transponder.*

171.05.4.3.1.2.10.3.10.2 **Recommendation.**— *Mode A/C replies may be inhibited when the aircraft is on the ground to prevent interference when in close proximity to an interrogator or other aircraft.*

*Note.*— *Mode S discretely addressed interrogations do not give rise to such interference and may be required for data link communications with aircraft on the airport surface. Acquisition squitter transmissions may be used for passive surveillance of aircraft on the airport surface.*


171.05.4.3.1.2.10.4 *Transponder antenna system and diversity operation.* Mode S transponders equipped for diversity operation must have two RF ports for operation with two antennas, one antenna on the top and the other on the bottom of the aircraft's fuselage. The received signal from one of the antennas must be selected for acceptance and the reply must be transmitted from the selected antenna only.

171.05.4.3.1.2.10.4.1 *Radiation pattern.* The radiation pattern of Mode S antennas when installed on an aircraft must be nominally equivalent to that of a quarter-wave monopole on a ground plane.

*Note.*— *Transponder antennas designed to increase gain at the expense of vertical beamwidth are undesirable because of their poor performance during turns.*

171.05.4.3.1.2.10.4.2 *Antenna location.* The top and bottom antennas must be mounted as near as possible to the centre line of the fuselage. Antennas must be located so as to minimize obstruction to their fields in the horizontal plane.

171.05.4.3.1.2.10.4.2.1 **Recommendation.**— *The horizontal distance between the top and bottom antennas may not be greater than 7.6 m (25 ft).*

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*Note.*— This recommendation is intended to support the operation of any diversity transponder (including cables) with any diversity antenna installation and still satisfy the requirement of 171.05.4.3.1.2.10.4.5.

171.05.4.3.1.2.10.4.3 *Antenna selection.* Mode S transponders equipped for diversity operation must have the capability to evaluate a pulse sequence simultaneously received on both antenna channels to determine individually for each channel if the  $P_1$  pulse and the  $P_2$  pulse of a Mode S interrogation preamble meet the requirements for a Mode S interrogation as defined in 171.05.4.3.1.2.1 and if the  $P_1$  pulse and the  $P_3$  pulse of a Mode A, Mode C or intermode interrogation meet the requirements for Mode A and Mode C interrogations as defined in 171.05.4.3.1.1.

*Note.*— Transponders equipped for diversity operation may optionally have the capability to evaluate additional characteristics of the received pulses of the interrogations in making a diversity channel selection. The transponder may as an option evaluate a complete Mode S interrogation simultaneously received on both channels to determine individually for each channel if the interrogation meets the requirements for Mode S interrogation acceptance as defined in 171.05.4.3.1.2.4.1.2.3.


171.05.4.3.1.2.10.4.3.1 If the two channels simultaneously receive at least a  $P_1 - P_2$  pulse pair that meets the requirements for a Mode S interrogation, or a  $P_1 - P_3$  pulse pair that meets the requirements for a Mode A or Mode C interrogation, or if the two channels simultaneously accept a complete interrogation, the antenna at which the signal strength is greater must be selected for the reception of the remainder (if any) of the interrogation and for the transmission of the reply.

171.05.4.3.1.2.10.4.3.2 If only one channel receives a pulse pair that meets the requirements for an interrogation, or if only one channel accepts an interrogation, the antenna associated with that channel must be selected regardless of received signal strength.

171.05.4.3.1.2.10.4.3.3 *Selection threshold.* If antenna selection is based on signal level, it must be carried out at all signal levels between MTL and  $-21$  dBm.

*Note.*— Either antenna may be selected if the difference in signal level is less than 3 dB.

171.05.4.3.1.2.10.4.3.4 *Received signal delay tolerance.* If an interrogation is received at one antenna 0.125 microsecond or less in advance of reception at the other antenna, the interrogations must be

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considered to be simultaneous interrogations, and the above antenna selection criteria applied. If an accepted interrogation is received at either antenna 0.375 microsecond or more in advance of reception at the other antenna, the antenna selected for the reply must be that which received the earlier interrogation. If the relative time of receipt is between 0.125 and 0.375 microsecond, the transponder must select the antenna for reply either on the basis of the simultaneous interrogation criteria or on the basis of the earlier time of arrival.

171.05.4.3.1.2.10.4.4 *Diversity transmission channel isolation.* The peak RF power transmitted from the selected antenna must exceed the power transmitted from the non-selected antenna by at least 20 dB.

171.05.4.3.1.2.10.4.5 *Reply delay of diversity transponders.* The total two-way transmission difference in mean reply delay between the two antenna channels (including the differential delay caused by transponder-to-antenna cables and the horizontal distance along the aircraft centre line between the two antennas) must not exceed 0.13 microsecond for interrogations of equal amplitude. This requirement must hold for interrogation signal strengths between MTL +3 dB and –21 dBm. The jitter requirements on each individual channel must remain as specified for non-diversity transponders.

*Note.— This requirement limits apparent jitter caused by antenna switching and by cable delay differences.*


#### **171.05.4.3.1.2.10.5 Data Processing And Interfaces**

171.05.4.3.1.2.10.5.1 *Direct data.* Direct data must be those which are required for the surveillance protocol of the Mode S system.

171.05.4.3.1.2.10.5.1.1 *Fixed direct data.* Fixed direct data are data from the aircraft which do not change in flight and must be:

- a) the aircraft address (171.05.4.3.1.2.4.1.2.3.1.1 and 171.05.4.3.1.2.5.2.2.2);
- b) the maximum airspeed (171.05.4.3.1.2.8.2.2); and
- c) the registration marking if used for flight identification (171.05.4.3.1.2.9.1.1).

#### **171.05.4.3.1.2.10.5.1.2 Interfaces for fixed direct data**

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**Recommendation.**— *Interfaces from the transponder to the aircraft may be designed such that the values of the fixed direct data become a function of the aircraft installation rather than of the transponder configuration.*

**Note.**— *The intent of this recommendation is to encourage an interface technique which permits transponder exchange without manipulation of the transponder itself for setting the fixed direct data.*

171.05.4.3.1.2.10.5.1.3 *Variable direct data.* Variable direct data are data from the aircraft which can change in flight and must be:


- a) the Mode C altitude code (171.05.4.3.1.2.6.5.4);
- b) the Mode A identity code (171.05.4.3.1.2.6.7.1);
- c) the on-the-ground condition (171.05.4.3.1.2.5.2.2.1, 171.05.4.3.1.2.6.5.1 and 171.05.4.3.1.2.8.2.1);
- d) the aircraft identification if different from the registration marking (171.05.4.3.1.2.9.1.1); and
- e) the SPI condition (171.05.4.3.1.2.6.10.1.3).

**171.05.4.3.1.2.10.5.1.4 Interfaces for variable direct data.**

171.05.4.3.1.2.10.5.1.4.1 A means must be provided, while on the ground or during flight, for the SPI condition to be inserted by the pilot, without the entry or modification of other flight data.

171.05.4.3.1.2.10.5.1.4.2 A means must be provided, while on the ground or during flight, for the Mode A identity code to be displayed to the pilot and modified without the entry or modification of other flight data.

171.05.4.3.1.2.10.5.1.4.3 For transponders of Level 2 and above, a means must be provided, while on the ground or during flight, for the aircraft identification to be displayed to the pilot, and, when containing variable data (171.05.4.3.1.2.10.5.1.3 d)), to be modified without the entry or modification of other flight data.

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*Note.*— Implementation of the pilot action for entry of data will be as simple and efficient as possible in order to minimize the time required and reduce the possibility of errors in the data entry.

171.05.4.3.1.2.10.5.1.4.4 Interfaces must be included to accept the pressure-altitude and on-the-ground coding.

*Note.*— A specific interface design for the variable direct data is not prescribed.

#### **171.05.4.3.1.2.10.5.2 Indirect data**

*Note.*— Indirect data are those which pass through the transponder in either direction but which do not affect the surveillance function.

If origins and/or destinations of indirect data are not within the transponder's enclosure, interfaces must be used for the necessary connections.


#### **171.05.4.3.1.2.10.5.2.1 The function of interfaces**

*Note.*— Indirect data interfaces for standard transactions serve interrogations which require a reply and the broadcast function. Indirect data interfaces for ELM serve that system and require buffering and protocol circuitry within the transponder. Interface ports can be separate for each direction and for each service or can be combined in any manner.

171.05.4.3.1.2.10.5.2.1.1 *Uplink standard length transaction interface.* The uplink standard length transaction interface must transfer all bits of accepted interrogations, (with the possible exception of the AP field), except for UF = 0, 11 or 16.

*Note.*— AP can also be transferred to aid in integrity implementation.

171.05.4.3.1.2.10.5.2.1.2 *Downlink standard length transaction interface.* A transponder which transmits information originating in a peripheral device must be able to receive bits or bit patterns for insertion at appropriate locations within the transmission. These locations must not include those into which bit patterns generated internally by the transponder are inserted, nor the AP field of the reply. A transponder which transmits information using the Comm-B format must

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have immediate access to requested data in the sense that the transponder must respond to an interrogation with data requested by that interrogation.

*Note.— This requirement may be met in two ways:*

*a) the transponder may have provisions for internal data and protocol buffering;*

*b) the transponder may employ a “real time” interface which operates such that uplink data leave the transponder before the corresponding reply is generated and downlink data enter the transponder in time to be incorporated in the reply.*

**171.05.4.3.1.2.10.5.2.1.3 Extended length message interface**

*Note.— The ELM interface extracts from, and enters into, the transponder the data exchanged between air and ground by means of the ELM protocol (171.05.4.3.1.2.7).*


**171.05.4.3.1.2.10.5.2.2 Indirect data transaction rates**

**171.05.4.3.1.2.10.5.2.2.1 Standard length transactions.** A transponder equipped for information transfer to and from external devices must be capable of processing the data of at least as many replies as prescribed for minimum reply rates in 171.05.4.3.1.2.10.3.7.2 and uplink data from interrogations being delivered at a rate of at least:

- 50 long interrogations in any 1-second interval
- 18 long interrogations in a 100-millisecond interval
- 8 long interrogations in a 25-millisecond interval
- 4 long interrogations in a 1.6-millisecond interval.

*Note 1.— A transponder capable of reply rates higher than the minimum of 171.05.4.3.1.2.10.3.7.2 need not accept long interrogations after reaching the uplink data processing limits above.*

*Note 2.— The Mode S reply is the sole means of acknowledging receipt of the data content of a Mode S interrogation. Thus, if the transponder is capable of replying to an interrogation, the*

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*Mode S installation must be capable of accepting the data contained in that interrogation regardless of the timing between it and other accepted interrogations. Overlapping Mode S beams from several interrogators could lead to the requirement for considerable data processing and buffering. The minimum described here reduces data processing to a realistic level and the non-acceptance provision provides for notification to the interrogator that data will temporarily not be accepted.*

171.05.4.3.1.2.10.5.2.2.2 *Extended length transactions.* Level 3 (171.05.4.2.1.5.1.3) and level 4 (171.05.4.2.1.5.1.4) transponders must be able to transfer data from at least four complete sixteen segment uplink ELMs (171.05.4.3.1.2.7.4) in any four second interval. A level 5 transponder (171.05.4.2.1.5.1.5) must be able to transfer the data from at least four complete sixteen segment uplink ELMs in any one second interval and must be capable of accepting at least two complete sixteen segment uplink ELMs with the same II code in a 250 millisecond interval. A level 4 transponder must be able to transmit at least one four-segment downlink ELM (171.05.4.3.1.2.7.7 and 171.05.4.3.1.2.10.3.7.3) in any one second interval. A level 5 transponder must be able to transmit at least one sixteen segment downlink ELM in any one second interval.


171.05.4.3.1.2.10.5.2.2.2.1 **Recommendation.**— *Level 3 and level 4 transponders may be able to accept at least two complete sixteen segment uplink ELMs in a 250 millisecond interval.*

171.05.4.3.1.2.10.5.2.3 *Data formats for standard length transactions and required downlink aircraft parameters (DAPs)*

171.05.4.3.1.2.10.5.2.3.1 All level 2 and above transponders must support the following registers:

- the capability reports (171.05.4.3.1.2.6.10.2);
- the aircraft identification protocol register 20 {HEX} (171.05.4.3.1.2.9); and
- for ACAS-equipped aircraft, the active resolution advisory register 30 {HEX} (171.05.4.4.3.8.4.2.2).

171.05.4.3.1.2.10.5.2.3.2 Where required, DAPs must be supported by the registers listed in Table 3-10. The formats and minimum update rates of transponder registers must be implemented consistently to ensure interoperability.

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171.05.4.3.1.2.10.5.2.3.3 The downlink standard length transaction interface must deliver downlink aircraft parameters (DAPs) to the transponder which makes them available to the ground. Each DAP must be packed into the Comm-B format ('MB' field) and can be extracted using either the ground-initiated Comm-B (GICB) protocol, or using MSP downlink channel 3 via the dataflash application.

*Note.— The formats and update rates of each register and the dataflash application are specified in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871).*

171.05.4.3.1.2.10.5.3 *Integrity of data content transfer.* A transponder which employs data interfaces must include sufficient protection to ensure error rates of less than one error in  $10^3$  messages and less than one undetected error in  $10^7$  112-bit transmissions in both directions between the antenna and each interface port.

171.05.4.3.1.2.10.5.4 *Message cancellation.* The downlink standard length transaction interface and the extended length message interface must include the capability to cancel a message sent to the transponder for delivery to the ground, but whose delivery cycle has not been completed (i.e. a closeout has not been accomplished by a ground interrogator).


*Note.— One example of the need for this capability is to cancel a message if delivery is attempted when the aircraft is not within coverage of a Mode S ground station. The message must then be cancelled to prevent it from being read and interpreted as a current message when the aircraft re-enters Mode S airspace.*

171.05.4.3.1.2.10.5.5 *Air-directed messages.* The transfer of this type of message requires all of the actions indicated in 171.05.4.3.1.2.10.5.4 plus the transfer to the transponder of the interrogator identifier of the site that is to receive the message.

#### **171.05.4.3.1.2.11 Essential System Characteristics Of The Ground Interrogator**

*Note.— To ensure that Mode S interrogator action is not detrimental to Mode A/C interrogators, performance limits exist for Mode S interrogators.*

171.05.4.3.1.2.11.1 *Interrogation repetition rates.* Mode S interrogators must use the lowest practicable interrogation repetition rates for all interrogation modes.

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*Note.— Accurate azimuth data at low interrogation rates can be obtained with monopulse techniques.*

**171.05.4.3.1.2.11.1.1 All-call interrogation repetition rate**

171.05.4.3.1.2.11.1.1.1 The interrogation repetition rate for the Mode A/C/S all-call, used for acquisition, must be less than 250 per second. This rate must also apply to the paired Mode S-only and Mode A/C-only all-call interrogations used for acquisition in the multisite mode.

171.05.4.3.1.2.11.1.1.2 *Maximum number of Mode S all-call replies triggered by an interrogator.* For aircraft that are not locked out, a Mode S interrogator must not trigger, on average, more than 6 Mode S all-call replies per period of 200 ms and no more than 26 Mode S all-call replies counted over a period of 18 seconds.

**171.05.4.3.1.2.11.1.2 Interrogation repetition rate to a single aircraft**

171.05.4.3.1.2.11.1.2.1 *Interrogations requiring a reply.* Mode S interrogations requiring a reply must not be transmitted to a single aircraft at intervals shorter than 400 microseconds.

171.05.4.3.1.2.11.1.2.2 *Uplink ELM interrogations.* The minimum time between the beginning of successive Comm-C interrogations must be 50 microseconds.


**171.05.4.3.1.2.11.1.3 Transmission rate for selective interrogations**

171.05.4.3.1.2.11.1.3.1 For all Mode S interrogators, the transmission rate for selective interrogations must be:

- a) less than 2 400 per second averaged over a 40-millisecond interval; and
- b) less than 480 into any 3-degree sector averaged over a 1-second interval.

171.05.4.3.1.2.11.1.3.2 Additionally, for a Mode S interrogator that has overlapping coverage with the sidelobes of any other Mode S interrogator, the transmission rate for selective interrogations must be:

- a) less than 1 200 per second averaged over a 4-second interval; and
- b) less than 1 800 per second averaged over a 1-second interval.

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*Note.* — Typical minimum distance to ensure sidelobe separation between interrogators is 35 km.

#### 171.05.4.3.1.2.11.2 Interrogator-Effective Radiated Power

**Recommendation.** — *The effective radiated power of all interrogation pulses may be minimized as described in 171.05.4.3.1.1.8.2.*

171.05.4.3.1.2.11.3 *Inactive-state interrogator output power.* When the interrogator transmitter is not transmitting an interrogation, its output must not exceed –5 dBm effective radiated power at any frequency between 960 MHz and 1 215 MHz.

*Note.* — *This constraint ensures that aircraft flying near the interrogator (as close as 1.85 km (1 NM)) will not receive interference that would prevent them from being tracked by another interrogator. In certain instances even smaller interrogator-to-aircraft distances are of significance, for example if Mode S surveillance on the airport surface is used. In such cases a further restraint on inactive state interrogator output power may be necessary.*

#### 171.05.4.3.1.2.11.3.1 Spurious emission radiation

**Recommendation.** — *CW radiation may not exceed 76 dB below 1 watt.*

171.05.4.3.1.2.11.4 *Tolerances on transmitted signals.* In order that the signal-in-space be received by the transponder as described in 3.1.2.1, the tolerances on the transmitted signal must be as summarized in Table 3-11.

#### 171.05.4.3.1.2.11.5 Spurious Response

**Recommendation.** — *The response to signals not within the passband may be at least 60 dB below normal sensitivity.*

171.05.4.3.1.2.11.6 *Lockout coordination.* A Mode S interrogator must not be operated using all-call lockout until coordination has been achieved with all other operating Mode S interrogators having any overlapping coverage volume in order to ensure that no interrogator can be denied the acquisition of Mode S-equipped aircraft.

*Note.*— This coordination may be via ground network or by the allocation of interrogator identifier (II) codes and will involve regional agreements where coverage overlaps international boundaries.

**171.05.4.3.1.2.11.7 Mobile Interrogators**

**Recommendation.**— *Mobile interrogators may acquire, whenever possible, Mode S aircraft through the reception of squitters.*

*Note.*— *Passive squitter acquisition reduces channel loading and can be accomplished without the need for coordination.*

TABLES FOR CHAPTER 3

Table 3-1. Pulse shapes — Mode S and intermode interrogations

Pulse	Duration	Duration tolerance	(Rise time)		(Decay time)	
			Min.	Max.	Min.	Max.
$P_1, P_2, P_3, P_5$	0.8	±0.1	0.05	0.1	0.05	0.2
$P_4$ (short)	0.8	±0.1	0.05	0.1	0.05	0.2
$P_4$ (long)	1.6	±0.1	0.05	0.1	0.05	0.2
$P_6$ (short)	16.25	±0.25	0.05	0.1	0.05	0.2
$P_6$ (long)	30.25	±0.25	0.05	0.1	0.05	0.2
$S_1$	0.8	±0.1	0.05	0.1	0.05	0.2

Table 3-2. Pulse shapes — Mode S replies



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<i>Pulse duration</i>	<i>Duration tolerance</i>	<i>(Rise time)</i>		<i>(Decay time)</i>	
		<i>Min.</i>	<i>Max.</i>	<i>Min.</i>	<i>Max.</i>
0.5	±0.05	0.05	0.1	0.05	0.2
1.0	±0.05	0.05	0.1	0.05	0.2

Table 3-3. Field definitions

<i>Field</i>		<i>Format</i>		<i>Reference</i>
<i>Designator</i>	<i>Function</i>	<i>UF</i>	<i>DF</i>	
AA	Address announced		11, 17, 18	171.05.4.3.1.2.5.2.2.2
AC	Altitude code		4, 20	171.05.4.3.1.2.6.5.4
AF	Application field		19	171.05.4.3.1.2.8.8.2
AP	Address/parity	All	0, 4, 5, 16, 20, 21, 24	171.05.4.3.1.2.3.2.1.3
AQ	Acquisition	0		171.05.4.3.1.2.8.1.1
CA	Capability		11, 17	171.05.4.3.1.2.5.2.2.1
CC	Cross-link capability		0	171.05.4.3.1.2.8.2.3
CF	Control field		18	171.05.4.3.1.2.8.7.2
CL	Code label	11		171.05.4.3.1.2.5.2.1.3
DF	Downlink format		All	171.05.4.3.1.2.3.2.1.2
<i>Field</i>		<i>Format</i>		<i>Reference</i>
<i>Designator</i>	<i>Function</i>	<i>UF</i>	<i>DF</i>	
DI	Designator identification	4, 5, 20, 21		171.05.4.3.1.2.6.1.3
DP	Data parity		20, 21	171.05.4.3.1.2.3.2.1.5
DR	Downlink request		4, 5, 20, 21	171.05.4.3.1.2.6.5.2
DS	Data selector	0		171.05.4.3.1.2.8.1.3
FS	Flight status		4, 5, 20, 21	171.05.4.3.1.2.6.5.1
IC	Interrogator code	11		171.05.4.3.1.2.5.2.1.2

ID	Identity		5, 21	171.05.4.3.1.2.6.7.1
KE	Control, ELM		24	171.05.4.3.1.2.7.3.1
MA	Message, Comm-A	20, 21		171.05.4.3.1.2.6.2.1
MB	Message, Comm-B		20, 21	171.05.4.3.1.2.6.6.1
MC	Message, Comm-C	24		171.05.4.3.1.2.7.1.3
MD	Message, Comm-D		24	171.05.4.3.1.2.7.3.3
ME	Message, extended squitter		17, 18	171.05.4.3.1.2.8.6.2
MU	Message, ACAS	16		171.05.4.4.3.8.4.2.3
MV	Message, ACAS		16	171.05.4.3.1.2.8.3.1, 171.05.4.4.3.8.4.2.4
NC	Number of C-segment	24		171.05.4.3.1.2.7.1.2
ND	Number of D-segment		24	171.05.4.3.1.2.7.3.2
PC	Protocol	4, 5, 20, 21		171.05.4.3.1.2.6.1.1
PI	Parity/interrogator identifier		11, 17, 18	171.05.4.3.1.2.3.2.1.4
PR	Probability of reply	11		171.05.4.3.1.2.5.2.1.1
RC	Reply control	24		171.05.4.3.1.2.7.1.1
RI	Reply information		0	171.05.4.3.1.2.8.2.2
RL	Reply length	0		171.05.4.3.1.2.8.1.2
RR	Reply request	4, 5, 20, 21		171.05.4.3.1.2.6.1.2
SD	Special designator	4, 5, 20, 21		171.05.4.3.1.2.6.1.4
SL	Sensitivity Level (ACAS)		0, 16	171.05.4.4.3.8.4.2.5
UF	Uplink format	All		171.05.4.3.1.2.3.2.1.1
UM	Utility message		4, 5, 20, 21	171.05.4.3.1.2.6.5.3
VS	Vertical status		0	171.05.4.3.1.2.8.2.1

Table 3-4. Subfield definitions

<i>Subfield</i>			
<i>Designator</i>	<i>Function</i>	<i>Field</i>	<i>Reference</i>



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ACS	Altitude code subfield	ME	171.05.4.3.1.2.8.6.3.1.2
AIS	Aircraft identification subfield	MB	171.05.4.3.1.2.9.1.1
ATS	Altitude type subfield	MB	171.05.4.3.1.2.8.6.8.2
BDS 1	Comm-B data selector subfield 1	MB	171.05.4.3.1.2.6.11.2.1
BDS 2	Comm-B data selector subfield 2	MB	171.05.4.3.1.2.6.11.2.1
IDS	Identifier designator subfield	UM	171.05.4.3.1.2.6.5.3.1
IIS	Interrogator identifier subfield	SD	171.05.4.3.1.2.6.1.4.1 a)
		UM	171.05.4.3.1.2.6.5.3.1
LOS	Lockout subfield	SD	171.05.4.3.1.2.6.1.4.1 d)
LSS	Lockout surveillance subfield	SD	171.05.4.3.1.2.6.1.4.1 g)
MBS	Multisite Comm-B subfield	SD	171.05.4.3.1.2.6.1.4.1 c)
MES	Multisite ELM subfield	SD	171.05.4.3.1.2.6.1.4.1 c)
OVC	Overlay control	SD	171.05.4.3.1.2.6.1.4.1 i)
RCS	Rate control subfield	SD	171.05.4.3.1.2.6.1.4.1 f)
RRS	Reply request subfield	SD	171.05.4.3.1.2.6.1.4.1 e) and g)
RSS	Reservation status subfield	SD	171.05.4.3.1.2.6.1.4.1 c)
SAS	Surface antenna subfield	SD	171.05.4.3.1.2.6.1.4.1 f)
SCS	Squitter capability subfield	MB	171.05.4.3.1.2.6.10.2.2.1
SIC	Surveillance identifier capability	MB	171.05.4.3.1.2.6.10.2.2.1
SIS	Surveillance identifier subfield	SD	171.05.4.3.1.2.6.1.4.1 g)
SRS	Segment request subfield	MC	171.05.4.3.1.2.7.7.2.1
SSS	Surveillance status subfield	ME	171.05.4.3.1.2.8.6.3.1.1
TAS	Transmission acknowledgement subfield	MD	171.05.4.3.1.2.7.4.2.6
TCS	Type control subfield	SD	171.05.4.3.1.2.6.1.4.1 f)
TMS	Tactical message subfield	SD	171.05.4.3.1.2.6.1.4.1 d)
TRS	Transmission rate subfield	MB	171.05.4.3.1.2.8.6.8.1

Table 3-5. Interrogation — reply protocol summary

<i>Interrogation UF</i>	<i>Special conditions</i>	<i>Reply DF</i>
0	RL (171.05.4.3.1.2.8.1.2) equals 0 RL (171.05.4.3.1.2.8.1.2) equals 1	0 16
4	RR (171.05.4.3.1.2.6.1.2) less than 16 RR (171.05.4.3.1.2.6.1.2) equal to or greater than 16	4 20
5	RR (171.05.4.3.1.2.6.1.2) less than 16 RR (171.05.4.3.1.2.6.1.2) equal to or greater than 16	5 21
11	Transponder locked out to interrogator code, IC (171.05.4.3.1.2.5.2.1.2) Stochastic reply test fails (171.05.4.3.1.2.5.4) Otherwise	No reply No reply 11
20	RR (171.05.4.3.1.2.6.1.2) less than 16 RR (171.05.4.3.1.2.6.1.2) equal to or greater than 16 AP contains broadcast address (171.05.4.3.1.2.4.1.2.3.1.3)	4 20 No reply
21	RR (171.05.4.3.1.2.6.1.2) less than 16 RR (171.05.4.3.1.2.6.1.2) equal to or greater than 16 AP contains broadcast address (171.05.4.3.1.2.4.1.2.3.1.3)	5 21 No reply
24	RC (171.05.4.3.1.2.7.1.1) equals 0 or 1 RC (171.05.4.3.1.2.7.1.1) equals 2 or 3	No reply 24

Table 3-6. Table for register 10<sub>16</sub>

<i>Subfields of register 10<sub>16</sub></i>	<i>MB bits</i>	<i>Comm-B bits</i>
Continuation flag	9	41
Overlay command capability	15	47
ACAS capability	16 and 37-40	48 and 69-72
Mode S subnetwork version number	17-23	49-55
Transponder enhanced protocol indicator	24	56

Specific services capability	25	57
Uplink ELM capability	26-28	58-60
Downlink ELM capability	29-32	61-64
Aircraft identification capability	33	65
Squitter capability subfield (SCS)	34	66
Surveillance identifier code capability (SIC)	35	67
Common usage GICB capability report	36	68
Status of DTE sub-addresses 0 to 15	41-56	73-88

Table 3-7. Surface format broadcast without an automatic means of on-the-ground determination

<i>ADS-B Emitter Category set "A"</i>						
<i>Coding</i>	<i>Meaning</i>	<i>Ground Speed</i>		<i>Airspeed</i>		<i>Radio Altitude</i>
0	No ADS-B emitter category information	Always report airborne position message (171.05.4.3.1.2.8.6.3.1)				
1	Light (<15 500 lbs or 7 031 kg)	Always report airborne position message (171.05.4.3.1.2.8.6.3.1)				
2	Small (15 500 to 75 000 lbs or 7 031 to 34 019 kg)	< 100 knots	and	<100 knots	and	<50 feet
3	Large (75 000 lbs to 300 000 lbs or 34 019 to 136 078 kg)	<100 knots	and	<100 knots	and	<50 feet
4	High-vortex aircraft	<100 knots	and	<100 knots	and	<50 feet
5	Heavy (> 300 000 lbs or 136 078 kg)	<100 knots	and	<100 knots	and	<50 feet
6	High performance (>5g acceleration and >400 knots)	<100 knots	and	<100 knots	and	<50 feet
7	Rotorcraft	Always report airborne position message (171.05.4.3.1.2.8.6.3.1)				
<i>ADS-B Emitter Category Set "B"</i>						



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<i>Coding</i>	<i>Meaning</i>	<i>Ground Speed</i>		<i>Airspeed</i>		<i>Radio Altitude</i>
0	No ADS-B emitter category information	Always report airborne position message (171.05.4.3.1.2.8.6.3.1)				
1	Glider/sailplane	Always report airborne position message (171.05.4.3.1.2.8.6.3.1)				
2	Lighter-than-air	Always report airborne position message (171.05.4.3.1.2.8.6.3.1)				
3	Parachutist/skydiver	Always report airborne position message (171.05.4.3.1.2.8.6.3.1)				
4	Ultra-light/hang-glider/paraglider	Always report airborne position message (171.05.4.3.1.2.8.6.3.1)				
5	Reserved	Reserved				
6	Unmanned aerial vehicle	Always report airborne position message (171.05.4.3.1.2.8.6.3.1)				
7	Space/trans-atmospheric vehicle	<100 knots	and	<100 knots	and	<50 feet
ADS-B Emitter Category Set "C"						
<i>Coding</i>	<i>Meaning</i>					
0	No ADS-B emitter category information	Always report airborne position message (171.05.4.3.1.2.8.6.3.1)				
1	Surface vehicle – emergency vehicle	Always report surface position message (171.05.4.3.1.2.8.6.3.2)				
2	Surface vehicle - service vehicle	Always report surface position message (171.05.4.3.1.2.8.6.3.2)				
3	Fixed ground or tethered obstruction	Always report airborne position message (171.05.4.3.1.2.8.6.3.1)				
4 – 7	Reserved	Reserved				
ADS-B Emitter Category Set "D"						
<i>Coding</i>	<i>Meaning</i>					

0	No ADS-B emitter category information	Always report airborne position message (171.05.4.3.1.2.8.6.3.1)
1 – 7	Reserved	Reserved

Table 3-8. Character coding for transmission of aircraft identification by data link  
(subset of IA-5 — see 171.05.4.3.1.2.9.1.2)

				$b_6$	0	0	1	1
				$b_5$	0	1	0	1
$b_4$	$b_3$	$b_2$	$b_1$					
0	0	0	0			P	SP	0
0	0	0	1		A	Q		1
0	0	1	0		B	R		2
0	0	1	1		C	S		3
0	1	0	0		D	T		4
0	1	0	1		E	U		5



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0	1	1	0		F	V		6
0	1	1	1		G	W		7
1	0	0	0		H	X		8
1	0	0	1		I	Y		9
1	0	1	0	J				
1	0	1	1		K			
1	1	0	0		L			
1	1	0	1		M			
1	1	1	0		N			
1	1	1	1		O			

Table 3-9. Timer characteristics

<i>Timer</i>			<i>Duration</i>		<i>Tolerance</i>	
<i>Name</i>	<i>Number</i>	<i>Reference</i>	<i>Symbol</i>	<i>s</i>	<i>s</i>	<i>Resettable</i>
Non-selective lock-out	1	171.05.4.3.1.2.6.9.2	$T_D$	18	±1	no
Temporary alert	1	171.05.4.3.1.2.6.10.1.1.2	$T_C$	18	±1	no
SPI	1	171.05.4.3.1.2.6.10.1.3	$T_I$	18	±1	no
Reservations B, C, D	3*	171.05.4.3.1.2.6.11.3.1	$T_R$	18	±1	yes
Multisite lockout	78	171.05.4.3.1.2.6.9.1	$T_L$	18	±1	no

\* As required

Table 3-10. DAPs registers

<i>Register</i>	<i>Name</i>	<i>Data content</i>	<i>Bits</i>
40 {HEX}	Selected vertical intention	MCP/FCU selected altitude	1-13
		FMS selected altitude	14-26
		Barometric pressure setting minus 800 mb	27-39



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		MCP/FCU mode bits	48-51
		Target altitude source bits	54-56
50 {HEX}	Track and turn report	Roll angle	1-11
		True track angle	12-23
		Ground speed	24-34
		Track angle rate	35-45
		True airspeed	46-56
60 {HEX}	Heading and speed report	Magnetic heading	1-12
		Indicated airspeed	13-23
		Mach	24-34
		Barometric altitude rate	35-45
		Inertial vertical velocity	46-56

Table 3-11. Transmitted signal tolerances

<i>Reference</i>	<i>Function</i>	<i>Tolerance</i>
171.05.4.3.1.2.1.4.1	Pulse duration $P_1, P_2, P_3, P_4, P_5$ Pulse duration $P_6$	$\pm 0.09$ microsecond $\pm 0.20$ microsecond



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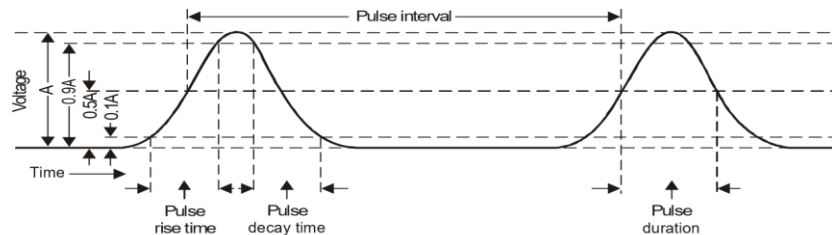
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171.05.4.3.1.1.4	Pulse duration $P_1 - P_3$ Pulse duration $P_1 - P_2$	$\pm 0.18$ microsecond $\pm 0.10$ microsecond
171.05.4.3.1.2.1.5.1.3	Pulse duration $P_3 - P_4$	$\pm 0.04$ microsecond
171.05.4.3.1.2.1.5.2.4	Pulse duration $P_1 - P_2$ Pulse duration $P_2$ — sync phase reversal Pulse duration $P_6$ — sync phase reversal Pulse duration $P_5$ — sync phase reversal	$\pm 0.04$ microsecond $\pm 0.04$ microsecond $\pm 0.04$ microsecond $\pm 0.05$ microsecond
171.05.4.3.1.1.5	Pulse amplitude $P_3$	$P_1 \pm 0.5$ dB
171.05.4.3.1.2.1.5.1.4	Pulse amplitude $P_4$	$P_3 \pm 0.5$ dB
171.05.4.3.1.2.1.5.2.5	Pulse amplitude $P_6$	Equal to or greater than $P_2 - 0.25$ dB
171.05.4.3.1.2.1.4.1	Pulse rise times	0.05 microsecond minimum, 0.1 microsecond maximum
171.05.4.3.1.2.1.4.1	Pulse decay times	0.05 microsecond minimum, 0.2 microsecond maximum

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FIGURES FOR CHAPTER 3



Definitions

*Phase reversal.* A 180-degree change in the phase of the radio frequency carrier.

*Phase reversal duration.* The time between the 10-degree and 170-degree points of a phase reversal.

*Pulse amplitude A.* The peak voltage amplitude of the pulse envelope.

*Pulse decay time.* The time between 0.9A and 0.1A on the trailing edge of the pulse envelope.

*Pulse duration.* The time interval between 0.5A points on leading and trailing edges of the pulse envelope.

*Pulse interval.* The time interval between the 0.5A point on the leading edge of the first pulse and the 0.5A point on the leading edge of the second pulse.

*Pulse rise time.* The time between 0.1A and 0.9A on the leading edge of the pulse envelope.

*Time intervals.* The intervals are referenced to:

- a) the 0.5A point on the leading edge of a pulse;
- b) the 0.5A point on the trailing edge of a pulse; or
- c) the 90-degree point of a phase reversal.

*Transponder sensitivity and power reference point.* The antenna end of the transmission line of the transponder.

Figure 3-1. Definitions of secondary surveillance radar waveform shapes, intervals and the reference point for sensitivity and power

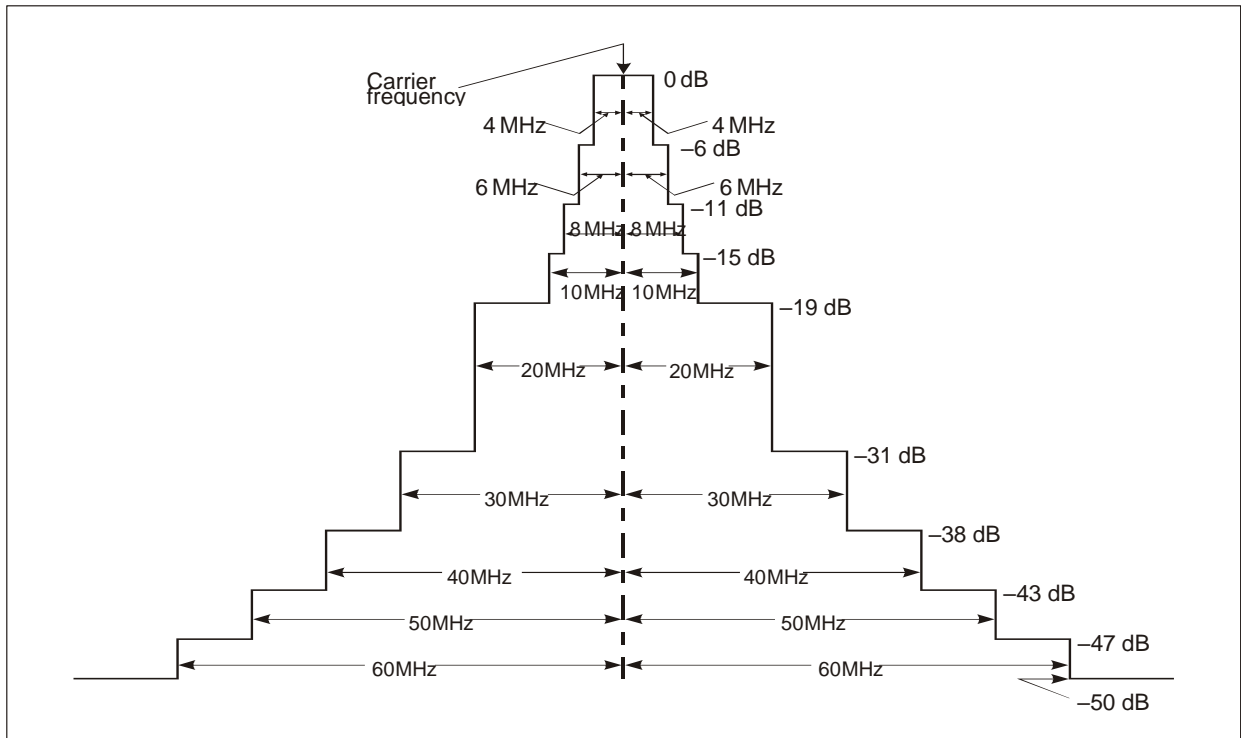


Figure 3-2. Required spectrum limits for interrogator transmitter



Figure 3-3. Intermode interrogation pulse sequence

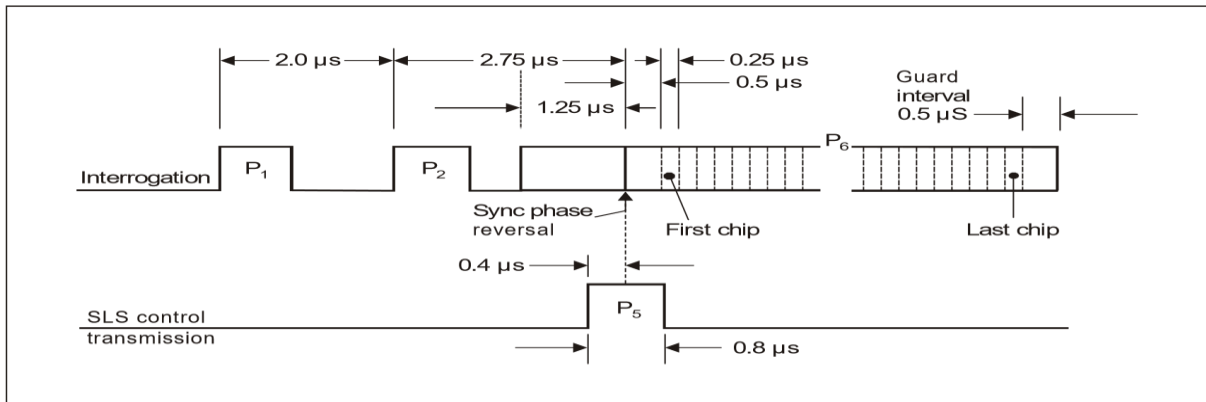


Figure 3-4. Mode S interrogation pulse sequence

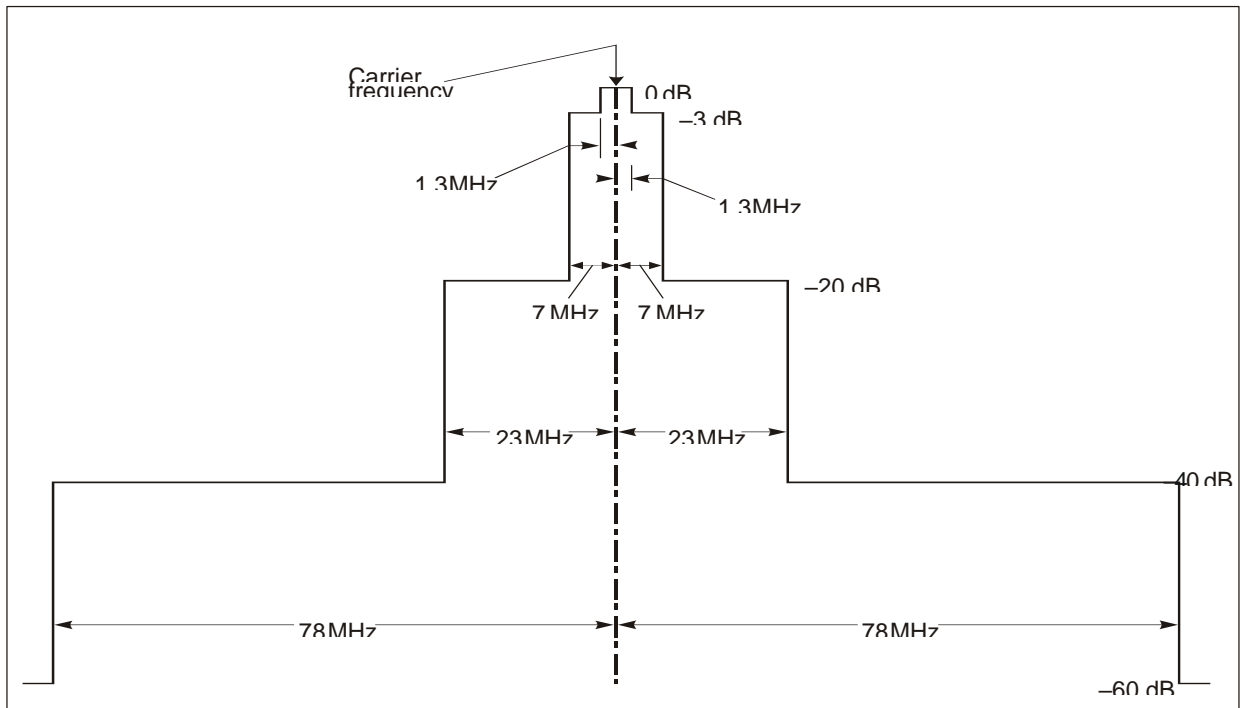


Figure 3-5. Required spectrum limits for transponder transmitter

*Note.— This figure shows the spectrum centred on the carrier frequency and will therefore shift in its entirety plus or minus 1 MHz along with the carrier frequency.*

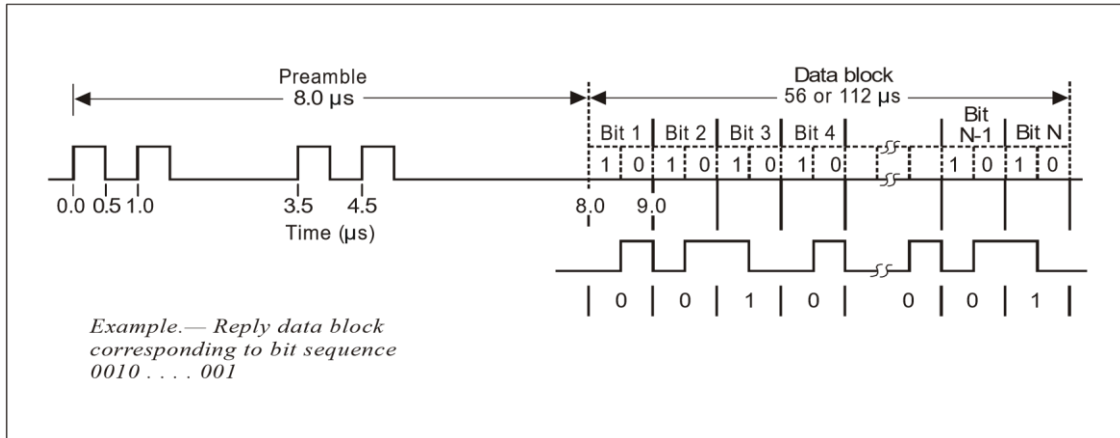


Figure 3-6. Mode S reply




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

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Format No.	UF								
0	00000	3	RL:1	4	AQ:1	DS:8	10	AP:24	... Short air-air surveillance (ACAS)
1	00001	27 or 83						AP:24	... Reserved
2	00010	27 or 83						AP:24	... Reserved
3	00011	27 or 83						AP:24	... Reserved
4	00100	PC:3	RR:5	DI:3	SD:16	AP:24			... Surveillance, altitude request
5	00101	PC:3	RR:5	DI:3	SD:16	AP:24			... Surveillance, identify request
6	00110	27 or 83						AP:24	... Reserved
7	00111	27 or 83						AP:24	... Reserved
8	01000	27 or 83						AP:24	... Reserved
9	01001	27 or 83						AP:24	... Reserved
10	01010	27 or 83						AP:24	... Reserved
11	01011	PR:4	IC:4	CL:3	16		AP:24	... Mode S only all-call	
12	01100	27 or 83						AP:24	... Reserved
13	01101	27 or 83						AP:24	... Reserved
14	01110	27 or 83						AP:24	... Reserved
15	01111	27 or 83						AP:24	... Reserved
16	10000	3	RL:1	4	AQ:1	18	MU:56	AP:24	... Long air-air surveillance (ACAS)
17	10001	27 or 83						AP:24	... Reserved
18	10010	27 or 83						AP:24	... Reserved
19	10011	27 or 83						AP:24	... Reserved for military use
20	10100	PC:3	RR:5	DI:3	SD:16	MA:56	AP:24	... Comm-A, altitude request	
21	10101	PC:3	RR:5	DI:3	SD:16	MA:56	AP:24	... Comm-A, identify request	
22	10110	27 or 83						AP:24	... Reserved for military use
23	10111	27 or 83						AP:24	... Reserved
24	11	RC:2	NC:4	MC:80	AP:24			... Comm-C (ELM)	

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*NOTES:*

1.  denotes a field designated "XX" which is assigned M bits.
2.  denotes unassigned coding space with N available bits. These shall be coded as ZEROs for transmission.
3. For uplink formats (UF) 0 to 23 the format number corresponds to the binary code in the first five bits of the interrogation. Format number 24 is defined as the format beginning with "11" in the first two bit positions while the following three bits vary with the interrogation content.
4. All formats are shown for completeness, although a number of them are unused. Those formats for which no application is presently defined remain undefined in length. Depending on future assignment they may be short (56 bits) or long (112 bits) formats. Specific formats associated with Mode S capability levels are described in later paragraphs.
5. The PC, RR, DI and SD fields do not apply to a Comm-A broadcast interrogation.

**Figure 3-7. Summary of Mode S interrogation or uplink formats**



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Format No.	DF	
0	00000 VS:1 CC:1 1 SL:3 2 RI:4 2 AC:13 AP:24	... Short air-air surveillance (ACAS)
1	00001 ..... 27 or 83 ..... P:24	... Reserved
2	00010 ..... 27 or 83 ..... P:24	... Reserved
3	00011 ..... 27 or 83 ..... P:24	... Reserved
4	00100 FS:3 DR:5 UM:6 AC:13 AP:24	... Surveillance, altitude reply
5	00101 FS:3 DR:5 UM:6 ID:13 AP:24	... Surveillance, identify reply
6	00110 ..... 27 or 83 ..... P:24	... Reserved
7	00111 ..... 27 or 83 ..... P:24	... Reserved
8	01000 ..... 27 or 83 ..... P:24	... Reserved
9	01001 ..... 27 or 83 ..... P:24	... Reserved
10	01010 ..... 27 or 83 ..... P:24	... Reserved
11	01011 CA:3 AA:24 PI:24	... All-call reply
12	01100 ..... 27 or 83 ..... P:24	... Reserved
13	01101 ..... 27 or 83 ..... P:24	... Reserved
14	01110 ..... 27 or 83 ..... P:24	... Reserved
15	01111 ..... 27 or 83 ..... P:24	... Reserved
16	10000 VS:1 2 SL:3 2 RI:4 2 AC:13 MV:56 AP:24	... Long air-air surveillance (ACAS)
17	10001 CA:3 AA:24 ME:56 PI:24	... Extended squitter
18	10010 CF:3 AA:24 ME:56 PI:24	... Extended squitter/non transponder
19	10011 AF:3 ..... 104 ..... PI:24	... Military extended squitter
20	10100 FS:3 DR:5 UM:6 AC:13 MB:56 AP:24 DP:24	... Comm-B, altitude reply ... (see Note 5)
21	10101 FS:3 DR:5 UM:6 ID:13 MB:56 AP:24 DP:24	... Comm-B, identify reply ... (see Note 5)
22	10110 ..... 27 or 83 ..... P:24	... Reserved for military use
23	10111 ..... 27 or 83 ..... P:24	... Reserved
24	11 ..... 1 ..... KE:1 ND:4 MD:80 AP:24	... Comm-D (ELM)

NOTES:

1. 

XX:M
------

 denotes a field designated "XX" which is assigned M bits.

P:24
------

 denotes a 24-bit field reserved for parity information.
2. 

N
---

 denotes unassigned coding space with N available bits. These shall be coded as ZEROs for transmission.
3. For downlink formats (DF) 0 to 23 the format number corresponds to the binary code in the first five bits of the reply. Format number 24 is defined as the format beginning with "11" in the first two bit positions while the following three bits may vary with the reply content.



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4. All formats are shown for completeness, although a number of them are unused. Those formats for which no application is presently defined remain undefined in length. Depending on future assignment they may be short (56 bits) or long (112 bits) formats. Specific formats associated with Mode S capability levels are described in later paragraphs.
5. The Data parity (DP) (3.1.2.3.2.1.5) is used if it has been commanded by the OVC (3.1.2.6.1.4.1.i) in accordance with paragraph 3.1.2.6.11.2.5.

**Figure 3-8. Summary of Mode S reply or downlink formats**

**APPENDIX TO CHAPTER 3**

**SSR AUTOMATIC PRESSURE-ALTITUDE TRANSMISSION CODE (PULSE POSITION ASSIGNMENT)**

RANGE	PULSE POSITIONS <i>(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)</i>											
	D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>	C <sub>4</sub>	
-1 000 to -950	0	0	0	0	0	0	0	0	0	0	1	0
-950 to -850	0	0	0	0	0	0	0	0	0	1	1	0
-850 to -750	0	0	0	0	0	0	0	0	0	1	0	0
-750 to -650	0	0	0	0	0	0	0	0	1	1	0	0
-650 to -550	0	0	0	0	0	0	0	0	1	1	1	0
-550 to -450	0	0	0	0	0	0	0	0	1	0	1	0
-450 to -350	0	0	0	0	0	0	0	0	1	0	1	1
-350 to -250	0	0	0	0	0	0	0	0	1	0	0	1
-250 to -150	0	0	0	0	0	0	0	1	1	0	0	1
-150 to -50	0	0	0	0	0	0	0	1	1	0	1	1
-50 to 50	0	0	0	0	0	0	0	1	1	0	1	0
50 to 150	0	0	0	0	0	0	0	1	1	1	1	0
150 to 250	0	0	0	0	0	0	0	1	1	1	0	0
250 to 350	0	0	0	0	0	0	0	1	0	1	0	0
350 to 450	0	0	0	0	0	0	0	1	0	1	1	0
450 to 550	0	0	0	0	0	0	0	1	0	0	1	0
550 to 650	0	0	0	0	0	0	0	1	0	0	1	1
650 to 750	0	0	0	0	0	0	0	1	0	0	0	1
750 to 850	0	0	0	0	0	0	1	1	0	0	0	1
850 to 950	0	0	0	0	0	0	1	1	0	0	1	1
950 to 1 050	0	0	0	0	0	0	1	1	0	0	1	0
1 050 to 1 150	0	0	0	0	0	0	1	1	0	1	1	0
1 150 to 1 250	0	0	0	0	0	0	1	1	0	1	0	0
1 250 to 1 350	0	0	0	0	0	0	1	1	1	1	0	0
1 350 to 1 450	0	0	0	0	0	0	1	1	1	1	1	0
1 450 to 1 550	0	0	0	0	0	0	1	1	1	0	1	0
1 550 to 1 650	0	0	0	0	0	0	1	1	1	0	1	1



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1 650	to	1 750	0	0	0	0	0	1	1	1	0	0	1
1 750	to	1 850	0	0	0	0	0	1	0	1	0	0	1
1 850	to	1 950	0	0	0	0	0	1	0	1	0	1	1
1 950	to	2 050	0	0	0	0	0	1	0	1	0	1	0
2 050	to	2 150	0	0	0	0	0	1	0	1	1	1	0
2 150	to	2 250	0	0	0	0	0	1	0	1	1	0	0
2 250	to	2 350	0	0	0	0	0	1	0	0	1	0	0
2 350	to	2 450	0	0	0	0	0	1	0	0	1	1	0
2 450	to	2 550	0	0	0	0	0	1	0	0	0	1	0
2 550	to	2 650	0	0	0	0	0	1	0	0	0	1	1
2 650	to	2 750	0	0	0	0	0	1	0	0	0	0	1

RANGE	PULSE POSITIONS <i>(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)</i>													
	Increments (Feet)			D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>	C <sub>4</sub>
2 750	to	2 850	0	0	0	0	1	1	0	0	0	0	0	1
2 850	to	2 950	0	0	0	0	1	1	0	0	0	0	1	1
2 950	to	3 050	0	0	0	0	1	1	0	0	0	0	1	0
3 050	to	3 150	0	0	0	0	1	1	0	0	1	1	0	0
3 150	to	3 250	0	0	0	0	1	1	0	0	1	0	0	0
3 250	to	3 350	0	0	0	0	1	1	0	1	1	0	0	0
3 350	to	3 450	0	0	0	0	1	1	0	1	1	1	0	0
3 450	to	3 550	0	0	0	0	1	1	0	1	0	1	0	0
3 550	to	3 650	0	0	0	0	1	1	0	1	0	1	1	1
3 650	to	3 750	0	0	0	0	1	1	0	1	0	0	0	1
3 750	to	3 850	0	0	0	0	1	1	1	1	0	0	0	1
3 850	to	3 950	0	0	0	0	1	1	1	1	0	1	1	1
3 950	to	4 050	0	0	0	0	1	1	1	1	0	1	0	0
4 050	to	4 150	0	0	0	0	1	1	1	1	1	1	0	0
4 150	to	4 250	0	0	0	0	1	1	1	1	1	0	0	0
4 250	to	4 350	0	0	0	0	1	1	1	0	1	0	0	0
4 350	to	4 450	0	0	0	0	1	1	1	0	1	1	0	0
4 450	to	4 550	0	0	0	0	1	1	1	0	0	1	0	0
4 550	to	4 650	0	0	0	0	1	1	1	0	0	1	1	1
4 650	to	4 750	0	0	0	0	1	1	1	0	0	0	0	1
4 750	to	4 850	0	0	0	0	1	0	1	0	0	0	0	1
4 850	to	4 950	0	0	0	0	1	0	1	0	0	1	1	1
4 950	to	5 050	0	0	0	0	1	0	1	0	0	1	0	0
5 050	to	5 150	0	0	0	0	1	0	1	0	1	1	0	0
5 150	to	5 250	0	0	0	0	1	0	1	0	1	0	0	0
5 250	to	5 350	0	0	0	0	1	0	1	1	1	0	0	0



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5 350	to	5 450	0	0	0	0	1	0	1	1	1	1	0
5 450	to	5 550	0	0	0	0	1	0	1	1	0	1	0
5 550	to	5 650	0	0	0	0	1	0	1	1	0	1	1
5 650	to	5 750	0	0	0	0	1	0	1	1	0	0	1
5 750	to	5 850	0	0	0	0	1	0	0	1	0	0	1
5 850	to	5 950	0	0	0	0	1	0	0	1	0	1	1
5 950	to	6 050	0	0	0	0	1	0	0	1	0	1	0
6 050	to	6 150	0	0	0	0	1	0	0	1	1	1	0
6 150	to	6 250	0	0	0	0	1	0	0	1	1	0	0
6 250	to	6 350	0	0	0	0	1	0	0	0	1	0	0
6 350	to	6 450	0	0	0	0	1	0	0	0	1	1	0
6 450	to	6 550	0	0	0	0	1	0	0	0	0	1	0
6 550	to	6 650	0	0	0	0	1	0	0	0	0	1	1
6 650	to	6 750	0	0	0	0	1	0	0	0	0	0	1
6 750	to	6 850	0	0	0	1	1	0	0	0	0	0	1
6 850	to	6 950	0	0	0	1	1	0	0	0	0	1	1
6 950	to	7 050	0	0	0	1	1	0	0	0	0	1	0
7 050	to	7 150	0	0	0	1	1	0	0	0	1	1	0
7 150	to	7 250	0	0	0	1	1	0	0	0	1	0	0

RANGE	PULSE POSITIONS <i>(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)</i>											
	D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>	C <sub>4</sub>	
Increments <i>(Feet)</i>												
7 250 to 7 350	0	0	0	1	1	0	0	1	1	0	0	
7 350 to 7 450	0	0	0	1	1	0	0	1	1	1	0	
7 450 to 7 550	0	0	0	1	1	0	0	1	0	1	0	
7 550 to 7 650	0	0	0	1	1	0	0	1	0	1	1	
7 650 to 7 750	0	0	0	1	1	0	0	1	0	0	1	
7 750 to 7 850	0	0	0	1	1	0	1	1	0	0	1	
7 850 to 7 950	0	0	0	1	1	0	1	1	0	1	1	
7 950 to 8 050	0	0	0	1	1	0	1	1	0	1	0	
8 050 to 8 150	0	0	0	1	1	0	1	1	1	1	0	
8 150 to 8 250	0	0	0	1	1	0	1	1	1	0	0	
8 250 to 8 350	0	0	0	1	1	0	1	0	1	0	0	
8 350 to 8 450	0	0	0	1	1	0	1	0	1	1	0	
8 450 to 8 550	0	0	0	1	1	0	1	0	0	1	0	
8 550 to 8 650	0	0	0	1	1	0	1	0	0	1	1	
8 650 to 8 750	0	0	0	1	1	0	1	0	0	0	1	
8 750 to 8 850	0	0	0	1	1	1	1	0	0	0	1	
8 850 to 8 950	0	0	0	1	1	1	1	0	0	1	1	
8 950 to 9 050	0	0	0	1	1	1	1	0	0	1	0	



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9 050 to	9 150	0	0	0	1	1	1	1	0	1	1	0
9 150 to	9 250	0	0	0	1	1	1	1	0	1	0	0
9 250 to	9 350	0	0	0	1	1	1	1	1	1	0	0
9 350 to	9 450	0	0	0	1	1	1	1	1	1	1	0
9 450 to	9 550	0	0	0	1	1	1	1	1	0	1	0
9 550 to	9 650	0	0	0	1	1	1	1	1	0	1	1
9 650 to	9 750	0	0	0	1	1	1	1	1	0	0	1
9 750 to	9 850	0	0	0	1	1	1	0	1	0	0	1
9 850 to	9 950	0	0	0	1	1	1	0	1	0	1	1
9 950 to	10 050	0	0	0	1	1	1	0	1	0	1	0
10 050 to	10 150	0	0	0	1	1	1	0	1	1	1	0
10 150 to	10 250	0	0	0	1	1	1	0	1	1	0	0
10 250 to	10 350	0	0	0	1	1	1	0	0	1	0	0
10 350 to	10 450	0	0	0	1	1	1	0	0	1	1	0
10 450 to	10 550	0	0	0	1	1	1	0	0	0	1	0
10 550 to	10 650	0	0	0	1	1	1	0	0	0	1	1
10 650 to	10 750	0	0	0	1	1	1	0	0	0	0	1
10 750 to	10 850	0	0	0	1	0	1	0	0	0	0	1
10 850 to	10 950	0	0	0	1	0	1	0	0	0	1	1
10 950 to	11 050	0	0	0	1	0	1	0	0	0	1	0
11 050 to	11 150	0	0	0	1	0	1	0	0	1	1	0
11 150 to	11 250	0	0	0	1	0	1	0	0	1	0	0
11 250 to	11 350	0	0	0	1	0	1	0	1	1	0	0
11 350 to	11 450	0	0	0	1	0	1	0	1	1	1	0
11 450 to	11 550	0	0	0	1	0	1	0	1	0	1	0
11 550 to	11 650	0	0	0	1	0	1	0	1	0	1	1
11 650 to	11 750	0	0	0	1	0	1	0	1	0	0	1

RANGE	PULSE POSITIONS <i>(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)</i>											
	Increments (Feet)									C <sub>1</sub>	C <sub>2</sub>	C <sub>4</sub>
	D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>				
11 750 to	11 850	0	0	0	1	0	1	1	1	0	0	1
11 850 to	11 950	0	0	0	1	0	1	1	1	0	1	1
11 950 to	12 050	0	0	0	1	0	1	1	1	0	1	0
12 050 to	12 150	0	0	0	1	0	1	1	1	1	1	0
12 150 to	12 250	0	0	0	1	0	1	1	1	1	0	0
12 250 to	12 350	0	0	0	1	0	1	1	0	1	0	0
12 350 to	12 450	0	0	0	1	0	1	1	0	1	1	0
12 450 to	12 550	0	0	0	1	0	1	1	0	0	1	0
12 550 to	12 650	0	0	0	1	0	1	1	0	0	1	1
12 650 to	12 750	0	0	0	1	0	1	1	0	0	0	1
12 750 to	12 850	0	0	0	1	0	0	1	0	0	0	1



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12 850 to	12 950	0	0	0	1	0	0	1	0	0	1	1
12 950 to	13 050	0	0	0	1	0	0	1	0	0	1	0
13 050 to	13 150	0	0	0	1	0	0	1	0	1	1	0
13 150 to	13 250	0	0	0	1	0	0	1	0	1	0	0
13 250 to	13 350	0	0	0	1	0	0	1	1	1	0	0
13 350 to	13 450	0	0	0	1	0	0	1	1	1	1	0
13 450 to	13 550	0	0	0	1	0	0	1	1	0	1	0
13 550 to	13 650	0	0	0	1	0	0	1	1	0	1	1
13 650 to	13 750	0	0	0	1	0	0	1	1	0	0	1
13 750 to	13 850	0	0	0	1	0	0	0	1	0	0	1
13 850 to	13 950	0	0	0	1	0	0	0	1	0	1	1
13 950 to	14 050	0	0	0	1	0	0	0	1	0	1	0
14 050 to	14 150	0	0	0	1	0	0	0	1	1	1	0
14 150 to	14 250	0	0	0	1	0	0	0	1	1	0	0
14 250 to	14 350	0	0	0	1	0	0	0	0	1	0	0
14 350 to	14 450	0	0	0	1	0	0	0	0	1	1	0
14 450 to	14 550	0	0	0	1	0	0	0	0	0	1	0
14 550 to	14 650	0	0	0	1	0	0	0	0	0	1	1
14 650 to	14 750	0	0	0	1	0	0	0	0	0	0	1
14 750 to	14 850	0	0	1	1	0	0	0	0	0	0	1
14 850 to	14 950	0	0	1	1	0	0	0	0	0	1	1
14 950 to	15 050	0	0	1	1	0	0	0	0	0	1	0
15 050 to	15 150	0	0	1	1	0	0	0	0	1	1	0
15 150 to	15 250	0	0	1	1	0	0	0	0	1	0	0
15 250 to	15 350	0	0	1	1	0	0	0	1	1	0	0
15 350 to	15 450	0	0	1	1	0	0	0	1	1	1	0
15 450 to	15 550	0	0	1	1	0	0	0	1	0	1	0
15 550 to	15 650	0	0	1	1	0	0	0	1	0	1	1
15 650 to	15 750	0	0	1	1	0	0	0	1	0	0	1
15 750 to	15 850	0	0	1	1	0	0	1	1	0	0	1
15 850 to	15 950	0	0	1	1	0	0	1	1	0	1	1
15 950 to	16 050	0	0	1	1	0	0	1	1	0	1	0
16 050 to	16 150	0	0	1	1	0	0	1	1	1	1	0
16 150 to	16 250	0	0	1	1	0	0	1	1	1	0	0

RANGE	PULSE POSITIONS <i>(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)</i>											
	Increments (Feet)		D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>
16 250 to	16 350	0	0	1	1	0	0	1	0	1	0	0
16 350 to	16 450	0	0	1	1	0	0	1	0	1	1	0
16 450 to	16 550	0	0	1	1	0	0	1	0	0	1	0
16 550 to	16 650	0	0	1	1	0	0	1	0	0	1	1
16 650 to	16 750	0	0	1	1	0	0	1	0	0	0	1



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16 750 to 16 850	0	0	1	1	0	1	1	0	0	0	1
16 850 to 16 950	0	0	1	1	0	1	1	0	0	1	1
16 950 to 17 050	0	0	1	1	0	1	1	0	0	1	0
17 050 to 17 150	0	0	1	1	0	1	1	0	1	1	0
17 150 to 17 250	0	0	1	1	0	1	1	0	1	0	0
17 250 to 17 350	0	0	1	1	0	1	1	1	1	0	0
17 350 to 17 450	0	0	1	1	0	1	1	1	1	1	0
17 450 to 17 550	0	0	1	1	0	1	1	1	0	1	0
17 550 to 17 650	0	0	1	1	0	1	1	1	0	1	1
17 650 to 17 750	0	0	1	1	0	1	1	1	0	0	1
17 750 to 17 850	0	0	1	1	0	1	0	1	0	0	1
17 850 to 17 950	0	0	1	1	0	1	0	1	0	1	1
17 950 to 18 050	0	0	1	1	0	1	0	1	0	1	0
18 050 to 18 150	0	0	1	1	0	1	0	1	1	1	0
18 150 to 18 250	0	0	1	1	0	1	0	1	1	0	0
18 250 to 18 350	0	0	1	1	0	1	0	0	1	0	0
18 350 to 18 450	0	0	1	1	0	1	0	0	1	1	0
18 450 to 18 550	0	0	1	1	0	1	0	0	0	1	0
18 550 to 18 650	0	0	1	1	0	1	0	0	0	1	1
18 650 to 18 750	0	0	1	1	0	1	0	0	0	0	1
18 750 to 18 850	0	0	1	1	1	1	0	0	0	0	1
18 850 to 18 950	0	0	1	1	1	1	0	0	0	1	1
18 950 to 19 050	0	0	1	1	1	1	0	0	0	1	0
19 050 to 19 150	0	0	1	1	1	1	0	0	1	1	0
19 150 to 19 250	0	0	1	1	1	1	0	0	1	0	0
19 250 to 19 350	0	0	1	1	1	1	0	1	1	0	0
19 350 to 19 450	0	0	1	1	1	1	0	1	1	1	0
19 450 to 19 550	0	0	1	1	1	1	0	1	0	1	0
19 550 to 19 650	0	0	1	1	1	1	0	1	0	1	1
19 650 to 19 750	0	0	1	1	1	1	0	1	0	0	1
19 750 to 19 850	0	0	1	1	1	1	1	1	0	0	1
19 850 to 19 950	0	0	1	1	1	1	1	1	0	1	1
19 950 to 20 050	0	0	1	1	1	1	1	1	0	1	0
20 050 to 20 150	0	0	1	1	1	1	1	1	1	1	0
20 150 to 20 250	0	0	1	1	1	1	1	1	1	0	0
20 250 to 20 350	0	0	1	1	1	1	1	0	1	0	0
20 350 to 20 450	0	0	1	1	1	1	1	0	1	1	0
20 450 to 20 550	0	0	1	1	1	1	1	0	0	1	0
20 550 to 20 650	0	0	1	1	1	1	1	0	0	1	1
20 650 to 20 750	0	0	1	1	1	1	1	0	0	0	1

RANGE

PULSE POSITIONS

*(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)*



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Increments ( <i>Feet</i> )	D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>	C <sub>4</sub>
20 750 to 20 850	0	0	1	1	1	0	1	0	0	0	1
20 850 to 20 950	0	0	1	1	1	0	1	0	0	1	1
20 950 to 21 050	0	0	1	1	1	0	1	0	0	1	0
21 050 to 21 150	0	0	1	1	1	0	1	0	1	1	0
21 150 to 21 250	0	0	1	1	1	0	1	0	1	0	0
21 250 to 21 350	0	0	1	1	1	0	1	1	1	0	0
21 350 to 21 450	0	0	1	1	1	0	1	1	1	1	0
21 450 to 21 550	0	0	1	1	1	0	1	1	0	1	0
21 550 to 21 650	0	0	1	1	1	0	1	1	0	1	1
21 650 to 21 750	0	0	1	1	1	0	1	1	0	0	1
21 750 to 21 850	0	0	1	1	1	0	0	1	0	0	1
21 850 to 21 950	0	0	1	1	1	0	0	1	0	1	1
21 950 to 22 050	0	0	1	1	1	0	0	1	0	1	0
22 050 to 22 150	0	0	1	1	1	0	0	1	1	1	0
22 150 to 22 250	0	0	1	1	1	0	0	1	1	0	0
22 250 to 22 350	0	0	1	1	1	0	0	0	1	0	0
22 350 to 22 450	0	0	1	1	1	0	0	0	1	1	0
22 450 to 22 550	0	0	1	1	1	0	0	0	0	1	0
22 550 to 22 650	0	0	1	1	1	0	0	0	0	1	1
22 650 to 22 750	0	0	1	1	1	0	0	0	0	0	1
22 750 to 22 850	0	0	1	0	1	0	0	0	0	0	1
22 850 to 22 950	0	0	1	0	1	0	0	0	0	1	1
22 950 to 23 050	0	0	1	0	1	0	0	0	0	1	0
23 050 to 23 150	0	0	1	0	1	0	0	0	1	1	0
23 150 to 23 250	0	0	1	0	1	0	0	0	1	0	0
23 250 to 23 350	0	0	1	0	1	0	0	1	1	0	0
23 350 to 23 450	0	0	1	0	1	0	0	1	1	1	0
23 450 to 23 550	0	0	1	0	1	0	0	1	0	1	0
23 550 to 23 650	0	0	1	0	1	0	0	1	0	1	1
23 650 to 23 750	0	0	1	0	1	0	0	1	0	0	1
23 750 to 23 850	0	0	1	0	1	0	1	1	0	0	1
23 850 to 23 950	0	0	1	0	1	0	1	1	0	1	1
23 950 to 24 050	0	0	1	0	1	0	1	1	0	1	0
24 050 to 24 150	0	0	1	0	1	0	1	1	1	1	0
24 150 to 24 250	0	0	1	0	1	0	1	1	1	0	0
24 250 to 24 350	0	0	1	0	1	0	1	0	1	0	0
24 350 to 24 450	0	0	1	0	1	0	1	0	1	1	0
24 450 to 24 550	0	0	1	0	1	0	1	0	0	1	0
24 550 to 24 650	0	0	1	0	1	0	1	0	0	1	1
24 650 to 24 750	0	0	1	0	1	0	1	0	0	0	1
24 750 to 24 850	0	0	1	0	1	1	1	0	0	0	1



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24 850 to	24 950	0	0	1	0	1	1	1	0	0	1	1
24 950 to	25 050	0	0	1	0	1	1	1	0	0	1	0
25 050 to	25 150	0	0	1	0	1	1	1	0	1	1	0
25 150 to	25 250	0	0	1	0	1	1	1	0	1	0	0

RANGE Increments (Feet)	PULSE POSITIONS (0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)											
	D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>	C <sub>4</sub>	
25 250 to	25 350	0	0	1	0	1	1	1	1	1	0	0
25 350 to	25 450	0	0	1	0	1	1	1	1	1	1	0
25 450 to	25 550	0	0	1	0	1	1	1	1	0	1	0
25 550 to	25 650	0	0	1	0	1	1	1	1	0	1	1
25 650 to	25 750	0	0	1	0	1	1	1	1	0	0	1
25 750 to	25 850	0	0	1	0	1	1	0	1	0	0	1
25 850 to	25 950	0	0	1	0	1	1	0	1	0	1	1
25 950 to	26 050	0	0	1	0	1	1	0	1	0	1	0
26 050 to	26 150	0	0	1	0	1	1	0	1	1	1	0
26 150 to	26 250	0	0	1	0	1	1	0	1	1	0	0
26 250 to	26 350	0	0	1	0	1	1	0	0	1	0	0
26 350 to	26 450	0	0	1	0	1	1	0	0	1	1	0
26 450 to	26 550	0	0	1	0	1	1	0	0	0	1	0
26 550 to	26 650	0	0	1	0	1	1	0	0	0	1	1
26 650 to	26 750	0	0	1	0	1	1	0	0	0	0	1
26 750 to	26 850	0	0	1	0	0	1	0	0	0	0	1
26 850 to	26 950	0	0	1	0	0	1	0	0	0	1	1
26 950 to	27 050	0	0	1	0	0	1	0	0	0	1	0
27 050 to	27 150	0	0	1	0	0	1	0	0	1	1	0
27 150 to	27 250	0	0	1	0	0	1	0	0	1	0	0
27 250 to	27 350	0	0	1	0	0	1	0	1	1	0	0
27 350 to	27 450	0	0	1	0	0	1	0	1	1	1	0
27 450 to	27 550	0	0	1	0	0	1	0	1	0	1	0
27 550 to	27 650	0	0	1	0	0	1	0	1	0	1	1
27 650 to	27 750	0	0	1	0	0	1	0	1	0	0	1
27 750 to	27 850	0	0	1	0	0	1	1	1	0	0	1
27 850 to	27 950	0	0	1	0	0	1	1	1	0	1	1
27 950 to	28 050	0	0	1	0	0	1	1	1	0	1	0
28 050 to	28 150	0	0	1	0	0	1	1	1	1	1	0
28 150 to	28 250	0	0	1	0	0	1	1	1	1	0	0
28 250 to	28 350	0	0	1	0	0	1	1	0	1	0	0
28 350 to	28 450	0	0	1	0	0	1	1	0	1	1	0
28 450 to	28 550	0	0	1	0	0	1	1	0	0	1	0
28 550 to	28 650	0	0	1	0	0	1	1	0	0	1	1



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28 650 to	28 750	0	0	1	0	0	1	1	0	0	0	1
28 750 to	28 850	0	0	1	0	0	0	1	0	0	0	1
28 850 to	28 950	0	0	1	0	0	0	1	0	0	1	1
28 950 to	29 050	0	0	1	0	0	0	1	0	0	1	0
29 050 to	29 150	0	0	1	0	0	0	1	0	1	1	0
29 150 to	29 250	0	0	1	0	0	0	1	0	1	0	0
29 250 to	29 350	0	0	1	0	0	0	1	1	1	0	0
29 350 to	29 450	0	0	1	0	0	0	1	1	1	1	0
29 450 to	29 550	0	0	1	0	0	0	1	1	0	1	0
29 550 to	29 650	0	0	1	0	0	0	1	1	0	1	1
29 650 to	29 750	0	0	1	0	0	0	1	1	0	0	1

RANGE Increments (Feet)	PULSE POSITIONS (0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)											
	D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>	C <sub>4</sub>	
29 750 to	29 850	0	0	1	0	0	0	0	1	0	0	1
29 850 to	29 950	0	0	1	0	0	0	0	1	0	1	1
29 950 to	30 050	0	0	1	0	0	0	0	1	0	1	0
30 050 to	30 150	0	0	1	0	0	0	0	1	1	1	0
30 150 to	30 250	0	0	1	0	0	0	0	1	1	0	0
30 250 to	30 350	0	0	1	0	0	0	0	0	1	0	0
30 350 to	30 450	0	0	1	0	0	0	0	0	1	1	0
30 450 to	30 550	0	0	1	0	0	0	0	0	0	1	0
30 550 to	30 650	0	0	1	0	0	0	0	0	0	1	1
30 650 to	30 750	0	0	1	0	0	0	0	0	0	0	1
30 750 to	30 850	0	1	1	0	0	0	0	0	0	0	1
30 850 to	30 950	0	1	1	0	0	0	0	0	0	1	1
30 950 to	31 050	0	1	1	0	0	0	0	0	0	1	0
31 050 to	31 150	0	1	1	0	0	0	0	0	1	1	0
31 150 to	31 250	0	1	1	0	0	0	0	0	1	0	0
31 250 to	31 350	0	1	1	0	0	0	0	1	1	0	0
31 350 to	31 450	0	1	1	0	0	0	0	1	1	1	0
31 450 to	31 550	0	1	1	0	0	0	0	1	0	1	0
31 550 to	31 650	0	1	1	0	0	0	0	1	0	1	1
31 650 to	31 750	0	1	1	0	0	0	0	1	0	0	1
31 750 to	31 850	0	1	1	0	0	0	1	1	0	0	1
31 850 to	31 950	0	1	1	0	0	0	1	1	0	1	1
31 950 to	32 050	0	1	1	0	0	0	1	1	0	1	0
32 050 to	32 150	0	1	1	0	0	0	1	1	1	1	0
32 150 to	32 250	0	1	1	0	0	0	1	1	1	0	0
32 250 to	32 350	0	1	1	0	0	0	1	0	1	0	0
32 350 to	32 450	0	1	1	0	0	0	1	0	1	1	0
32 450 to	32 550	0	1	1	0	0	0	1	0	0	1	0
32 550 to	32 650	0	1	1	0	0	0	1	0	0	1	1



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32 650 to	32 750	0	1	1	0	0	0	1	0	0	0	1
32 750 to	32 850	0	1	1	0	0	1	1	0	0	0	1
32 850 to	32 950	0	1	1	0	0	1	1	0	0	1	1
32 950 to	33 050	0	1	1	0	0	1	1	0	0	1	0
33 050 to	33 150	0	1	1	0	0	1	1	0	1	1	0
33 150 to	33 250	0	1	1	0	0	1	1	0	1	0	0
33 250 to	33 350	0	1	1	0	0	1	1	1	1	0	0
33 350 to	33 450	0	1	1	0	0	1	1	1	1	1	0
33 450 to	33 550	0	1	1	0	0	1	1	1	0	1	0
33 550 to	33 650	0	1	1	0	0	1	1	1	0	1	1
33 650 to	33 750	0	1	1	0	0	1	1	1	0	0	1
33 750 to	33 850	0	1	1	0	0	1	0	1	0	0	1
33 850 to	33 950	0	1	1	0	0	1	0	1	0	1	1
33 950 to	34 050	0	1	1	0	0	1	0	1	0	1	0
34 050 to	34 150	0	1	1	0	0	1	0	1	1	1	0
34 150 to	34 250	0	1	1	0	0	1	0	1	1	0	0

RANGE	PULSE POSITIONS <i>(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)</i>											
	Increments (Feet)		D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>
34 250 to	34 350	0	1	1	0	0	1	0	0	1	0	0
34 350 to	34 450	0	1	1	0	0	1	0	0	1	1	0
34 450 to	34 550	0	1	1	0	0	1	0	0	0	1	0
34 550 to	34 650	0	1	1	0	0	1	0	0	0	1	1
34 650 to	34 750	0	1	1	0	0	1	0	0	0	0	1
34 750 to	34 850	0	1	1	0	1	1	0	0	0	0	1
34 850 to	34 950	0	1	1	0	1	1	0	0	0	1	1
34 950 to	35 050	0	1	1	0	1	1	0	0	0	1	0
35 050 to	35 150	0	1	1	0	1	1	0	0	1	1	0
35 150 to	35 250	0	1	1	0	1	1	0	0	1	0	0
35 250 to	35 350	0	1	1	0	1	1	0	1	1	0	0
35 350 to	35 450	0	1	1	0	1	1	0	1	1	1	0
35 450 to	35 550	0	1	1	0	1	1	0	1	0	1	0
35 550 to	35 650	0	1	1	0	1	1	0	1	0	1	1
35 650 to	35 750	0	1	1	0	1	1	0	1	0	0	1
35 750 to	35 850	0	1	1	0	1	1	1	1	0	0	1
35 850 to	35 950	0	1	1	0	1	1	1	1	0	1	1
35 950 to	36 050	0	1	1	0	1	1	1	1	0	1	0
36 050 to	36 150	0	1	1	0	1	1	1	1	1	1	0
36 150 to	36 250	0	1	1	0	1	1	1	1	1	0	0
36 250 to	36 350	0	1	1	0	1	1	1	0	1	0	0



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36 350 to	36 450	0	1	1	0	1	1	1	0	1	1	0
36 450 to	36 550	0	1	1	0	1	1	1	0	0	1	0
36 550 to	36 650	0	1	1	0	1	1	1	0	0	1	1
36 650 to	36 750	0	1	1	0	1	1	1	0	0	0	1
36 750 to	36 850	0	1	1	0	1	0	1	0	0	0	1
36 850 to	36 950	0	1	1	0	1	0	1	0	0	1	1
36 950 to	37 050	0	1	1	0	1	0	1	0	0	1	0
37 050 to	37 150	0	1	1	0	1	0	1	0	1	1	0
37 150 to	37 250	0	1	1	0	1	0	1	0	1	0	0
37 250 to	37 350	0	1	1	0	1	0	1	1	1	0	0
37 350 to	37 450	0	1	1	0	1	0	1	1	1	1	0
37 450 to	37 550	0	1	1	0	1	0	1	1	0	1	0
37 550 to	37 650	0	1	1	0	1	0	1	1	0	1	1
37 650 to	37 750	0	1	1	0	1	0	1	1	0	0	1
37 750 to	37 850	0	1	1	0	1	0	0	1	0	0	1
37 850 to	37 950	0	1	1	0	1	0	0	1	0	1	1
37 950 to	38 050	0	1	1	0	1	0	0	1	0	1	0
38 050 to	38 150	0	1	1	0	1	0	0	1	1	1	0
38 150 to	38 250	0	1	1	0	1	0	0	1	1	0	0
38 250 to	38 350	0	1	1	0	1	0	0	0	1	0	0
38 350 to	38 450	0	1	1	0	1	0	0	0	1	1	0
38 450 to	38 550	0	1	1	0	1	0	0	0	0	1	0
38 550 to	38 650	0	1	1	0	1	0	0	0	0	1	1
38 650 to	38 750	0	1	1	0	1	0	0	0	0	0	1

RANGE	PULSE POSITIONS <i>(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)</i>											
	D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>	C <sub>4</sub>	
Increments (Feet)												
38 750 to	38 850	0	1	1	1	1	0	0	0	0	0	1
38 850 to	38 950	0	1	1	1	1	0	0	0	0	1	1
38 950 to	39 050	0	1	1	1	1	0	0	0	0	1	0
39 050 to	39 150	0	1	1	1	1	0	0	0	1	1	0
39 150 to	39 250	0	1	1	1	1	0	0	0	1	0	0
39 250 to	39 350	0	1	1	1	1	0	0	1	1	0	0
39 350 to	39 450	0	1	1	1	1	0	0	1	1	1	0
39 450 to	39 550	0	1	1	1	1	0	0	1	0	1	0
39 550 to	39 650	0	1	1	1	1	0	0	1	0	1	1
39 650 to	39 750	0	1	1	1	1	0	0	1	0	0	1
39 750 to	39 850	0	1	1	1	1	0	1	1	0	0	1
39 850 to	39 950	0	1	1	1	1	0	1	1	0	1	1
39 950 to	40 050	0	1	1	1	1	0	1	1	0	1	0



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40 050	to	40 150	0	1	1	1	1	0	1	1	1	1	0
40 150	to	40 250	0	1	1	1	1	0	1	1	1	0	0
40 250	to	40 350	0	1	1	1	1	0	1	0	1	0	0
40 350	to	40 450	0	1	1	1	1	0	1	0	1	1	0
40 450	to	40 550	0	1	1	1	1	0	1	0	0	1	0
40 550	to	40 650	0	1	1	1	1	0	1	0	0	1	1
40 650	to	40 750	0	1	1	1	1	0	1	0	0	0	1
40 750	to	40 850	0	1	1	1	1	1	1	0	0	0	1
40 850	to	40 950	0	1	1	1	1	1	1	0	0	1	1
40 950	to	41 050	0	1	1	1	1	1	1	0	0	1	0
41 050	to	41 150	0	1	1	1	1	1	1	0	1	1	0
41 150	to	41 250	0	1	1	1	1	1	1	0	1	0	0
41 250	to	41 350	0	1	1	1	1	1	1	1	1	0	0
41 350	to	41 450	0	1	1	1	1	1	1	1	1	1	0
41 450	to	41 550	0	1	1	1	1	1	1	1	0	1	0
41 550	to	41 650	0	1	1	1	1	1	1	1	0	1	1
41 650	to	41 750	0	1	1	1	1	1	1	1	0	0	1
41 750	to	41 850	0	1	1	1	1	1	0	1	0	0	1
41 850	to	41 950	0	1	1	1	1	1	0	1	0	1	1
41 950	to	42 050	0	1	1	1	1	1	0	1	0	1	0
42 050	to	42 150	0	1	1	1	1	1	0	1	1	1	0
42 150	to	42 250	0	1	1	1	1	1	0	1	1	0	0
42 250	to	42 350	0	1	1	1	1	1	0	0	1	0	0
42 350	to	42 450	0	1	1	1	1	1	0	0	1	1	0
42 450	to	42 550	0	1	1	1	1	1	0	0	0	1	0
42 550	to	42 650	0	1	1	1	1	1	0	0	0	1	1
42 650	to	42 750	0	1	1	1	1	1	0	0	0	0	1
42 750	to	42 850	0	1	1	1	0	1	0	0	0	0	1
42 850	to	42 950	0	1	1	1	0	1	0	0	0	1	1
42 950	to	43 050	0	1	1	1	0	1	0	0	0	1	0
43 050	to	43 150	0	1	1	1	0	1	0	0	1	1	0
43 150	to	43 250	0	1	1	1	0	1	0	0	1	0	0

RANGE	PULSE POSITIONS <i>(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)</i>												
	Increments (Feet)			D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>
43 250	to	43 350	0	1	1	1	0	1	0	1	1	0	0
43 350	to	43 450	0	1	1	1	0	1	0	1	1	1	0
43 450	to	43 550	0	1	1	1	0	1	0	1	0	1	0
43 550	to	43 650	0	1	1	1	0	1	0	1	0	1	1
43 650	to	43 750	0	1	1	1	0	1	0	1	0	0	1
43 750	to	43 850	0	1	1	1	0	1	1	1	0	0	1



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43 850	to	43 950	0	1	1	1	0	1	1	1	0	1	1
43 950	to	44 050	0	1	1	1	0	1	1	1	0	1	0
44 050	to	44 150	0	1	1	1	0	1	1	1	1	1	0
44 150	to	44 250	0	1	1	1	0	1	1	1	1	0	0
44 250	to	44 350	0	1	1	1	0	1	1	0	1	0	0
44 350	to	44 450	0	1	1	1	0	1	1	0	1	1	0
44 450	to	44 550	0	1	1	1	0	1	1	0	0	1	0
44 550	to	44 650	0	1	1	1	0	1	1	0	0	1	1
44 650	to	44 750	0	1	1	1	0	1	1	0	0	0	1
44 750	to	44 850	0	1	1	1	0	0	1	0	0	0	1
44 850	to	44 950	0	1	1	1	0	0	1	0	0	1	1
44 950	to	45 050	0	1	1	1	0	0	1	0	0	1	0
45 050	to	45 150	0	1	1	1	0	0	1	0	1	1	0
45 150	to	45 250	0	1	1	1	0	0	1	0	1	0	0
45 250	to	45 350	0	1	1	1	0	0	1	1	1	0	0
45 350	to	45 450	0	1	1	1	0	0	1	1	1	1	0
45 450	to	45 550	0	1	1	1	0	0	1	1	0	1	0
45 550	to	45 650	0	1	1	1	0	0	1	1	0	1	1
45 650	to	45 750	0	1	1	1	0	0	1	1	0	0	1
45 750	to	45 850	0	1	1	1	0	0	0	1	0	0	1
45 850	to	45 950	0	1	1	1	0	0	0	1	0	1	1
45 950	to	46 050	0	1	1	1	0	0	0	1	0	1	0
46 050	to	46 150	0	1	1	1	0	0	0	1	1	1	0
46 150	to	46 250	0	1	1	1	0	0	0	1	1	0	0
46 250	to	46 350	0	1	1	1	0	0	0	0	1	0	0
46 350	to	46 450	0	1	1	1	0	0	0	0	1	1	0
46 450	to	46 550	0	1	1	1	0	0	0	0	0	1	0
46 550	to	46 650	0	1	1	1	0	0	0	0	0	1	1
46 650	to	46 750	0	1	1	1	0	0	0	0	0	0	1
46 750	to	46 850	0	1	0	1	0	0	0	0	0	0	1
46 850	to	46 950	0	1	0	1	0	0	0	0	0	1	1
46 950	to	47 050	0	1	0	1	0	0	0	0	0	1	0
47 050	to	47 150	0	1	0	1	0	0	0	0	1	1	0
47 150	to	47 250	0	1	0	1	0	0	0	0	1	0	0
47 250	to	47 350	0	1	0	1	0	0	0	1	1	0	0
47 350	to	47 450	0	1	0	1	0	0	0	1	1	1	0
47 450	to	47 550	0	1	0	1	0	0	0	1	0	1	0
47 550	to	47 650	0	1	0	1	0	0	0	1	0	1	1
47 650	to	47 750	0	1	0	1	0	0	0	1	0	0	1

RANGE

PULSE POSITIONS

*(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)*



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Increments (Feet)	D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>	C <sub>4</sub>
47 750 to 47 850	0	1	0	1	0	0	1	1	0	0	1
47 850 to 47 950	0	1	0	1	0	0	1	1	0	1	1
47 950 to 48 050	0	1	0	1	0	0	1	1	0	1	0
48 050 to 48 150	0	1	0	1	0	0	1	1	1	1	0
48 150 to 48 250	0	1	0	1	0	0	1	1	1	0	0
48 250 to 48 350	0	1	0	1	0	0	1	0	1	0	0
48 350 to 48 450	0	1	0	1	0	0	1	0	1	1	0
48 450 to 48 550	0	1	0	1	0	0	1	0	0	1	0
48 550 to 48 650	0	1	0	1	0	0	1	0	0	1	1
48 650 to 48 750	0	1	0	1	0	0	1	0	0	0	1
48 750 to 48 850	0	1	0	1	0	1	1	0	0	0	1
48 850 to 48 950	0	1	0	1	0	1	1	0	0	1	1
48 950 to 49 050	0	1	0	1	0	1	1	0	0	1	0
49 050 to 49 150	0	1	0	1	0	1	1	0	1	1	0
49 150 to 49 250	0	1	0	1	0	1	1	0	1	0	0
49 250 to 49 350	0	1	0	1	0	1	1	1	1	0	0
49 350 to 49 450	0	1	0	1	0	1	1	1	1	1	0
49 450 to 49 550	0	1	0	1	0	1	1	1	0	1	0
49 550 to 49 650	0	1	0	1	0	1	1	1	0	1	1
49 650 to 49 750	0	1	0	1	0	1	1	1	0	0	1
49 750 to 49 850	0	1	0	1	0	1	0	1	0	0	1
49 850 to 49 950	0	1	0	1	0	1	0	1	0	1	1
49 950 to 50 050	0	1	0	1	0	1	0	1	0	1	0
50 050 to 50 150	0	1	0	1	0	1	0	1	1	1	0
50 150 to 50 250	0	1	0	1	0	1	0	1	1	0	0
50 250 to 50 350	0	1	0	1	0	1	0	0	1	0	0
50 350 to 50 450	0	1	0	1	0	1	0	0	1	1	0
50 450 to 50 550	0	1	0	1	0	1	0	0	0	1	0
50 550 to 50 650	0	1	0	1	0	1	0	0	0	1	1
50 650 to 50 750	0	1	0	1	0	1	0	0	0	0	1
50 750 to 50 850	0	1	0	1	1	1	0	0	0	0	1
50 850 to 50 950	0	1	0	1	1	1	0	0	0	1	1
50 950 to 51 050	0	1	0	1	1	1	0	0	0	1	0
51 050 to 51 150	0	1	0	1	1	1	0	0	1	1	0
51 150 to 51 250	0	1	0	1	1	1	0	0	1	0	0
51 250 to 51 350	0	1	0	1	1	1	0	1	1	0	0
51 350 to 51 450	0	1	0	1	1	1	0	1	1	1	0
51 450 to 51 550	0	1	0	1	1	1	0	1	0	1	0
51 550 to 51 650	0	1	0	1	1	1	0	1	0	1	1
51 650 to 51 750	0	1	0	1	1	1	0	1	0	0	1
51 750 to 51 850	0	1	0	1	1	1	1	1	0	0	1



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51 850 to 51 950	0	1	0	1	1	1	1	1	1	0	1	1
51 950 to 52 050	0	1	0	1	1	1	1	1	1	0	1	0
52 050 to 52 150	0	1	0	1	1	1	1	1	1	1	1	0
52 150 to 52 250	0	1	0	1	1	1	1	1	1	1	0	0

RANGE	PULSE POSITIONS <i>(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)</i>																		
	Increments (Feet)									D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>
52 250 to 52 350	0	1	0	1	1	1	1	0	1	0	0								
52 350 to 52 450	0	1	0	1	1	1	1	0	1	1	0								
52 450 to 52 550	0	1	0	1	1	1	1	0	1	0	0								
52 550 to 52 650	0	1	0	1	1	1	1	0	1	1	1								
52 650 to 52 750	0	1	0	1	1	1	1	0	1	0	1								
52 750 to 52 850	0	1	0	1	1	0	1	0	0	0	1								
52 850 to 52 950	0	1	0	1	1	0	1	0	0	1	1								
52 950 to 53 050	0	1	0	1	1	0	1	0	0	1	0								
53 050 to 53 150	0	1	0	1	1	0	1	0	1	1	0								
53 150 to 53 250	0	1	0	1	1	0	1	0	1	0	0								
53 250 to 53 350	0	1	0	1	1	0	1	1	1	0	0								
53 350 to 53 450	0	1	0	1	1	0	1	1	1	1	0								
53 450 to 53 550	0	1	0	1	1	0	1	1	0	1	0								
53 550 to 53 650	0	1	0	1	1	0	1	1	0	1	1								
53 650 to 53 750	0	1	0	1	1	0	1	1	0	0	1								
53 750 to 53 850	0	1	0	1	1	0	0	1	0	0	1								
53 850 to 53 950	0	1	0	1	1	0	0	1	0	1	1								
53 950 to 54 050	0	1	0	1	1	0	0	1	0	1	0								
54 050 to 54 150	0	1	0	1	1	0	0	1	1	1	0								
54 150 to 54 250	0	1	0	1	1	0	0	1	1	0	0								
54 250 to 54 350	0	1	0	1	1	0	0	0	1	0	0								
54 350 to 54 450	0	1	0	1	1	0	0	0	1	1	0								
54 450 to 54 550	0	1	0	1	1	0	0	0	0	1	0								
54 550 to 54 650	0	1	0	1	1	0	0	0	0	1	1								
54 650 to 54 750	0	1	0	1	1	0	0	0	0	0	1								
54 750 to 54 850	0	1	0	0	1	0	0	0	0	0	1								
54 850 to 54 950	0	1	0	0	1	0	0	0	0	1	1								
54 950 to 55 050	0	1	0	0	1	0	0	0	0	1	0								
55 050 to 55 150	0	1	0	0	1	0	0	0	1	1	0								
55 150 to 55 250	0	1	0	0	1	0	0	0	1	0	0								
55 250 to 55 350	0	1	0	0	1	0	0	1	1	0	0								
55 350 to 55 450	0	1	0	0	1	0	0	1	1	1	0								
55 450 to 55 550	0	1	0	0	1	0	0	1	0	1	0								
55 550 to 55 650	0	1	0	0	1	0	0	1	0	1	1								



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55 650 to 55 750	0	1	0	0	1	0	0	1	0	0	1
55 750 to 55 850	0	1	0	0	1	0	1	1	0	0	1
55 850 to 55 950	0	1	0	0	1	0	1	1	0	1	1
55 950 to 56 050	0	1	0	0	1	0	1	1	0	1	0
56 050 to 56 150	0	1	0	0	1	0	1	1	1	1	0
56 150 to 56 250	0	1	0	0	1	0	1	1	1	0	0
56 250 to 56 350	0	1	0	0	1	0	1	0	1	0	0
56 350 to 56 450	0	1	0	0	1	0	1	0	1	1	0
56 450 to 56 550	0	1	0	0	1	0	1	0	0	1	0
56 550 to 56 650	0	1	0	0	1	0	1	0	0	1	1
56 650 to 56 750	0	1	0	0	1	0	1	0	0	0	1

RANGE	PULSE POSITIONS <i>(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)</i>																		
	Increments (Feet)									D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>
56 750 to 56 850	0	1	0	0	1	1	1	0	0	1	0	0	1						
56 850 to 56 950	0	1	0	0	1	1	1	0	0	1	1	1							
56 950 to 57 050	0	1	0	0	1	1	1	0	0	1	1	0							
57 050 to 57 150	0	1	0	0	1	1	1	0	0	1	1	0							
57 150 to 57 250	0	1	0	0	1	1	1	0	0	1	0	0							
57 250 to 57 350	0	1	0	0	1	1	1	1	0	1	0	0							
57 350 to 57 450	0	1	0	0	1	1	1	1	0	1	1	0							
57 450 to 57 550	0	1	0	0	1	1	1	1	0	1	1	0							
57 550 to 57 650	0	1	0	0	1	1	1	1	0	1	1	1							
57 650 to 57 750	0	1	0	0	1	1	1	1	0	0	0	1							
57 750 to 57 850	0	1	0	0	1	1	0	1	0	0	0	1							
57 850 to 57 950	0	1	0	0	1	1	0	1	0	1	1	1							
57 950 to 58 050	0	1	0	0	1	1	0	1	0	1	1	0							
58 050 to 58 150	0	1	0	0	1	1	0	1	0	1	1	0							
58 150 to 58 250	0	1	0	0	1	1	0	1	0	1	0	0							
58 250 to 58 350	0	1	0	0	1	1	0	0	0	1	0	0							
58 350 to 58 450	0	1	0	0	1	1	0	0	0	1	1	0							
58 450 to 58 550	0	1	0	0	1	1	0	0	0	0	1	0							
58 550 to 58 650	0	1	0	0	1	1	0	0	0	0	1	1							
58 650 to 58 750	0	1	0	0	1	1	0	0	0	0	0	1							
58 750 to 58 850	0	1	0	0	0	1	0	0	0	0	0	1							
58 850 to 58 950	0	1	0	0	0	1	0	0	0	0	1	1							
58 950 to 59 050	0	1	0	0	0	1	0	0	0	0	1	0							
59 050 to 59 150	0	1	0	0	0	1	0	0	0	1	1	0							
59 150 to 59 250	0	1	0	0	0	1	0	0	0	1	0	0							
59 250 to 59 350	0	1	0	0	0	1	0	1	0	1	0	0							
59 350 to 59 450	0	1	0	0	0	1	0	1	0	1	1	0							



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59 450 to 59 550	0	1	0	0	0	1	0	1	0	1	0	1	0
59 550 to 59 650	0	1	0	0	0	1	0	1	0	1	0	1	1
59 650 to 59 750	0	1	0	0	0	1	0	1	0	1	0	0	1
59 750 to 59 850	0	1	0	0	0	1	1	1	1	1	0	0	1
59 850 to 59 950	0	1	0	0	0	1	1	1	1	1	0	1	1
59 950 to 60 050	0	1	0	0	0	1	1	1	1	1	0	1	0
60 050 to 60 150	0	1	0	0	0	1	1	1	1	1	1	1	0
60 150 to 60 250	0	1	0	0	0	1	1	1	1	1	1	0	0
60 250 to 60 350	0	1	0	0	0	1	1	0	1	1	0	0	0
60 350 to 60 450	0	1	0	0	0	1	1	0	1	1	1	1	0
60 450 to 60 550	0	1	0	0	0	1	1	0	1	1	0	1	0
60 550 to 60 650	0	1	0	0	0	1	1	0	1	1	0	1	1
60 650 to 60 750	0	1	0	0	0	1	1	0	1	1	0	0	1
60 750 to 60 850	0	1	0	0	0	0	1	0	0	1	0	0	1
60 850 to 60 950	0	1	0	0	0	0	1	0	0	1	0	1	1
60 950 to 61 050	0	1	0	0	0	0	1	0	0	1	0	1	0
61 050 to 61 150	0	1	0	0	0	0	1	0	0	1	0	1	1
61 150 to 61 250	0	1	0	0	0	0	1	0	0	1	0	1	0

RANGE	PULSE POSITIONS <i>(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)</i>													
	Increments (Feet)			D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>	C <sub>4</sub>
61 250 to 61 350	0	1	0	0	0	0	0	0	1	1	1	1	0	0
61 350 to 61 450	0	1	0	0	0	0	0	0	1	1	1	1	1	0
61 450 to 61 550	0	1	0	0	0	0	0	0	1	1	1	0	1	0
61 550 to 61 650	0	1	0	0	0	0	0	0	1	1	1	0	1	1
61 650 to 61 750	0	1	0	0	0	0	0	0	1	1	1	0	0	1
61 750 to 61 850	0	1	0	0	0	0	0	0	0	1	1	0	0	1
61 850 to 61 950	0	1	0	0	0	0	0	0	0	1	1	0	1	1
61 950 to 62 050	0	1	0	0	0	0	0	0	0	1	1	0	1	0
62 050 to 62 150	0	1	0	0	0	0	0	0	0	1	1	1	1	0
62 150 to 62 250	0	1	0	0	0	0	0	0	0	1	1	1	0	0
62 250 to 62 350	0	1	0	0	0	0	0	0	0	0	1	0	0	0
62 350 to 62 450	0	1	0	0	0	0	0	0	0	0	1	1	1	0
62 450 to 62 550	0	1	0	0	0	0	0	0	0	0	0	1	1	0
62 550 to 62 650	0	1	0	0	0	0	0	0	0	0	0	0	1	1
62 650 to 62 750	0	1	0	0	0	0	0	0	0	0	0	0	0	1
62 750 to 62 850	1	1	0	0	0	0	0	0	0	0	0	0	0	1
62 850 to 62 950	1	1	0	0	0	0	0	0	0	0	0	0	1	1
62 950 to 63 050	1	1	0	0	0	0	0	0	0	0	0	0	1	0
63 050 to 63 150	1	1	0	0	0	0	0	0	0	0	1	1	1	0
63 150 to 63 250	1	1	0	0	0	0	0	0	0	0	1	0	0	0



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63 250	to	63 350	1	1	0	0	0	0	0	1	1	0	0
63 350	to	63 450	1	1	0	0	0	0	0	1	1	1	0
63 450	to	63 550	1	1	0	0	0	0	0	1	0	1	0
63 550	to	63 650	1	1	0	0	0	0	0	1	0	1	1
63 650	to	63 750	1	1	0	0	0	0	0	1	0	0	1
63 750	to	63 850	1	1	0	0	0	0	1	1	0	0	1
63 850	to	63 950	1	1	0	0	0	0	1	1	0	1	1
63 950	to	64 050	1	1	0	0	0	0	1	1	0	1	0
64 050	to	64 150	1	1	0	0	0	0	1	1	1	1	0
64 150	to	64 250	1	1	0	0	0	0	1	1	1	0	0
64 250	to	64 350	1	1	0	0	0	0	1	0	1	0	0
64 350	to	64 450	1	1	0	0	0	0	1	0	1	1	0
64 450	to	64 550	1	1	0	0	0	0	1	0	0	1	0
64 550	to	64 650	1	1	0	0	0	0	1	0	0	1	1
64 650	to	64 750	1	1	0	0	0	0	1	0	0	0	1
64 750	to	64 850	1	1	0	0	0	1	1	0	0	0	1
64 850	to	64 950	1	1	0	0	0	1	1	0	0	1	1
64 950	to	65 050	1	1	0	0	0	1	1	0	0	1	0
65 050	to	65 150	1	1	0	0	0	1	1	0	1	1	0
65 150	to	65 250	1	1	0	0	0	1	1	0	1	0	0
65 250	to	65 350	1	1	0	0	0	1	1	1	1	0	0
65 350	to	65 450	1	1	0	0	0	1	1	1	1	1	0
65 450	to	65 550	1	1	0	0	0	1	1	1	0	1	0
65 550	to	65 650	1	1	0	0	0	1	1	1	0	1	1
65 650	to	65 750	1	1	0	0	0	1	1	1	0	0	1

RANGE	PULSE POSITIONS <i>(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)</i>												
	D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>	C <sub>4</sub>		
65 750	to	65 850	1	1	0	0	0	1	0	1	0	0	1
65 850	to	65 950	1	1	0	0	0	1	0	1	0	1	1
65 950	to	66 050	1	1	0	0	0	1	0	1	0	1	0
66 050	to	66 150	1	1	0	0	0	1	0	1	1	1	0
66 150	to	66 250	1	1	0	0	0	1	0	1	1	0	0
66 250	to	66 350	1	1	0	0	0	1	0	0	1	0	0
66 350	to	66 450	1	1	0	0	0	1	0	0	1	1	0
66 450	to	66 550	1	1	0	0	0	1	0	0	0	1	0
66 550	to	66 650	1	1	0	0	0	1	0	0	0	1	1
66 650	to	66 750	1	1	0	0	0	1	0	0	0	0	1
66 750	to	66 850	1	1	0	0	1	1	0	0	0	0	1
66 850	to	66 950	1	1	0	0	1	1	0	0	0	1	1
66 950	to	67 050	1	1	0	0	1	1	0	0	0	1	0



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67 050	to	67 150	1	1	0	0	1	1	0	0	1	1	0
67 150	to	67 250	1	1	0	0	1	1	0	0	1	0	0
67 250	to	67 350	1	1	0	0	1	1	0	1	1	0	0
67 350	to	67 450	1	1	0	0	1	1	0	1	1	1	0
67 450	to	67 550	1	1	0	0	1	1	0	1	0	1	0
67 550	to	67 650	1	1	0	0	1	1	0	1	0	1	1
67 650	to	67 750	1	1	0	0	1	1	0	1	0	0	1
67 750	to	67 850	1	1	0	0	1	1	1	1	0	0	1
67 850	to	67 950	1	1	0	0	1	1	1	1	0	1	1
67 950	to	68 050	1	1	0	0	1	1	1	1	0	1	0
68 050	to	68 150	1	1	0	0	1	1	1	1	1	1	0
68 150	to	68 250	1	1	0	0	1	1	1	1	1	0	0
68 250	to	68 350	1	1	0	0	1	1	1	0	1	0	0
68 350	to	68 450	1	1	0	0	1	1	1	0	1	1	0
68 450	to	68 550	1	1	0	0	1	1	1	0	0	1	0
68 550	to	68 650	1	1	0	0	1	1	1	0	0	1	1
68 650	to	68 750	1	1	0	0	1	1	1	0	0	0	1
68 750	to	68 850	1	1	0	0	1	0	1	0	0	0	1
68 850	to	68 950	1	1	0	0	1	0	1	0	0	1	1
68 950	to	69 050	1	1	0	0	1	0	1	0	0	1	0
69 050	to	69 150	1	1	0	0	1	0	1	0	1	1	0
69 150	to	69 250	1	1	0	0	1	0	1	0	1	0	0
69 250	to	69 350	1	1	0	0	1	0	1	1	1	0	0
69 350	to	69 450	1	1	0	0	1	0	1	1	1	1	0
69 450	to	69 550	1	1	0	0	1	0	1	1	0	1	0
69 550	to	69 650	1	1	0	0	1	0	1	1	0	1	1
69 650	to	69 750	1	1	0	0	1	0	1	1	0	0	1
69 750	to	69 850	1	1	0	0	1	0	0	1	0	0	1
69 850	to	69 950	1	1	0	0	1	0	0	1	0	1	1
69 950	to	70 050	1	1	0	0	1	0	0	1	0	1	0
70 050	to	70 150	1	1	0	0	1	0	0	1	1	1	0
70 150	to	70 250	1	1	0	0	1	0	0	1	1	0	0

RANGE	PULSE POSITIONS <i>(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)</i>												
	D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>	C <sub>4</sub>		
70 250	to	70 350	1	1	0	0	1	0	0	0	1	0	0
70 350	to	70 450	1	1	0	0	1	0	0	0	1	1	0
70 450	to	70 550	1	1	0	0	1	0	0	0	0	1	0
70 550	to	70 650	1	1	0	0	1	0	0	0	0	1	1
70 650	to	70 750	1	1	0	0	1	0	0	0	0	0	1
70 750	to	70 850	1	1	0	1	1	0	0	0	0	0	1



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70 850 to 70 950	1	1	0	1	1	0	0	0	0	0	1	1
70 950 to 71 050	1	1	0	1	1	0	0	0	0	0	1	0
71 050 to 71 150	1	1	0	1	1	0	0	0	0	1	1	0
71 150 to 71 250	1	1	0	1	1	0	0	0	0	1	0	0
71 250 to 71 350	1	1	0	1	1	0	0	1	1	1	0	0
71 350 to 71 450	1	1	0	1	1	0	0	1	1	1	1	0
71 450 to 71 550	1	1	0	1	1	0	0	1	1	0	1	0
71 550 to 71 650	1	1	0	1	1	0	0	1	1	0	1	1
71 650 to 71 750	1	1	0	1	1	0	0	1	1	0	0	1
71 750 to 71 850	1	1	0	1	1	0	1	1	1	0	0	1
71 850 to 71 950	1	1	0	1	1	0	1	1	1	0	1	1
71 950 to 72 050	1	1	0	1	1	0	1	1	1	0	1	0
72 050 to 72 150	1	1	0	1	1	0	1	1	1	1	1	0
72 150 to 72 250	1	1	0	1	1	0	1	1	1	1	0	0
72 250 to 72 350	1	1	0	1	1	0	1	0	1	0	1	0
72 350 to 72 450	1	1	0	1	1	0	1	0	1	0	1	0
72 450 to 72 550	1	1	0	1	1	0	1	0	1	0	0	1
72 550 to 72 650	1	1	0	1	1	0	1	0	1	0	1	1
72 650 to 72 750	1	1	0	1	1	0	1	0	1	0	0	1
72 750 to 72 850	1	1	0	1	1	1	1	0	1	0	0	1
72 850 to 72 950	1	1	0	1	1	1	1	0	1	0	1	1
72 950 to 73 050	1	1	0	1	1	1	1	0	1	0	1	0
73 050 to 73 150	1	1	0	1	1	1	1	0	1	1	1	0
73 150 to 73 250	1	1	0	1	1	1	1	0	1	1	0	0
73 250 to 73 350	1	1	0	1	1	1	1	1	1	1	0	0
73 350 to 73 450	1	1	0	1	1	1	1	1	1	1	1	0
73 450 to 73 550	1	1	0	1	1	1	1	1	1	0	1	0
73 550 to 73 650	1	1	0	1	1	1	1	1	1	0	1	1
73 650 to 73 750	1	1	0	1	1	1	1	1	1	0	0	1
73 750 to 73 850	1	1	0	1	1	1	0	1	1	0	0	1
73 850 to 73 950	1	1	0	1	1	1	0	1	1	0	1	1
73 950 to 74 050	1	1	0	1	1	1	0	1	1	0	1	0
74 050 to 74 150	1	1	0	1	1	1	0	1	1	1	1	0
74 150 to 74 250	1	1	0	1	1	1	0	1	1	1	0	0
74 250 to 74 350	1	1	0	1	1	1	0	0	1	0	0	0
74 350 to 74 450	1	1	0	1	1	1	0	0	1	1	1	0
74 450 to 74 550	1	1	0	1	1	1	0	0	1	0	1	0
74 550 to 74 650	1	1	0	1	1	1	0	0	1	0	1	1
74 650 to 74 750	1	1	0	1	1	1	0	0	1	0	0	1

RANGE

PULSE POSITIONS

*(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)*



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Increments (Feet)	D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>	C <sub>4</sub>
74 750 to 74 850	1	1	0	1	0	1	0	0	0	0	1
74 850 to 74 950	1	1	0	1	0	1	0	0	0	1	1
74 950 to 75 050	1	1	0	1	0	1	0	0	0	1	0
75 050 to 75 150	1	1	0	1	0	1	0	0	1	1	0
75 150 to 75 250	1	1	0	1	0	1	0	0	1	0	0
75 250 to 75 350	1	1	0	1	0	1	0	1	1	0	0
75 350 to 75 450	1	1	0	1	0	1	0	1	1	1	0
75 450 to 75 550	1	1	0	1	0	1	0	1	0	1	0
75 550 to 75 650	1	1	0	1	0	1	0	1	0	1	1
75 650 to 75 750	1	1	0	1	0	1	0	1	0	0	1
75 750 to 75 850	1	1	0	1	0	1	1	1	0	0	1
75 850 to 75 950	1	1	0	1	0	1	1	1	0	1	1
75 950 to 76 050	1	1	0	1	0	1	1	1	0	1	0
76 050 to 76 150	1	1	0	1	0	1	1	1	1	1	0
76 150 to 76 250	1	1	0	1	0	1	1	1	1	0	0
76 250 to 76 350	1	1	0	1	0	1	1	0	1	0	0
76 350 to 76 450	1	1	0	1	0	1	1	0	1	1	0
76 450 to 76 550	1	1	0	1	0	1	1	0	0	1	0
76 550 to 76 650	1	1	0	1	0	1	1	0	0	1	1
76 650 to 76 750	1	1	0	1	0	1	1	0	0	0	1
76 750 to 76 850	1	1	0	1	0	0	1	0	0	0	1
76 850 to 76 950	1	1	0	1	0	0	1	0	0	1	1
76 950 to 77 050	1	1	0	1	0	0	1	0	0	1	0
77 050 to 77 150	1	1	0	1	0	0	1	0	1	1	0
77 150 to 77 250	1	1	0	1	0	0	1	0	1	0	0
77 250 to 77 350	1	1	0	1	0	0	1	1	1	0	0
77 350 to 77 450	1	1	0	1	0	0	1	1	1	1	0
77 450 to 77 550	1	1	0	1	0	0	1	1	0	1	0
77 550 to 77 650	1	1	0	1	0	0	1	1	0	1	1
77 650 to 77 750	1	1	0	1	0	0	1	1	0	0	1
77 750 to 77 850	1	1	0	1	0	0	0	1	0	0	1
77 850 to 77 950	1	1	0	1	0	0	0	1	0	1	1
77 950 to 78 050	1	1	0	1	0	0	0	1	0	1	0
78 050 to 78 150	1	1	0	1	0	0	0	1	1	1	0
78 150 to 78 250	1	1	0	1	0	0	0	1	1	0	0
78 250 to 78 350	1	1	0	1	0	0	0	0	1	0	0
78 350 to 78 450	1	1	0	1	0	0	0	0	1	1	0
78 450 to 78 550	1	1	0	1	0	0	0	0	0	1	0
78 550 to 78 650	1	1	0	1	0	0	0	0	0	1	1
78 650 to 78 750	1	1	0	1	0	0	0	0	0	0	1
78 750 to 78 850	1	1	1	1	0	0	0	0	0	0	1



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78 850	to	78 950	1	1	1	1	0	0	0	0	0	1	1
78 950	to	79 050	1	1	1	1	0	0	0	0	0	1	0
79 050	to	79 150	1	1	1	1	0	0	0	0	1	1	0
79 150	to	79 250	1	1	1	1	0	0	0	0	1	0	0

RANGE	PULSE POSITIONS <i>(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)</i>												
	Increments (Feet)			D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>
79 250	to	79 350	1	1	1	1	0	0	0	1	1	0	0
79 350	to	79 450	1	1	1	1	0	0	0	1	1	1	0
79 450	to	79 550	1	1	1	1	0	0	0	1	0	1	0
79 550	to	79 650	1	1	1	1	0	0	0	1	0	1	1
79 650	to	79 750	1	1	1	1	0	0	0	1	0	0	1
79 750	to	79 850	1	1	1	1	0	0	1	1	0	0	1
79 850	to	79 950	1	1	1	1	0	0	1	1	0	1	1
79 950	to	80 050	1	1	1	1	0	0	1	1	0	1	0
80 050	to	80 150	1	1	1	1	0	0	1	1	1	1	0
80 150	to	80 250	1	1	1	1	0	0	1	1	1	0	0
80 250	to	80 350	1	1	1	1	0	0	1	0	1	0	0
80 350	to	80 450	1	1	1	1	0	0	1	0	1	1	0
80 450	to	80 550	1	1	1	1	0	0	1	0	0	1	0
80 550	to	80 650	1	1	1	1	0	0	1	0	0	1	1
80 650	to	80 750	1	1	1	1	0	0	1	0	0	0	1
80 750	to	80 850	1	1	1	1	0	1	1	0	0	0	1
80 850	to	80 950	1	1	1	1	0	1	1	0	0	1	1
80 950	to	81 050	1	1	1	1	0	1	1	0	0	1	0
81 050	to	81 150	1	1	1	1	0	1	1	0	1	1	0
81 150	to	81 250	1	1	1	1	0	1	1	0	1	0	0
81 250	to	81 350	1	1	1	1	0	1	1	1	1	0	0
81 350	to	81 450	1	1	1	1	0	1	1	1	1	1	0
81 450	to	81 550	1	1	1	1	0	1	1	1	0	1	0
81 550	to	81 650	1	1	1	1	0	1	1	1	0	1	1
81 650	to	81 750	1	1	1	1	0	1	1	1	0	0	1
81 750	to	81 850	1	1	1	1	0	1	0	1	0	0	1
81 850	to	81 950	1	1	1	1	0	1	0	1	0	1	1
81 950	to	82 050	1	1	1	1	0	1	0	1	0	1	0
82 050	to	82 150	1	1	1	1	0	1	0	1	1	1	0
82 150	to	82 250	1	1	1	1	0	1	0	1	1	0	0
82 250	to	82 350	1	1	1	1	0	1	0	0	1	0	0
82 350	to	82 450	1	1	1	1	0	1	0	0	1	1	0
82 450	to	82 550	1	1	1	1	0	1	0	0	0	1	0
82 550	to	82 650	1	1	1	1	0	1	0	0	0	1	1



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82 650 to 82 750	1	1	1	1	0	1	0	0	0	0	0	1
82 750 to 82 850	1	1	1	1	1	1	0	0	0	0	0	1
82 850 to 82 950	1	1	1	1	1	1	0	0	0	0	1	1
82 950 to 83 050	1	1	1	1	1	1	0	0	0	0	1	0
83 050 to 83 150	1	1	1	1	1	1	0	0	0	1	1	0
83 150 to 83 250	1	1	1	1	1	1	0	0	0	1	0	0
83 250 to 83 350	1	1	1	1	1	1	0	1	1	1	0	0
83 350 to 83 450	1	1	1	1	1	1	0	1	1	1	1	0
83 450 to 83 550	1	1	1	1	1	1	0	1	1	0	1	0
83 550 to 83 650	1	1	1	1	1	1	0	1	1	0	1	1
83 650 to 83 750	1	1	1	1	1	1	0	1	1	0	0	1

RANGE	PULSE POSITIONS <i>(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)</i>																		
	Increments (Feet)									D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>
83 750 to 83 850	1	1	1	1	1	1	1	1	1	0	0	1							
83 850 to 83 950	1	1	1	1	1	1	1	1	1	0	1	1							
83 950 to 84 050	1	1	1	1	1	1	1	1	1	0	1	0							
84 050 to 84 150	1	1	1	1	1	1	1	1	1	1	1	0							
84 150 to 84 250	1	1	1	1	1	1	1	1	1	1	1	0							
84 250 to 84 350	1	1	1	1	1	1	1	1	0	1	0	0							
84 350 to 84 450	1	1	1	1	1	1	1	1	0	1	1	0							
84 450 to 84 550	1	1	1	1	1	1	1	1	0	0	1	0							
84 550 to 84 650	1	1	1	1	1	1	1	1	0	0	1	1							
84 650 to 84 750	1	1	1	1	1	1	1	1	0	0	0	1							
84 750 to 84 850	1	1	1	1	1	0	1	0	0	0	0	1							
84 850 to 84 950	1	1	1	1	1	0	1	0	0	1	1	1							
84 950 to 85 050	1	1	1	1	1	0	1	0	0	1	1	0							
85 050 to 85 150	1	1	1	1	1	0	1	0	1	1	1	0							
85 150 to 85 250	1	1	1	1	1	0	1	0	1	0	0	0							
85 250 to 85 350	1	1	1	1	1	0	1	1	1	1	0	0							
85 350 to 85 450	1	1	1	1	1	0	1	1	1	1	1	0							
85 450 to 85 550	1	1	1	1	1	0	1	1	0	1	1	0							
85 550 to 85 650	1	1	1	1	1	0	1	1	0	1	1	1							
85 650 to 85 750	1	1	1	1	1	0	1	1	0	0	0	1							
85 750 to 85 850	1	1	1	1	1	0	0	1	0	0	0	1							
85 850 to 85 950	1	1	1	1	1	0	0	1	0	1	1	1							
85 950 to 86 050	1	1	1	1	1	0	0	1	0	1	1	0							
86 050 to 86 150	1	1	1	1	1	0	0	1	1	1	1	0							
86 150 to 86 250	1	1	1	1	1	0	0	1	1	0	0	0							
86 250 to 86 350	1	1	1	1	1	0	0	0	1	0	0	0							
86 350 to 86 450	1	1	1	1	1	0	0	0	1	1	1	0							



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86 450 to 86 550	1	1	1	1	1	0	0	0	0	0	1	0
86 550 to 86 650	1	1	1	1	1	0	0	0	0	0	1	1
86 650 to 86 750	1	1	1	1	1	0	0	0	0	0	0	1
86 750 to 86 850	1	1	1	0	1	0	0	0	0	0	0	1
86 850 to 86 950	1	1	1	0	1	0	0	0	0	0	1	1
86 950 to 87 050	1	1	1	0	1	0	0	0	0	0	1	0
87 050 to 87 150	1	1	1	0	1	0	0	0	0	1	1	0
87 150 to 87 250	1	1	1	0	1	0	0	0	0	1	0	0
87 250 to 87 350	1	1	1	0	1	0	0	1	1	1	0	0
87 350 to 87 450	1	1	1	0	1	0	0	1	1	1	1	0
87 450 to 87 550	1	1	1	0	1	0	0	1	1	0	1	0
87 550 to 87 650	1	1	1	0	1	0	0	1	1	0	1	1
87 650 to 87 750	1	1	1	0	1	0	0	1	1	0	0	1
87 750 to 87 850	1	1	1	0	1	0	1	1	1	0	0	1
87 850 to 87 950	1	1	1	0	1	0	1	1	1	0	1	1
87 950 to 88 050	1	1	1	0	1	0	1	1	1	0	1	0
88 050 to 88 150	1	1	1	0	1	0	1	1	1	1	1	0
88 150 to 88 250	1	1	1	0	1	0	1	1	1	1	0	0

RANGE	PULSE POSITIONS <i>(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)</i>													
	Increments (Feet)			D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>	C <sub>4</sub>
88 250 to 88 350	1	1	1	0	1	0	1	0	1	0	0	1	0	0
88 350 to 88 450	1	1	1	0	1	0	1	0	1	0	0	1	1	0
88 450 to 88 550	1	1	1	0	1	0	1	0	1	0	0	0	1	0
88 550 to 88 650	1	1	1	0	1	0	1	0	1	0	0	0	1	1
88 650 to 88 750	1	1	1	0	1	0	1	0	1	0	0	0	0	1
88 750 to 88 850	1	1	1	0	1	1	1	1	0	0	0	0	0	1
88 850 to 88 950	1	1	1	0	1	1	1	1	0	0	0	0	1	1
88 950 to 89 050	1	1	1	0	1	1	1	1	0	0	0	0	1	0
89 050 to 89 150	1	1	1	0	1	1	1	1	0	1	1	1	1	0
89 150 to 89 250	1	1	1	0	1	1	1	1	0	1	0	1	0	0
89 250 to 89 350	1	1	1	0	1	1	1	1	1	1	1	1	0	0
89 350 to 89 450	1	1	1	0	1	1	1	1	1	1	1	1	1	0
89 450 to 89 550	1	1	1	0	1	1	1	1	1	1	0	0	1	0
89 550 to 89 650	1	1	1	0	1	1	1	1	1	1	0	0	1	1
89 650 to 89 750	1	1	1	0	1	1	1	1	1	1	0	0	0	1
89 750 to 89 850	1	1	1	0	1	1	0	1	0	0	0	0	0	1
89 850 to 89 950	1	1	1	0	1	1	0	1	0	0	0	0	1	1
89 950 to 90 050	1	1	1	0	1	1	0	1	0	0	0	0	1	0
90 050 to 90 150	1	1	1	0	1	1	0	1	0	1	1	1	1	0
90 150 to 90 250	1	1	1	0	1	1	0	1	0	1	1	0	0	0



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90 250 to 90 350	1	1	1	0	1	1	0	0	1	0	0
90 350 to 90 450	1	1	1	0	1	1	0	0	1	1	0
90 450 to 90 550	1	1	1	0	1	1	0	0	0	1	0
90 550 to 90 650	1	1	1	0	1	1	0	0	0	1	1
90 650 to 90 750	1	1	1	0	1	1	0	0	0	0	1
90 750 to 90 850	1	1	1	0	0	1	0	0	0	0	1
90 850 to 90 950	1	1	1	0	0	1	0	0	0	1	1
90 950 to 91 050	1	1	1	0	0	1	0	0	0	1	0
91 050 to 91 150	1	1	1	0	0	1	0	0	1	1	0
91 150 to 91 250	1	1	1	0	0	1	0	0	1	0	0
91 250 to 91 350	1	1	1	0	0	1	0	1	1	0	0
91 350 to 91 450	1	1	1	0	0	1	0	1	1	1	0
91 450 to 91 550	1	1	1	0	0	1	0	1	0	1	0
91 550 to 91 650	1	1	1	0	0	1	0	1	0	1	1
91 650 to 91 750	1	1	1	0	0	1	0	1	0	0	1
91 750 to 91 850	1	1	1	0	0	1	1	1	0	0	1
91 850 to 91 950	1	1	1	0	0	1	1	1	0	1	1
91 950 to 92 050	1	1	1	0	0	1	1	1	0	1	0
92 050 to 92 150	1	1	1	0	0	1	1	1	1	1	0
92 150 to 92 250	1	1	1	0	0	1	1	1	1	1	0
92 250 to 92 350	1	1	1	0	0	1	1	0	1	0	0
92 350 to 92 450	1	1	1	0	0	1	1	0	1	1	0
92 450 to 92 550	1	1	1	0	0	1	1	0	0	1	0
92 550 to 92 650	1	1	1	0	0	1	1	0	0	1	1
92 650 to 92 750	1	1	1	0	0	1	1	0	0	0	1

RANGE	PULSE POSITIONS <i>(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)</i>										
	D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>	C <sub>4</sub>
92 750 to 92 850	1	1	1	0	0	0	1	0	0	0	1
92 850 to 92 950	1	1	1	0	0	0	1	0	0	1	1
92 950 to 93 050	1	1	1	0	0	0	1	0	0	1	0
93 050 to 93 150	1	1	1	0	0	0	1	0	1	1	0
93 150 to 93 250	1	1	1	0	0	0	1	0	1	0	0
93 250 to 93 350	1	1	1	0	0	0	1	1	1	0	0
93 350 to 93 450	1	1	1	0	0	0	1	1	1	1	0
93 450 to 93 550	1	1	1	0	0	0	1	1	0	1	0
93 550 to 93 650	1	1	1	0	0	0	1	1	0	1	1
93 650 to 93 750	1	1	1	0	0	0	1	1	0	0	1
93 750 to 93 850	1	1	1	0	0	0	0	1	0	0	1
93 850 to 93 950	1	1	1	0	0	0	0	1	0	1	1
93 950 to 94 050	1	1	1	0	0	0	0	1	0	1	0



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94 050	to	94 150	1	1	1	0	0	0	0	1	1	1	0
94 150	to	94 250	1	1	1	0	0	0	0	1	1	0	0
94 250	to	94 350	1	1	1	0	0	0	0	0	1	0	0
94 350	to	94 450	1	1	1	0	0	0	0	0	1	1	0
94 450	to	94 550	1	1	1	0	0	0	0	0	0	1	0
94 550	to	94 650	1	1	1	0	0	0	0	0	0	1	1
94 650	to	94 750	1	1	1	0	0	0	0	0	0	0	1
94 750	to	94 850	1	0	1	0	0	0	0	0	0	0	1
94 850	to	94 950	1	0	1	0	0	0	0	0	0	1	1
94 950	to	95 050	1	0	1	0	0	0	0	0	0	1	0
95 050	to	95 150	1	0	1	0	0	0	0	0	1	1	0
95 150	to	95 250	1	0	1	0	0	0	0	0	1	0	0
95 250	to	95 350	1	0	1	0	0	0	0	1	1	0	0
95 350	to	95 450	1	0	1	0	0	0	0	1	1	1	0
95 450	to	95 550	1	0	1	0	0	0	0	1	0	1	0
95 550	to	95 650	1	0	1	0	0	0	0	1	0	1	1
95 650	to	95 750	1	0	1	0	0	0	0	1	0	0	1
95 750	to	95 850	1	0	1	0	0	0	1	1	0	0	1
95 850	to	95 950	1	0	1	0	0	0	1	1	0	1	1
95 950	to	96 050	1	0	1	0	0	0	1	1	0	1	0
96 050	to	96 150	1	0	1	0	0	0	1	1	1	1	0
96 150	to	96 250	1	0	1	0	0	0	1	1	1	0	0
96 250	to	96 350	1	0	1	0	0	0	1	0	1	0	0
96 350	to	96 450	1	0	1	0	0	0	1	0	1	1	0
96 450	to	96 550	1	0	1	0	0	0	1	0	0	1	0
96 550	to	96 650	1	0	1	0	0	0	1	0	0	1	1
96 650	to	96 750	1	0	1	0	0	0	1	0	0	0	1
96 750	to	96 850	1	0	1	0	0	1	1	0	0	0	1
96 850	to	96 950	1	0	1	0	0	1	1	0	0	1	1
96 950	to	97 050	1	0	1	0	0	1	1	0	0	1	0
97 050	to	97 150	1	0	1	0	0	1	1	0	1	1	0
97 150	to	97 250	1	0	1	0	0	1	1	0	1	0	0

RANGE	PULSE POSITIONS <i>(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)</i>													
	Increments (Feet)			D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>	C <sub>4</sub>
97 250	to	97 350	1	0	1	0	0	0	1	1	1	1	0	0
97 350	to	97 450	1	0	1	0	0	0	1	1	1	1	1	0
97 450	to	97 550	1	0	1	0	0	0	1	1	1	0	1	0
97 550	to	97 650	1	0	1	0	0	0	1	1	1	0	1	1
97 650	to	97 750	1	0	1	0	0	0	1	1	1	0	0	1
97 750	to	97 850	1	0	1	0	0	0	1	0	1	0	0	1



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97 850 to 97 950	1 0 1 0 0 1 0 1	0 1 1
97 950 to 98 050	1 0 1 0 0 1 0 1	0 1 0
98 050 to 98 150	1 0 1 0 0 1 0 1	1 1 0
98 150 to 98 250	1 0 1 0 0 1 0 1	1 0 0
98 250 to 98 350	1 0 1 0 0 1 0 0	1 0 0
98 350 to 98 450	1 0 1 0 0 1 0 0	1 1 0
98 450 to 98 550	1 0 1 0 0 1 0 0	0 1 0
98 550 to 98 650	1 0 1 0 0 1 0 0	0 1 1
98 650 to 98 750	1 0 1 0 0 1 0 0	0 0 1
98 750 to 98 850	1 0 1 0 1 1 0 0	0 0 1
98 850 to 98 950	1 0 1 0 1 1 0 0	0 1 1
98 950 to 99 050	1 0 1 0 1 1 0 0	0 1 0
99 050 to 99 150	1 0 1 0 1 1 0 0	1 1 0
99 150 to 99 250	1 0 1 0 1 1 0 0	1 0 0
99 250 to 99 350	1 0 1 0 1 1 0 1	1 0 0
99 350 to 99 450	1 0 1 0 1 1 0 1	1 1 0
99 450 to 99 550	1 0 1 0 1 1 0 1	0 1 0
99 550 to 99 650	1 0 1 0 1 1 0 1	0 1 1
99 650 to 99 750	1 0 1 0 1 1 0 1	0 0 1
99 750 to 99 850	1 0 1 0 1 1 1 1	0 0 1
99 850 to 99 950	1 0 1 0 1 1 1 1	0 1 1
99 950 to 100 050	1 0 1 0 1 1 1 1	0 1 0
100 050 to 100 150	1 0 1 0 1 1 1 1	1 1 0
100 150 to 100 250	1 0 1 0 1 1 1 1	1 0 0
100 250 to 100 350	1 0 1 0 1 1 1 0	1 0 0
100 350 to 100 450	1 0 1 0 1 1 1 0	1 1 0
100 450 to 100 550	1 0 1 0 1 1 1 0	0 1 0
100 550 to 100 650	1 0 1 0 1 1 1 0	0 1 1
100 650 to 100 750	1 0 1 0 1 1 1 0	0 0 1
100 750 to 100 850	1 0 1 0 1 0 1 0	0 0 1
100 850 to 100 950	1 0 1 0 1 0 1 0	0 1 1
100 950 to 101 050	1 0 1 0 1 0 1 0	0 1 0
101 050 to 101 150	1 0 1 0 1 0 1 0	1 1 0
101 150 to 101 250	1 0 1 0 1 0 1 0	1 0 0
101 250 to 101 350	1 0 1 0 1 0 1 1	1 0 0
101 350 to 101 450	1 0 1 0 1 0 1 1	1 1 0
101 450 to 101 550	1 0 1 0 1 0 1 1	0 1 0
101 550 to 101 650	1 0 1 0 1 0 1 1	0 1 1
101 650 to 101 750	1 0 1 0 1 0 1 1	0 0 1

RANGE	PULSE POSITIONS (0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)
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Increments ( <i>Feet</i> )	D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>	C <sub>4</sub>
101 750 to 101 850	1	0	1	0	1	0	0	1	0	0	1
101 850 to 101 950	1	0	1	0	1	0	0	1	0	1	1
101 950 to 102 050	1	0	1	0	1	0	0	1	0	1	0
102 050 to 102 150	1	0	1	0	1	0	0	1	1	1	0
102 150 to 102 250	1	0	1	0	1	0	0	1	1	0	0
102 250 to 102 350	1	0	1	0	1	0	0	0	1	0	0
102 350 to 102 450	1	0	1	0	1	0	0	0	1	1	0
102 450 to 102 550	1	0	1	0	1	0	0	0	0	1	0
102 550 to 102 650	1	0	1	0	1	0	0	0	0	1	1
102 650 to 102 750	1	0	1	0	1	0	0	0	0	0	1
102 750 to 102 850	1	0	1	1	1	0	0	0	0	0	1
102 850 to 102 950	1	0	1	1	1	0	0	0	0	1	1
102 950 to 103 050	1	0	1	1	1	0	0	0	0	1	0
103 050 to 103 150	1	0	1	1	1	0	0	0	1	1	0
103 150 to 103 250	1	0	1	1	1	0	0	0	1	0	0
103 250 to 103 350	1	0	1	1	1	0	0	1	1	0	0
103 350 to 103 450	1	0	1	1	1	0	0	1	1	1	0
103 450 to 103 550	1	0	1	1	1	0	0	1	0	1	0
103 550 to 103 650	1	0	1	1	1	0	0	1	0	1	1
103 650 to 103 750	1	0	1	1	1	0	0	1	0	0	1
103 750 to 103 850	1	0	1	1	1	0	1	1	0	0	1
103 850 to 103 950	1	0	1	1	1	0	1	1	0	1	1
103 950 to 104 050	1	0	1	1	1	0	1	1	0	1	0
104 050 to 104 150	1	0	1	1	1	0	1	1	1	1	0
104 150 to 104 250	1	0	1	1	1	0	1	1	1	0	0
104 250 to 104 350	1	0	1	1	1	0	1	0	1	0	0
104 350 to 104 450	1	0	1	1	1	0	1	0	1	1	0
104 450 to 104 550	1	0	1	1	1	0	1	0	0	1	0
104 550 to 104 650	1	0	1	1	1	0	1	0	0	1	1
104 650 to 104 750	1	0	1	1	1	0	1	0	0	0	1
104 750 to 104 850	1	0	1	1	1	1	1	0	0	0	1
104 850 to 104 950	1	0	1	1	1	1	1	0	0	1	1
104 950 to 105 050	1	0	1	1	1	1	1	0	0	1	0
105 050 to 105 150	1	0	1	1	1	1	1	0	1	1	0
105 150 to 105 250	1	0	1	1	1	1	1	0	1	0	0
105 250 to 105 350	1	0	1	1	1	1	1	1	1	0	0
105 350 to 105 450	1	0	1	1	1	1	1	1	1	1	0
105 450 to 105 550	1	0	1	1	1	1	1	1	0	1	0
105 550 to 105 650	1	0	1	1	1	1	1	1	0	1	1
105 650 to 105 750	1	0	1	1	1	1	1	1	0	0	1
105 750 to 105 850	1	0	1	1	1	1	0	1	0	0	1



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105 850	to	105 950	1	0	1	1	1	1	0	1	0	1	1
105 950	to	106 050	1	0	1	1	1	1	0	1	0	1	0
106 050	to	106 150	1	0	1	1	1	1	0	1	1	1	0
106 150	to	106 250	1	0	1	1	1	1	0	1	1	0	0

RANGE	PULSE POSITIONS <i>(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)</i>												
	Increments (Feet)			D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>
106 250	to	106 350	1	0	1	1	1	1	0	0	1	0	0
106 350	to	106 450	1	0	1	1	1	1	0	0	1	1	0
106 450	to	106 550	1	0	1	1	1	1	0	0	0	1	0
106 550	to	106 650	1	0	1	1	1	1	0	0	0	1	1
106 650	to	106 750	1	0	1	1	1	1	0	0	0	0	1
106 750	to	106 850	1	0	1	1	0	1	0	0	0	0	1
106 850	to	106 950	1	0	1	1	0	1	0	0	0	1	1
106 950	to	107 050	1	0	1	1	0	1	0	0	0	1	0
107 050	to	107 150	1	0	1	1	0	1	0	0	1	1	0
107 150	to	107 250	1	0	1	1	0	1	0	0	1	0	0
107 250	to	107 350	1	0	1	1	0	1	0	1	1	0	0
107 350	to	107 450	1	0	1	1	0	1	0	1	1	1	0
107 450	to	107 550	1	0	1	1	0	1	0	1	0	1	0
107 550	to	107 650	1	0	1	1	0	1	0	1	0	1	1
107 650	to	107 750	1	0	1	1	0	1	0	1	0	0	1
107 750	to	107 850	1	0	1	1	0	1	1	1	0	0	1
107 850	to	107 950	1	0	1	1	0	1	1	1	0	1	1
107 950	to	108 050	1	0	1	1	0	1	1	1	0	1	0
108 050	to	108 150	1	0	1	1	0	1	1	1	1	1	0
108 150	to	108 250	1	0	1	1	0	1	1	1	1	0	0
108 250	to	108 350	1	0	1	1	0	1	1	0	1	0	0
108 350	to	108 450	1	0	1	1	0	1	1	0	1	1	0
108 450	to	108 550	1	0	1	1	0	1	1	0	0	1	0
108 550	to	108 650	1	0	1	1	0	1	1	0	0	1	1
108 650	to	108 750	1	0	1	1	0	1	1	0	0	0	1
108 750	to	108 850	1	0	1	1	0	0	1	0	0	0	1
108 850	to	108 950	1	0	1	1	0	0	1	0	0	1	1
108 950	to	109 050	1	0	1	1	0	0	1	0	0	1	0
109 050	to	109 150	1	0	1	1	0	0	1	0	1	1	0
109 150	to	109 250	1	0	1	1	0	0	1	0	1	0	0
109 250	to	109 350	1	0	1	1	0	0	1	1	1	0	0
109 350	to	109 450	1	0	1	1	0	0	1	1	1	1	0
109 450	to	109 550	1	0	1	1	0	0	1	1	0	1	0
109 550	to	109 650	1	0	1	1	0	0	1	1	0	1	1





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113 450	to	113 550	1	0	0	1	0	1	1	1	0	1	0
113 550	to	113 650	1	0	0	1	0	1	1	1	0	1	1
113 650	to	113 750	1	0	0	1	0	1	1	1	0	0	1
113 750	to	113 850	1	0	0	1	0	1	0	1	0	0	1
113 850	to	113 950	1	0	0	1	0	1	0	1	0	1	1
113 950	to	114 050	1	0	0	1	0	1	0	1	0	1	0
114 050	to	114 150	1	0	0	1	0	1	0	1	1	1	0
114 150	to	114 250	1	0	0	1	0	1	0	1	1	0	0
114 250	to	114 350	1	0	0	1	0	1	0	0	1	0	0
114 350	to	114 450	1	0	0	1	0	1	0	0	1	1	0
114 450	to	114 550	1	0	0	1	0	1	0	0	0	1	0
114 550	to	114 650	1	0	0	1	0	1	0	0	0	1	1
114 650	to	114 750	1	0	0	1	0	1	0	0	0	0	1
114 750	to	114 850	1	0	0	1	1	1	0	0	0	0	1
114 850	to	114 950	1	0	0	1	1	1	0	0	0	1	1
114 950	to	115 050	1	0	0	1	1	1	0	0	0	1	0
115 050	to	115 150	1	0	0	1	1	1	0	0	1	1	0
115 150	to	115 250	1	0	0	1	1	1	0	0	1	0	0

RANGE	PULSE POSITIONS <i>(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)</i>												
	Increments (Feet)			D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>
115 250	to	115 350	1	0	0	1	1	1	0	1	1	0	0
115 350	to	115 450	1	0	0	1	1	1	0	1	1	1	0
115 450	to	115 550	1	0	0	1	1	1	0	1	0	1	0
115 550	to	115 650	1	0	0	1	1	1	0	1	0	1	1
115 650	to	115 750	1	0	0	1	1	1	0	1	0	0	1
115 750	to	115 850	1	0	0	1	1	1	1	1	0	0	1
115 850	to	115 950	1	0	0	1	1	1	1	1	0	1	1
115 950	to	116 050	1	0	0	1	1	1	1	1	0	1	0
116 050	to	116 150	1	0	0	1	1	1	1	1	1	1	0
116 150	to	116 250	1	0	0	1	1	1	1	1	1	0	0
116 250	to	116 350	1	0	0	1	1	1	1	0	1	0	0
116 350	to	116 450	1	0	0	1	1	1	1	0	1	1	0
116 450	to	116 550	1	0	0	1	1	1	1	0	0	1	0
116 550	to	116 650	1	0	0	1	1	1	1	0	0	1	1
116 650	to	116 750	1	0	0	1	1	1	1	0	0	0	1
116 750	to	116 850	1	0	0	1	1	0	1	0	0	0	1
116 850	to	116 950	1	0	0	1	1	0	1	0	0	1	1
116 950	to	117 050	1	0	0	1	1	0	1	0	0	1	0
117 050	to	117 150	1	0	0	1	1	0	1	0	1	1	0
117 150	to	117 250	1	0	0	1	1	0	1	0	1	0	0



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117 250 to 117 350	1 0 0 1 1 0 1 1	1 0 0
117 350 to 117 450	1 0 0 1 1 0 1 1	1 1 0
117 450 to 117 550	1 0 0 1 1 0 1 1	0 1 0
117 550 to 117 650	1 0 0 1 1 0 1 1	0 1 1
117 650 to 117 750	1 0 0 1 1 0 1 1	0 0 1
117 750 to 117 850	1 0 0 1 1 0 0 1	0 0 1
117 850 to 117 950	1 0 0 1 1 0 0 1	0 1 1
117 950 to 118 050	1 0 0 1 1 0 0 1	0 1 0
118 050 to 118 150	1 0 0 1 1 0 0 1	1 1 0
118 150 to 118 250	1 0 0 1 1 0 0 1	1 0 0
118 250 to 118 350	1 0 0 1 1 0 0 0	1 0 0
118 350 to 118 450	1 0 0 1 1 0 0 0	1 1 0
118 450 to 118 550	1 0 0 1 1 0 0 0	0 1 0
118 550 to 118 650	1 0 0 1 1 0 0 0	0 1 1
118 650 to 118 750	1 0 0 1 1 0 0 0	0 0 1
118 750 to 118 850	1 0 0 0 1 0 0 0	0 0 1
118 850 to 118 950	1 0 0 0 1 0 0 0	0 1 1
118 950 to 119 050	1 0 0 0 1 0 0 0	0 1 0
119 050 to 119 150	1 0 0 0 1 0 0 0	1 1 0
119 150 to 119 250	1 0 0 0 1 0 0 0	1 0 0
119 250 to 119 350	1 0 0 0 1 0 0 1	1 0 0
119 350 to 119 450	1 0 0 0 1 0 0 1	1 1 0
119 450 to 119 550	1 0 0 0 1 0 0 1	0 1 0
119 550 to 119 650	1 0 0 0 1 0 0 1	0 1 1
119 650 to 119 750	1 0 0 0 1 0 0 1	0 0 1

RANGE	PULSE POSITIONS <i>(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)</i>										
	Increments (Feet)									C <sub>1</sub>	C <sub>2</sub>
	D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>			
119 750 to 119 850	1	0	0	0	1	0	1	1	0	0	1
119 850 to 119 950	1	0	0	0	1	0	1	1	0	1	1
119 950 to 120 050	1	0	0	0	1	0	1	1	0	1	0
120 050 to 120 150	1	0	0	0	1	0	1	1	1	1	0
120 150 to 120 250	1	0	0	0	1	0	1	1	1	0	0
120 250 to 120 350	1	0	0	0	1	0	1	0	1	0	0
120 350 to 120 450	1	0	0	0	1	0	1	0	1	1	0
120 450 to 120 550	1	0	0	0	1	0	1	0	0	1	0
120 550 to 120 650	1	0	0	0	1	0	1	0	0	1	1
120 650 to 120 750	1	0	0	0	1	0	1	0	0	0	1
120 750 to 120 850	1	0	0	0	1	1	1	0	0	0	1
120 850 to 120 950	1	0	0	0	1	1	1	0	0	1	1



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120 950 to 121 050	1	0	0	0	1	1	1	0	0	1	0
121 050 to 121 150	1	0	0	0	1	1	1	0	1	1	0
121 150 to 121 250	1	0	0	0	1	1	1	0	1	0	0
121 250 to 121 350	1	0	0	0	1	1	1	1	1	0	0
121 350 to 121 450	1	0	0	0	1	1	1	1	1	1	0
121 450 to 121 550	1	0	0	0	1	1	1	1	0	1	0
121 550 to 121 650	1	0	0	0	1	1	1	1	0	1	1
121 650 to 121 750	1	0	0	0	1	1	1	1	0	0	1
121 750 to 121 850	1	0	0	0	1	1	0	1	0	0	1
121 850 to 121 950	1	0	0	0	1	1	0	1	0	1	1
121 950 to 122 050	1	0	0	0	1	1	0	1	0	1	0
122 050 to 122 150	1	0	0	0	1	1	0	1	1	1	0
122 150 to 122 250	1	0	0	0	1	1	0	1	1	0	0
122 250 to 122 350	1	0	0	0	1	1	0	0	1	0	0
122 350 to 122 450	1	0	0	0	1	1	0	0	1	1	0
122 450 to 122 550	1	0	0	0	1	1	0	0	0	1	0
122 550 to 122 650	1	0	0	0	1	1	0	0	0	1	1
122 650 to 122 750	1	0	0	0	1	1	0	0	0	0	1
122 750 to 122 850	1	0	0	0	0	1	0	0	0	0	1
122 850 to 122 950	1	0	0	0	0	1	0	0	0	1	1
122 950 to 123 050	1	0	0	0	0	1	0	0	0	1	0
123 050 to 123 150	1	0	0	0	0	1	0	0	1	1	0
123 150 to 123 250	1	0	0	0	0	1	0	0	1	0	0
123 250 to 123 350	1	0	0	0	0	1	0	1	1	0	0
123 350 to 123 450	1	0	0	0	0	1	0	1	1	1	0
123 450 to 123 550	1	0	0	0	0	1	0	1	0	1	0
123 550 to 123 650	1	0	0	0	0	1	0	1	0	1	1
123 650 to 123 750	1	0	0	0	0	1	0	1	0	0	1
123 750 to 123 850	1	0	0	0	0	1	1	1	0	0	1
123 850 to 123 950	1	0	0	0	0	1	1	1	0	1	1
123 950 to 124 050	1	0	0	0	0	1	1	1	0	1	0
124 050 to 124 150	1	0	0	0	0	1	1	1	1	1	0
124 150 to 124 250	1	0	0	0	0	1	1	1	1	0	0

RANGE	PULSE POSITIONS <i>(0 or 1 in a pulse position denotes absence or presence of a pulse, respectively)</i>											
	D <sub>2</sub>	D <sub>4</sub>	A <sub>1</sub>	A <sub>2</sub>	A <sub>4</sub>	B <sub>1</sub>	B <sub>2</sub>	B <sub>4</sub>	C <sub>1</sub>	C <sub>2</sub>	C <sub>4</sub>	
124 250 to 124 350	1	0	0	0	0	1	1	0	1	0	0	
124 350 to 124 450	1	0	0	0	0	1	1	0	1	1	0	
124 450 to 124 550	1	0	0	0	0	1	1	0	0	1	0	





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#### 171.05.4.4. AIRBORNE COLLISION AVOIDANCE SYSTEM

*Note 1.— Guidance material relating to the airborne collision avoidance system is contained in the Airborne Collision Avoidance System (ACAS) Manual (Doc 9863).*

*Note 2.— Non-SI alternative units are used as permitted by NAM-CATS-ATEL, Subpart 171.05.5, Chapter 3, 3.2.2. In limited cases, to ensure consistency at the level of the logic calculations, units such as ft/s, NM/s and kt/s are used.*

*Note 3.— The system that is compliant with Chapter 4 in its entirety is the one that incorporates the traffic alert and collision avoidance systems (TCAS) Version 7.1 and therefore meets the RTCA/DO-185B or EUROCAE/ED-143 specification.*

*Note 4.— Equipment complying with RTCA/DO-185A standards (also known as TCAS Version 7.0) is not compliant with Chapter 4 in its entirety.*

##### 171.05.4.4.1 DEFINITIONS RELATING TO AIRBORNE COLLISION AVOIDANCE SYSTEM

**ACAS I.** An ACAS which provides information as an aid to “see and avoid” action but does not include the capability for generating resolution advisories (RAs).

*Note.— ACAS I is not intended for international implementation and standardization by ICAO. Therefore, only ACAS I characteristics required to ensure compatible operation with other ACAS configurations and interference limiting are defined in 171.05.4.4.2.*

**ACAS II.** An ACAS which provides vertical resolution advisories (RAs) in addition to traffic advisories (TAs).

**ACAS III.** An ACAS which provides vertical and horizontal resolution advisories (RAs) in addition to traffic advisories (TAs).



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**ACAS broadcast.** A long Mode S air-air surveillance interrogation (UF = 16) with the broadcast address.

**Active RAC.** An RAC is active if it currently constrains the selection of the RA. RACs that have been received within the last six seconds and have not been explicitly cancelled are active.

**Altitude crossing RA.** A resolution advisory is altitude crossing if own ACAS aircraft is currently at least 30 m (100 ft) below or above the threat aircraft for upward or downward sense advisories, respectively.

**Climb RA.** A positive RA recommending a climb but not an increased climb.

**Closest approach.** The occurrence of minimum range between own ACAS aircraft and the intruder. Thus range at closest approach is the smallest range between the two aircraft and time of closest approach is the time at which this occurs.

**Coordination.** The process by which two ACAS-equipped aircraft select compatible resolution advisories (RAs) by the exchange of resolution advisory complements (RACs).

**Coordination interrogation.** A Mode S interrogation (uplink transmission) radiated by ACAS II or III and containing a resolution message.

**Coordination reply.** A Mode S reply (downlink transmission) acknowledging the receipt of a coordination interrogation by the Mode S transponder that is part of an ACAS II or III installation.

**Corrective RA.** A resolution advisory that advises the pilot to deviate from the current flight path.

**Cycle.** The term “cycle” used in this chapter refers to one complete pass through the sequence of functions executed by ACAS II or ACAS III, nominally once a second.

**Descend RA.** A positive RA recommending a descent but not an increased descent.



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**Established track.** A track generated by ACAS air-air surveillance that is treated as the track of an actual aircraft.

**Increased rate RA.** A resolution advisory with a strength that recommends increasing the altitude rate to a value exceeding that recommended by a previous climb or descend RA.

**Intruder.** An SSR transponder-equipped aircraft within the surveillance range of ACAS for which ACAS has an established track.

**Own aircraft.** The aircraft fitted with the ACAS that is the subject of the discourse, which ACAS is to protect against possible collisions, and which may enter a manoeuvre in response to an ACAS indication.

**Positive RA.** A resolution advisory that advises the pilot either to climb or to descend (applies to ACAS II).

**Potential threat.** An intruder deserving special attention either because of its close proximity to own aircraft or because successive range and altitude measurements indicate that it could be on a collision or near-collision course with own aircraft. The warning time provided against a potential threat is sufficiently small that a traffic advisory (TA) is justified but not so small that a resolution advisory (RA) would be justified.

**Preventive RA.** A resolution advisory that advises the pilot to avoid certain deviations from the current flight path but does not require any change in the current flight path.

**RA sense.** The sense of an ACAS II RA is “upward” if it requires climb or limitation of descent rate and “downward” if it requires descent or limitation of climb rate. It can be both upward and downward simultaneously if it requires limitation of the vertical rate to a specified range.

*Note.*— *The RA sense may be both upward and downward when, having several simultaneous threats, ACAS generates an RA aimed at ensuring adequate separation below some threat(s) and above some other threat(s).*

**Resolution advisory (RA).** An indication given to the flight crew recommending:



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a) a manoeuvre intended to provide separation from all threats; or

b) a manoeuvre restriction intended to maintain existing separation.

**Resolution advisory complement (RAC).** Information provided by one ACAS to another via a Mode S interrogation in order to ensure complementary manoeuvres by restricting the choice of manoeuvres available to the ACAS receiving the RAC.

**Resolution advisory complements record (RAC record).** A composite of all currently active vertical RACs (VRCs) and horizontal RACs (HRCs) that have been received by ACAS. This information is provided by one ACAS to another ACAS or to a Mode S ground station via a Mode S reply.

**Resolution advisory strength.** The magnitude of the manoeuvre indicated by the RA. An RA may take on several successive strengths before being cancelled. Once a new RA strength is issued, the previous one automatically becomes void.

**Resolution message.** The message containing the resolution advisory complement (RAC).

**Reversed sense RA.** A resolution advisory that has had its sense reversed.

**Sensitivity level (S).** An integer defining a set of parameters used by the traffic advisory (TA) and collision avoidance algorithms to control the warning time provided by the potential threat and threat detection logic, as well as the values of parameters relevant to the RA selection logic.

**Threat.** An intruder deserving special attention either because of its close proximity to own aircraft or because successive range and altitude measurements indicate that it could be on a collision or near-collision course with own aircraft. The warning time provided against a threat is sufficiently small that an RA is justified.

**Track.** A sequence of at least three measurements representing positions that could reasonably have been occupied by an aircraft.

**Traffic advisory (TA).** An indication given to the flight crew that a certain intruder is a potential threat.



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**Vertical speed limit (VSL) RA.** A resolution advisory advising the pilot to avoid a given range of altitude rates. A VSL RA can be either corrective or preventive.

**Warning time.** The time interval between potential threat or threat detection and closest approach when neither aircraft accelerates.

#### 171.05.4.4.2 ACAS I GENERAL PROVISIONS AND CHARACTERISTICS

171.05.4.4.2.1 *Functional requirements.* ACAS I must perform the following functions:

- a) surveillance of nearby SSR transponder-equipped aircraft; and
- b) provide indications to the flight crew identifying the approximate position of nearby aircraft as an aid to visual acquisition.

*Note.* — ACAS I is intended to operate using Mode A/C interrogations only. Furthermore, it does not coordinate with other ACAS. Therefore, a Mode S transponder is not required as a part of an ACAS I installation.

171.05.4.4.2.2 *Signal format.* The RF characteristics of all ACAS I signals must conform to the provisions of this NAM-CATS-ATEL, Subpart 171.05.4, Chapter 3, 171.05.4.3.1.1.1 through 171.05.4.3.1.1.6 and 171.05.4.3.1.2.1 through 171.05.4.3.1.2.4.

#### 171.05.4.4.2.3 Interference control

171.05.4.4.2.3.1 *Maximum radiated RF power.* The effective radiated power of an ACAS I transmission at 0 degree elevation relative to the longitudinal axis of the aircraft must not exceed 24 dBW.

171.05.4.4.2.3.2 *Unwanted radiated power.* When ACAS I is not transmitting an interrogation, the effective radiated power in any direction must not exceed –70 dBm.



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*Note. — This requirement is to ensure that, when not transmitting an interrogation, ACAS I does not radiate RF energy that could interfere with, or reduce the sensitivity of, the SSR transponder or radio equipment in other nearby aircraft or ground facilities.*

171.05.4.4.2.3.3 *Interference limiting.* Each ACAS I interrogator must control its interrogation rate or power or both in all SSR modes to minimize interference effects (171.05.4.4.2.3.3.3 and 171.05.4.4.2.3.3.4).

*Note. — These limits are a means of ensuring that all interference effects resulting from these interrogations, together with the interrogations from all other ACAS I, ACAS II and ACAS III interrogators in the vicinity are kept to a low level.*

171.05.4.4.2.3.3.1 *Determination of own transponder reply rate.* ACAS I must monitor the rate that own transponder replies to interrogations to ensure that the provisions in 171.05.4.4.2.3.3.3 are met.

171.05.4.4.2.3.3.2 *Determination of the number of ACAS II and ACAS III interrogators.* ACAS I must count the number of ACAS II and ACAS III interrogators in the vicinity to ensure that the provisions in 171.05.4.4.2.3.3.3 or 171.05.4.4.2.3.3.4 are met. This count must be obtained by monitoring ACAS broadcasts (UF = 16), (171.05.4.4.3.7.1.2.4) and must be updated as the number of distinct ACAS aircraft addresses received within the previous 20-s period at a nominal frequency of at least 1 Hz.

171.05.4.4.2.3.3.3 *Mode A/C ACAS I interference limits.* The interrogator power must not exceed the following limits:



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$n_a$	Upper limit for $\left\{ \sum_{k=1}^{k_i} P_a(k) \right\}$	
	If $f_r \leq 240$	If $f_r > 240$
0	250	118
1	250	113
2	250	108
3	250	103
4	250	98
5	250	94
6	250	89
7	250	84
8	250	79
9	250	74
10	245	70
11	228	65
12	210	60
13	193	55
14	175	50
15	158	45
16	144	41
17	126	36
18	109	31
19	91	26
20	74	21
21	60	17
$\geq 22$	42	12

where:

$n_a$  = number of operating ACAS II and ACAS III equipped aircraft near own (based on ACAS broadcasts received with a transponder receiver threshold of  $-74$  dBm);

{ } = average value of the expression within the brackets over last 8 interrogation cycles;

$P_a(k)$  = peak power radiated from the antenna in all directions of the pulse having the largest amplitude in the group of pulses comprising a single interrogation during the  $k$ th Mode A/C interrogation in a 1 s interrogation cycle, W;

$k$  = index number for Mode A/C interrogations,  $k = 1, 2, \dots, k_i$ ;



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$k_t$  = number of Mode A/C interrogations transmitted in a 1 s interrogation cycle;

$f_r$  = Mode A/C reply rate of own transponder.

171.05.4.4.2.3.3.4 *Mode S ACAS I interference limits.* An ACAS I that uses Mode S interrogations must not cause greater interference effects than an ACAS I using Mode A/C interrogations only.

#### 171.05.4.4.3 GENERAL PROVISIONS RELATING TO ACAS II AND ACAS III

*Note 1.*— *The acronym ACAS is used in this section to indicate either ACAS II or ACAS III.*

*Note 2.*— *Carriage requirements for ACAS equipment are addressed in Annex 6.*

*Note 3.*— *The term “equipped threat” is used in this section to indicate a threat fitted with ACAS II or ACAS III.*

#### 171.05.4.4.3.1 Functional requirements

171.05.4.4.3.1.1 *ACAS functions.* ACAS must perform the following functions:

- a) surveillance;
- b) generation of TAs;
- c) threat detection;
- d) generation of RAs;
- e) coordination; and
- f) communication with ground stations.

The equipment must execute functions b) through e) on each cycle of operation.



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*Note.— Certain features of these functions must be standardized to ensure that ACAS units cooperate satisfactorily with other ACAS units, with Mode S ground stations and with the ATC system. Each of the features that are standardized is discussed below. Certain other features are given herein as recommendations.*

171.05.4.4.3.1.1.1 The duration of a cycle must not exceed 1.2 s.

#### **171.05.4.4.3.2 Surveillance performance requirements**

171.05.4.4.3.2.1 *General surveillance requirements.* ACAS must interrogate SSR Mode A/C and Mode S transponders in other aircraft and detect the transponder replies. ACAS must measure the range and relative bearing of responding aircraft. Using these measurements and information conveyed by transponder replies, ACAS must estimate the relative positions of each responding aircraft. ACAS must include provisions for achieving such position determination in the presence of ground reflections, interference and variations in signal strength.

171.05.4.4.3.2.1.1 *Track establishment probability.* ACAS must generate an established track, with at least a 0.90 probability that the track is established 30 s before closest approach, on aircraft equipped with transponders when all of the following conditions are satisfied:

- a) the elevation angles of these aircraft are within  $\pm 10$  degrees relative to the ACAS aircraft pitch plane;
- b) the magnitudes of these aircraft's rates of change of altitude are less than or equal to 51 m/s (10 000 ft/min);
- c) the transponders and antennas of these aircraft meet the Standards of this NAM-CATS-ATEL, Subpart 171.05 Chapter 3, 171.05.4.3.1.1 and 171.05.4.3.1.2;
- d) the closing speeds and directions of these aircraft, the local density of SSR transponder-equipped aircraft and the number of other ACAS interrogators in the vicinity (as determined by monitoring ACAS broadcasts, 171.05.4.4.3.7.1.2.4) satisfy the conditions specified in Table 4-1; and



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e) the minimum slant range is equal to or greater than 300 m (1 000 ft).

**Table 4-1. ACAS design assumptions**

Conditions								Performance	
Forward		Side		Back		Maximum traffic density		Maximum number of other ACAS within 56 km (30 NM)	Probability of success
Maximum closing speed									
m/s	kt	m/s	kt	m/s	kt	aircraft/ km <sup>2</sup>	aircraft/ NM <sup>2</sup>		
260	500	150	300	93	180	0.087	0.30	30	0.90
620	1 200	390	750	220	430	0.017	0.06	30	0.90

*Note.— Table 4-1 shows the design assumption upon which the development of ACAS was based. Operational experience and simulation show that ACAS provides adequate surveillance for collision avoidance even when the maximum number of other ACAS within 56 km (30 NM) is somewhat higher than that shown in Table 4-1. Future ACAS designs will take account of current and expected ACAS densities.*

171.05.4.4.3.2.1.1.1 ACAS must continue to provide surveillance with no abrupt degradation in track establishment probability as any one of the condition bounds defined in 171.05.4.4.3.2.1.1 is exceeded.

171.05.4.4.3.2.1.1.2 ACAS must not track Mode S aircraft that report that they are on the ground.

*Note.— A Mode S aircraft may report that it is on the ground by coding in the capability (CA) field in a DF = 11 or DF = 17 transmission (Chapter 3, 171.05.4.3.1.2.5.2.2.1) or by coding in the vertical status (VS) field in a DF = 0 transmission (Chapter 3, 171.05.4.3.1.2.8.2.1). Alternatively, if the aircraft is under Mode S ground surveillance, ground status may be determined by monitoring the flight status (FS) field in downlink formats DF = 4, 5, 20 or 21 (Chapter 3, 171.05.4.3.1.2.6.5.1).*



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171.05.4.4.3.2.1.1.3 **Recommendation.**— *ACAS may achieve the required tracking performance when the average SSR Mode A/C asynchronous reply rate from transponders in the vicinity of the ACAS aircraft is 240 replies per second and when the peak interrogation rate received by the individual transponders under surveillance is 500 per second.*

*Note.*— *The peak interrogation rate mentioned above includes interrogations from all sources.*

171.05.4.4.3.2.1.2 *False track probability.* The probability that an established Mode A/C track does not correspond in range and altitude, if reported, to an actual aircraft must be less than  $10^{-2}$ . For an established Mode S track this probability must be less than  $10^{-6}$ . These limits must not be exceeded in any traffic environment.

#### **171.05.4.4.3.2.1.3 Range And Bearing Accuracy**

171.05.4.4.3.2.1.3.1 Range must be measured with a resolution of 14.5 m (1/128 NM) or better.

171.05.4.4.3.2.1.3.2 **Recommendation.**— *The errors in the relative bearings of the estimated positions of intruders may not exceed 10 degrees rms.*

*Note.*— *This accuracy in the relative bearing of intruders is practicable and sufficient as an aid to the visual acquisition of potential threats. In addition, such relative bearing information has been found useful in threat detection, where it can indicate that an intruder is a threat. However, this accuracy is not sufficient as a basis for horizontal RAs, nor is it sufficient for reliable predictions of horizontal miss distance.*

#### **171.05.4.4.3.2.2 Interference Control**

171.05.4.4.3.2.2.1 *Maximum radiated RF power.* The effective radiated power of an ACAS transmission at 0 degree elevation relative to the longitudinal axis of the aircraft must not exceed 27 dBW.



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171.05.4.4.3.2.2.1.1 *Unwanted radiated power.* When ACAS is not transmitting an interrogation, the effective radiated power in any direction must not exceed –70 dBm.

171.05.4.4.3.2.2.2 *Interference limiting.* Each ACAS interrogator operating below a pressure-altitude of 5 490 m (18 000 ft) must control its interrogation rate or power or both so as to conform with specific inequalities (171.05.4.4.3.2.2.2.2).

171.05.4.4.3.2.2.2.1 *Determination of the number of other ACAS.* ACAS must count the number of other ACAS II and III interrogators in the vicinity to ensure that the interference limits are met. This count must be obtained by monitoring ACAS broadcasts (UF = 16), (171.05.4.4.3.7.1.2.4). Each ACAS must monitor such broadcast interrogations to determine the number of other ACAS within detection range.

171.05.4.4.3.2.2.2.2 *ACAS interference limiting inequalities.* ACAS must adjust its interrogation rate and interrogation power such that the following three inequalities remain true, except as provided in 171.05.4.4.3.2.2.2.2.1.

$$\left\{ \sum_{i=1}^{i_t} \left[ \frac{p(i)}{250} \right]^\alpha \right\} < \text{minimum} \left[ \frac{280}{1+n_a}, \frac{11}{\alpha^2} \right] \quad (1)$$

$$\left\{ \sum_{i=1}^{i_t} m(i) \right\} < 0.01 \quad (2)$$

$$\left\{ \frac{1}{B} \sum_{k=1}^{k_t} \frac{P_a(k)}{250} \right\} < \text{minimum} \left[ \frac{80}{1+n_a}, .3 \right] \quad (3)$$

The variables in these inequalities must be defined as follows:

$i_t$  = number of interrogations (Mode A/C and Mode S) transmitted in a 1 s interrogation cycle. This must include all Mode S interrogations used by the ACAS functions, including those in addition to UF = 0 and UF = 16 interrogations, except as provided in 171.05.4.4.3.2.2.2.1;



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*Note.— UF = 19 interrogations are included in  $i_t$  as specified in 171.05.4.3.1.2.8.9.4.*

$i$  = index number for Mode A/C and Mode S interrogations,  $i = 1, 2, \dots, i_t$ ;

$\alpha$  = the minimum of  $\alpha_1$  calculated as  $1/4 [n_b/n_c]$  subject to the special conditions given below and  $\alpha_2$  calculated as  $\text{Log}_{10} [n_a/n_b] / \text{Log}_{10} 25$ , where  $n_b$  and  $n_c$  are defined as the number of operating ACAS II and ACAS III equipped aircraft (airborne or on the ground) within 11.2 km (6 NM) and 5.6 km (3 NM) respectively, of own ACAS (based on ACAS surveillance). ACAS aircraft operating on the ground or at or below a radio altitude of 610 m (2 000 ft) AGL must include both airborne and on-ground ACAS II and ACAS III aircraft in the value for  $n_b$  and  $n_c$ . Otherwise, ACAS must include only airborne ACAS II and ACAS III aircraft in the value for  $n_b$  and  $n_c$ . The values of  $\alpha$ ,  $\alpha_1$  and  $\alpha_2$  are further constrained to a minimum of 0.5 and a maximum of 1.0.

In addition;

IF  $[(n_b \leq 1) \text{ OR } (n_b \leq 4 \text{ AND } n_c \leq 2 \text{ AND } n_a > 25)]$  THEN  $\alpha_1 = 1.0$ ,

IF  $[(n_c > 2) \text{ AND } (n_b > 2 n_c) \text{ AND } (n_a < 40)]$  THEN  $\alpha_1 = 0.5$ ;

$p(i)$  = peak power radiated from the antenna in all directions of the pulse having the largest amplitude in the group of pulses comprising a single interrogation during the  $i$ th interrogation in a 1 s interrogation cycle, W;

$m(i)$  = duration of the mutual suppression interval for own transponder associated with the  $i$ th interrogation in a 1 s interrogation cycle, s;

$B$  = beam sharpening factor (ratio of 3 dB beam width to beamwidth resulting from interrogation side-lobe suppression). For ACAS interrogators that employ transmitter side-lobe suppression (SLS), the appropriate beamwidth must be the extent in azimuth angle of the Mode A/C replies from one transponder as limited by SLS, averaged over the transponder population;

{ } see 171.05.4.4.2.3.3.3



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$P_a(k)$     "  
 $k$         "  
 $kt$        "  
 $na$         "

*Note.* — RA and ACAS broadcasts (171.05.4.4.3.6.2.1 and 171.05.4.4.3.7.1.2.4) are interrogations.

171.05.4.4.3.2.2.2.2.1 *Transmissions during RAs.* All air-to-air coordination interrogations must be transmitted at full power and these interrogations must be excluded from the summations of Mode S interrogations in the left-hand terms of inequalities (1) and (2) in 171.05.4.4.3.2.2.2 for the duration of the RA.

171.05.4.4.3.2.2.2.2.2 *Transmissions from ACAS units on the ground.* Whenever the ACAS aircraft indicates that it is on the ground, ACAS interrogations must be limited by setting the number of other ACAS II and III aircraft ( $n_a$ ) count in the interference limiting inequalities to a value that is three times the value obtained based on ACAS broadcasts received with a transponder receiver threshold of  $-74$  dBm. Whenever Mode A/C interrogation power is reduced because of interference limiting, the Mode A/C interrogation power in the forward beam must be reduced first until the forward sequence matches the right and left sequences. The forward, right and left interrogation powers must then sequentially be reduced until they match the rear interrogation power. Further reduction of Mode A/C power must be accomplished by sequentially reducing the forward, side and rear interrogation powers.

171.05.4.4.3.2.2.2.2.3 *Transmissions from ACAS units above 5 490 m (18 000 ft) altitude.* Each ACAS interrogator operating above a pressure-altitude of 5 490 m (18 000 ft) must control its interrogation rate or power or both such that inequalities (1) and (3) in 171.05.4.4.3.2.2.2 remain true when  $n_a$  and  $\alpha$  are equal to 1, except as provided in 171.05.4.4.3.2.2.2.1.

### 171.05.4.4.3.3 Traffic advisories (TAs)

171.05.4.4.3.3.1 *TA function.* ACAS must provide TAs to alert the flight crew to potential threats. Such TAs must be accompanied by an indication of the approximate relative position of potential threats to facilitate visual acquisition.



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171.05.4.4.3.3.1.1 *Display of potential threats.* If potential threats are shown on a traffic display, they must be displayed in amber or yellow.

*Note 1.— These colours are generally considered suitable for indicating a cautionary condition.*

*Note 2.— Additional information assisting in the visual acquisition such as vertical trend and relative altitude may be displayed as well.*

*Note 3.— Traffic situational awareness is improved when tracks can be supplemented by display of heading information (e.g. as extracted from received ADS-B messages).*

#### **171.05.4.4.3.3.2 Proximate Traffic Display**

171.05.4.4.3.3.2.1 **Recommendation.**— *While any RA and/or TA are displayed, proximate traffic within 11 km (6 NM) range and, if altitude reporting,  $\pm 370$  m (1 200 ft) altitude may be displayed. This proximate traffic may be distinguished (e.g. by colour or symbol type) from threats and potential threats, which may be more prominently displayed.*

171.05.4.4.3.3.2.2 **Recommendation.**— *While any RA and/or TA are displayed, visual acquisition of the threats and/or potential threat may not be adversely affected by the display of proximate traffic or other data (e.g. contents of received ADS-B messages) unrelated to collision avoidance.*

171.05.4.4.3.3.3 *TAs as RA precursors.* The criteria for TAs must be such that they are satisfied before those for an RA.

171.05.4.4.3.3.3.1 *TA warning time.* For intruders reporting altitude, the nominal TA warning time must not be greater than  $(T+20$  s) where T is the nominal warning time for the generation of the resolution advisory.

*Note.— Ideally, RAs would always be preceded by a TA but this is not always possible, e.g. the RA criteria might be already satisfied when a track is first established, or a sudden and sharp manoeuvre by the intruder could cause the TA lead time to be less than a cycle.*



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#### 171.05.4.4.3.4 Threat detection

171.05.4.4.3.4.1 *Declaration of threat.* ACAS must evaluate appropriate characteristics of each intruder to determine whether or not it is a threat.

171.05.4.4.3.4.1.1 *Intruder characteristics.* As a minimum, the characteristics of an intruder that are used to identify a threat must include:

- a) tracked altitude;
- b) tracked rate of change of altitude;
- c) tracked slant range;
- d) tracked rate of change of slant range; and
- e) sensitivity level of intruder's ACAS,  $S_i$ .

For an intruder not equipped with ACAS II or ACAS III,  $S_i$  must be set to 1.

171.05.4.4.3.4.1.2 *Own aircraft characteristics.* As a minimum, the characteristics of own aircraft that are used to identify a threat must include:

- a) altitude;
- b) rate of change of altitude; and
- c) sensitivity level of own ACAS (171.05.4.4.3.4.3).

171.05.4.4.3.4.2 *Sensitivity levels.* ACAS must be capable of operating at any of a number of sensitivity levels. These must include:

- a)  $S = 1$ , a "standby" mode in which the interrogation of other aircraft and all advisories are inhibited;



b) S = 2, a “TA only” mode in which RAs are inhibited; and

c) S = 3-7, further levels that enable the issue of RAs that provide the warning times indicated in Table 4-2 as well as TAs.

171.05.4.4.3.4.3 *Selection of own sensitivity level (S<sub>o</sub>).* The selection of own ACAS sensitivity level must be determined by sensitivity level control (SLC) commands which must be accepted from a number of sources as follows:

a) SLC command generated automatically by ACAS based on altitude band or other external factors;

b) SLC command from pilot input; and

c) SLC command from Mode S ground stations.

**Table 4-2**

<i>Sensitivity level</i>	2	3	4	5	6	7
Nominal warning time	no RAs	15s	20s	25s	30s	35s

171.05.4.4.3.4.3.1 *Permitted SLC command codes.* As a minimum, the acceptable SLC command codes must include:

	<i>Coding</i>
for SLC based on altitude band	2-7
for SLC from pilot input	0,1,2
for SLC from Mode S ground stations	0,2-6

171.05.4.4.3.4.3.2 *Altitude-band SLC command.* Where ACAS selects an SLC command based on altitude, hysteresis must be applied to the nominal altitude thresholds at which SLC command value changes are required as follows: for a climbing ACAS aircraft the SLC command must be increased at the appropriate altitude threshold plus the hysteresis value; for a descending ACAS



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aircraft the SLC command must be decreased at the appropriate altitude threshold minus the hysteresis value.

- 171.05.4.4.3.4.3.3 *Pilot SLC command.* For the SLC command set by the pilot the value 0 must indicate the selection of the “automatic” mode for which the sensitivity level selection must be based on the other commands.
- 171.05.4.4.3.4.3.4 *Mode S ground station SLC command.* For SLC commands transmitted via Mode S ground stations (171.05.4.4.3.8.4.2.1.1), the value 0 must indicate that the station concerned is not issuing an SLC command and that sensitivity level selection must be based on the other commands, including non-0 commands from other Mode S ground stations. ACAS must not process an uplinked SLC value of 1.
- 171.05.4.4.3.4.3.4.1 *ATS selection of SLC command code.* ATS authorities must ensure that procedures are in place to inform pilots of any ATS selected SLC command code other than 0 (171.05.4.4.3.4.3.1).
- 171.05.4.4.3.4.3.5 *Selection rule.* Own ACAS sensitivity level must be set to the smallest non-0 SLC command received from any of the sources listed in 171.05.4.4.3.4.3.
- 171.05.4.4.3.4.4 *Selection of parameter values for RA generation.* When the sensitivity level of own ACAS is 3 or greater, the parameter values used for RA generation that depend on sensitivity level must be based on the greater of the sensitivity level of own ACAS,  $S_o$ , and the sensitivity level of the intruder’s ACAS,  $S_i$ .
- 171.05.4.4.3.4.5 *Selection of parameter values for TA generation.* The parameter values used for TA generation that depend on sensitivity level must be selected on the same basis as those for RAs (4.3.4.4) except when an SLC command with a value of 2 (“TA only” mode) has been received from either the pilot or a Mode S ground station. In this case, the parameter values for TA generation must retain the values they would have had in the absence of the SLC command from the pilot or Mode S ground station.

#### **171.05.4.4.3.5 Resolution advisories (RAs)**



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171.05.4.4.3.5.1 *RA generation.* For all threats, ACAS must generate an RA except where it is not possible to select an RA that can be predicted to provide adequate separation either because of uncertainty in the diagnosis of the intruder's flight path or because there is a high risk that a manoeuvre by the threat will negate the RA.

171.05.4.4.3.5.1.1 *Display of threats.* If threats are shown on a traffic display, they must be displayed in red.

*Note.* — This colour is generally considered suitable for indicating a warning condition.

171.05.4.4.3.5.1.2 *RA cancellation.* Once an RA has been generated against a threat or threats it must be maintained or modified until tests that are less stringent than those for threat detection indicate on two consecutive cycles that the RA may be cancelled, at which time it must be cancelled.

171.05.4.4.3.5.2 *RA selection.* ACAS must generate the RA that is predicted to provide adequate separation from all threats and that has the least effect on the current flight path of the ACAS aircraft consistent with the other provisions in this chapter.

171.05.4.4.3.5.3 *RA effectiveness.* The RA must not recommend or continue to recommend a manoeuvre or manoeuvre restriction that, considering the range of probable threat trajectories, is more likely to reduce separation than increase it, subject to the provisions in 171.05.4.4.3.5.5.1.1 and 171.05.4.4.3.5.6.

*Note.* — See also 171.05.4.4.3.5.8.

171.05.4.4.3.5.3.1 New ACAS installations after 1 January 2014 must monitor own aircraft's vertical rate to verify compliance with the RA sense. If non-compliance is detected, ACAS must stop assuming compliance, and instead must assume the observed vertical rate.

*Note 1.* — This overcomes the retention of an RA sense that would work only if followed. The revised vertical rate assumption is more likely to allow the logic to select the opposite sense when it is consistent with the non-complying aircraft's vertical rate.

*Note 2.* — Equipment complying with RTCA/DO-185 or DO-185A standards (also known as TCAS Version 6.04A or TCAS Version 7.0) do not comply with this requirement.



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*Note 3.— Compliance with this requirement can be achieved through the implementation of traffic alert and collision avoidance system (TCAS) Version 7.1 as specified in RTCA/DO-185B or EUROCAE/ED-143.*

171.05.4.4.3.5.3.2 **Recommendation.**— *All ACAS may be compliant with the requirement in 171.05.4.4.3.5.3.1.*

171.05.4.4.3.5.3.3 After 1 January 2017, all ACAS units must comply with the requirements stated in 171.05.4.4.3.5.3.1.

171.05.4.4.3.5.4 *Aircraft capability.* The RA generated by ACAS must be consistent with the performance capability of the aircraft.

171.05.4.4.3.5.4.1 *Proximity to the ground.* Descend RAs must not be generated or maintained when own aircraft is below 300 m (1 000 ft) AGL.

171.05.4.4.3.5.4.2 ACAS must not operate in sensitivity levels 3-7 when own aircraft is below 300 m (1 000 ft) AGL.

171.05.4.4.3.5.5 *Reversals of sense.* ACAS must not reverse the sense of an RA from one cycle to the next, except as permitted in 4.3.5.5.1 to ensure coordination or when the predicted separation at closest approach for the existing sense is inadequate.

171.05.4.4.3.5.5.1 *Sense reversals against equipped threats.* If an RAC received from an equipped threat is incompatible with the current RA sense, ACAS must modify the RA sense to conform with the received RAC if own aircraft address is higher in value than that of the threat.

*Note.— 171.05.4.4.3.6.1.3 requires that the own ACAS RAC for the threat is also reversed.*

171.05.4.4.3.5.5.1.1 ACAS must not modify an RA sense in a way that makes it incompatible with an RAC received from an equipped threat if own aircraft address is higher in value than that of the threat.

171.05.4.4.3.5.6 *RA strength retention.* Subject to the requirement that a descend RA is not generated at low altitude (171.05.4.4.3.5.4.1), an RA must not be modified if the time to closest approach is too short to achieve a significant response or if the threat is diverging in range.



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171.05.4.4.3.5.7 *Weakening an RA.* An RA must not be weakened if it is likely that it would subsequently need to be strengthened.

171.05.4.4.3.5.8 *ACAS-equipped threats.* The RA must be compatible with all the RACs transmitted to threats (4.3.6.1.3). If an RAC is received from a threat before own ACAS generates an RAC for that threat, the RA generated must be compatible with the RAC received unless such an RA is more likely to reduce separation than increase it and own aircraft address is lower in value than that of the threat.

*Note.— In encounters with more than one threat where it is necessary to pass above some threats and below other threats, this standard can be interpreted as referring to the whole duration of the RA. Specifically, it is permissible to retain an RA to climb (descend) towards a threat that is above (below) own aircraft provided there is a calculated intention to provide adequate separation from all threats by subsequently levelling-off.*

171.05.4.4.3.5.9 *Encoding of ARA subfield.* On each cycle of an RA, the RA sense, strength and attributes must be encoded in the active RA (ARA) subfield (171.05.4.4.3.8.4.2.2.1.1). If the ARA subfield has not been refreshed for an interval of 6 s, it must be set to 0, along with the MTE subfield in the same message (171.05.4.4.3.8.4.2.2.1.3).

171.05.4.4.3.5.10 *System response time.* The system delay from receipt of the relevant SSR reply to presentation of an RA sense and strength to the pilot must be as short as possible and must not exceed 1.5 s.

#### **171.05.4.4.3.6 Coordination and communication**

##### **171.05.4.4.3.6.1 Provisions For Coordination With Acas-Equipped Threats**

171.05.4.4.3.6.1.1 *Multi-aircraft coordination.* In a multi-aircraft situation, ACAS must coordinate with each equipped threat individually.

171.05.4.4.3.6.1.2 *Data protection during coordination.* ACAS must prevent simultaneous access to stored data by concurrent processes, in particular, during resolution message processing.



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171.05.4.4.3.6.1.3 *Coordination interrogation.* Each cycle ACAS must transmit a coordination interrogation to each equipped threat, unless generation of an RA is delayed because it is not possible to select an RA that can be predicted to provide adequate separation (171.05.4.4.3.5.1). The resolution message transmitted to a threat must include an RAC selected for that threat. If an RAC has been received from the threat before ACAS selects an RAC for that threat, the selected RAC must be compatible with the received RAC unless no more than three cycles have elapsed since the RAC was received, the RAC is altitudedecrossing, and own aircraft address is lower in value than that of the threat in which case ACAS must select its RA independently. If an RAC received from an equipped threat is incompatible with the RAC own ACAS has selected for that threat, ACAS must modify the selected RAC to be compatible with the received RAC if own aircraft address is higher in value than that of the threat.

*Note.* — *The RAC included in the resolution message is in the form of a vertical RAC (VRC) for ACAS II (171.05.4.4.3.8.4.2.3.2.2) and a vertical RAC (VRC) and/or horizontal RAC (HRC) for ACAS III.*

171.05.4.4.3.6.1.3.1 *Coordination termination.* Within the cycle during which an intruder ceases to be a reason for maintaining the RA, ACAS must send a resolution message to that intruder by means of a coordination interrogation. The resolution message must include the cancellation code for the last RAC sent to that intruder while it was a reason for maintaining the RA.

*Note.* — *During an encounter with a single threat, the threat ceases to be a reason for the RA when the conditions for cancelling the RA are met. During an encounter with multiple threats, a threat ceases to be a reason for the RA when the conditions for cancelling the RA are met in respect of that threat, even though the RA may have to be maintained because of other threats.*

171.05.4.4.3.6.1.3.2 ACAS coordination interrogations must be transmitted until a coordination reply is received from the threat, up to a maximum of not less than six and not more than twelve attempts. The successive interrogations must be nominally equally spaced over a period of  $100 \pm 5$  ms. If the maximum number of attempts is made and no reply is received, ACAS must continue its regular processing sequence.

171.05.4.4.3.6.1.3.3 ACAS must provide parity protection (171.05.4.4.3.8.4.2.3.2.6 and 171.05.4.4.3.8.4.2.3.2.7) for all fields in the coordination interrogation that convey RAC information.



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*Note.* — This includes the vertical RAC (VRC), the cancel vertical RAC (CVC), the horizontal RAC (HRC) and the cancel horizontal RAC (CHC).

171.05.4.4.3.6.1.3.4 Whenever own ACAS reverses its sense against an equipped threat, the resolution message that is sent on the current and subsequent cycles to that threat must contain both the newly selected RAC and the cancellation code for the RAC sent before the reversal.

171.05.4.4.3.6.1.3.5 When a vertical RA is selected, the vertical RAC (VRC) (171.05.4.4.3.8.4.2.3.2.2) that own ACAS includes in a resolution message to the threat must be as follows:

a) “do not pass above” when the RA is intended to provide separation above the threat;

b) “do not pass below” when the RA is intended to provide separation below the threat.

171.05.4.4.3.6.1.4 *Resolution message processing.* Resolution messages must be processed in the order in which they are received and with delay limited to that required to prevent possible concurrent access to stored data and delays due to the processing of previously received resolution messages. Resolution messages that are being delayed must be temporarily queued to prevent possible loss of messages. Processing a resolution message must include decoding the message and updating the appropriate data structures with the information extracted from the message.

*Note.* — According to 171.05.4.4.3.6.1.2, resolution message processing must not access any data whose usage is not protected by the coordination lock state.

171.05.4.4.3.6.1.4.1 An RAC or an RAC cancellation received from another ACAS must be rejected if the encoded sense bits indicate the existence of a parity error or if undefined value(s) are detected in the resolution message. An RAC or an RAC cancellation received without parity errors and without undefined resolution message values must be considered valid.

171.05.4.4.3.6.1.4.2 *RAC storage.* A valid RAC received from another ACAS must be stored or must be used to update the previously stored RAC corresponding to that ACAS. A valid RAC cancellation must cause the previously stored RAC to be deleted. A stored RAC that has not been updated for an interval of 6 s must be deleted.



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171.05.4.4.3.6.1.4.3 *RAC record update.* A valid RAC or RAC cancellation received from another ACAS must be used to update the RAC record. If a bit in the RAC record has not been refreshed for an interval of 6 s by any threat, that bit must be set to 0.

#### **171.05.4.4.3.6.2 Provisions For Acas Communication With Ground Stations**

171.05.4.4.3.6.2.1 *Air-initiated downlink of ACAS RAs.* When an ACAS RA exists, ACAS must:

- a) transfer to its Mode S transponder an RA report for transmission to the ground in a Comm-B reply (171.05.4.4.3.11.4.1); and
- b) transmit periodic RA broadcasts (171.05.4.4.3.7.3.2).

171.05.4.4.3.6.2.2 *Sensitivity level control (SLC) command.* ACAS must store SLC commands from Mode S ground stations. An SLC command received from a Mode S ground station must remain effective until replaced by an SLC command from the same ground station as indicated by the site number contained in the IIS subfield of the interrogation. If an existing stored command from a Mode S ground station is not refreshed within 4 minutes, or if the SLC command received has the value 15 (171.05.4.4.3.8.4.2.1.1), the stored SLC command for that Mode S ground station must be set to 0.

#### **171.05.4.4.3.6.3 Provisions For Data Transfer Between Acas And Its Mode S Transponder**

171.05.4.4.3.6.3.1 Data transfer from ACAS to its Mode S transponder:

- a) ACAS must transfer RA information to its Mode S transponder for transmission in an RA report (171.05.4.4.3.8.4.2.2.1) and in a coordination reply (171.05.4.4.3.8.4.2.4.2);
- b) ACAS must transfer current sensitivity level to its Mode S transponder for transmission in a sensitivity level report (171.05.4.4.3.8.4.2.5); and
- c) ACAS must transfer capability information to its Mode S transponder for transmission in a data link capability report (171.05.4.4.3.8.4.2.2.2).



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171.05.4.4.3.6.3.2 Data transfer from Mode S transponder to its ACAS:

- a) ACAS must receive from its Mode S transponder sensitivity level control commands (171.05.4.4.3.8.4.2.1.1) transmitted by Mode S ground stations;
- b) ACAS must receive from its Mode S transponder ACAS broadcast messages (171.05.4.4.3.8.4.2.3.3) transmitted by other ACAS; and
- c) ACAS must receive from its Mode S transponder resolution messages (171.05.4.4.3.8.4.2.3.2) transmitted by other ACAS for air-air coordination purposes.

#### **171.05.4.4.3.7 ACAS protocols**

##### **171.05.4.4.3.7.1 Surveillance Protocols**

###### **171.05.4.4.3.7.1.1 Surveillance Of Mode A/C Transponders**

171.05.4.4.3.7.1.1.1 ACAS must use the Mode C-only all-call interrogation (Chapter 3, 171.05.4.3.1.2.1.5.1.2) for surveillance of aircraft equipped with Mode A/C transponders.

171.05.4.4.3.7.1.1.2 Using a sequence of interrogations with increasing power, surveillance interrogations must be preceded by an  $S_1$ -pulse (Chapter 3, 3.1.1.7.4.3) to reduce interference and improve Mode A/C target detection.

###### **171.05.4.4.3.7.1.2 Surveillance Of Mode S Transponders**

171.05.4.4.3.7.1.2.1 *Detection.* ACAS must monitor 1 090 MHz for Mode S acquisition squitters (DF = 11). ACAS must detect the presence and determine the address of Mode S-equipped aircraft using their Mode S acquisition squitters (DF = 11) or extended squitters (DF = 17).

*Note 1.— It is acceptable to acquire individual aircraft using either acquisition or extended squitters (DF = 11 or DF = 17), and to monitor for both squitters. However, ACAS must monitor for acquisition squitters because, at any time, not all aircraft will transmit the extended squitter.*



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*Note 2.— If, in the future, it becomes permitted for aircraft not to transmit the acquisition squitter, relying instead on continual transmission of the extended squitter, it would become essential for all ACAS units to monitor for both the acquisition and the extended squitters.*

171.05.4.4.3.7.1.2.2 *Surveillance interrogations.* On first receipt of a 24-bit aircraft address from an aircraft that is determined to be within the reliable surveillance range of ACAS based on reception reliability and that is within an altitude band 3 050 m (10 000 ft) above and below own aircraft, ACAS must transmit a short air-air interrogation (UF = 0) for range acquisition. Surveillance interrogations must be transmitted at least once every five cycles when this altitude condition is satisfied. Surveillance interrogations must be transmitted each cycle if the range of the detected aircraft is less than 5.6 km (3 NM) or the calculated time to closest approach is less than 60 s, assuming that both the detected and own aircraft proceed from their current positions with unaccelerated motion and that the range at closest approach equals 5.6 km (3 NM). Surveillance interrogations must be suspended for a period of five cycles if:

- a) a reply was successfully received; and
- b) own aircraft and intruder aircraft are operating below a pressure-altitude of 5 490 m (18 000 ft); and
- c) the range of the detected aircraft is greater than 5.6 km (3 NM) and the calculated time to closest approach exceeds 60 seconds, assuming that both the detected and own aircraft proceed from their current positions with unaccelerated motion and that the range at closest approach equals 5.6 km (3 NM).

171.05.4.4.3.7.1.2.2.1 *Range acquisition interrogations.* ACAS must use the short air-air surveillance format (UF = 0) for range acquisition. ACAS must set AQ = 1 (Chapter 3, 171.05.4.3.1.2.8.1.1) and RL = 0 (Chapter 3, 171.05.4.3.1.2.8.1.2) in an acquisition interrogation.

*Note 1.— Setting AQ = 1 results in a reply with bit 14 of the RI field equal to 1 and serves as an aid in distinguishing the reply to own interrogation from replies elicited from other ACAS units (171.05.4.4.3.7.1.2.2.2).*



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*Note 2.— In the acquisition interrogation RL is set to 0 to command a short acquisition reply (DF = 0).*

171.05.4.4.3.7.1.2.2.2 *Tracking interrogations.* ACAS must use the short air-air surveillance format (UF = 0) with RL = 0 and AQ = 0 for tracking interrogations.

171.05.4.4.3.7.1.2.3 *Surveillance replies.* These protocols are described in 171.05.4.4.3.11.3.1.

171.05.4.4.3.7.1.2.4 *ACAS broadcast.* An ACAS broadcast must be made nominally every 8 to 10 s at full power from the top antenna. Installations using directional antennas must operate such that complete circular coverage is provided nominally every 8 to 10 s.

*Note.— A broadcast causes other Mode S transponders to accept the interrogation without replying and to present the interrogation content containing the MU field at the transponder output data interface. The UDS1 = 3, UDS2 = 2 combination identifies the data as an ACAS broadcast containing the 24-bit address of the interrogating ACAS aircraft. This provides each ACAS with a means of determining the number of other ACAS within its detection range for limiting interference. The format of the MU field is described in 171.05.4.4.3.8.4.2.3.*

#### **171.05.4.4.3.7.2 Air-Air Coordination Protocols**

171.05.4.4.3.7.2.1 *Coordination interrogations.* ACAS must transmit UF = 16 interrogations (Chapter 3, 171.05.4.3.1.2.3.2, Figure 3-7) with AQ = 0 and RL = 1 when another aircraft reporting RI = 3 or 4 is declared a threat (171.05.4.4.3.4). The MU field must contain the resolution message in the subfields specified in 171.05.4.4.3.8.4.2.3.2.

*Note 1.— A UF = 16 interrogation with AQ = 0 and RL = 1 is intended to cause a DF = 16 reply from the other aircraft.*

*Note 2.— An aircraft reporting RI = 3 or RI = 4 is an aircraft equipped with an operating ACAS which has vertical only or vertical and horizontal resolution capability, respectively.*

171.05.4.4.3.7.2.2 *Coordination reply.* These protocols are described in 171.05.4.4.3.11.3.2.

#### **171.05.4.4.3.7.3 Protocols For Acas Communication With Ground Stations**



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171.05.4.4.3.7.3.1 *RA reports to Mode S ground stations.* These protocols are described in 171.05.4.4.3.11.4.1.

171.05.4.4.3.7.3.2 *RA broadcasts.* RA broadcasts must be transmitted at full power from the bottom antenna at jittered, nominally 8 s intervals for the period that the RA is indicated. The RA broadcast must include the MU field as specified in 171.05.4.4.3.8.4.2.3.4. The RA broadcast must describe the most recent RA that existed during the preceding 8 s period. Installations using directional antennas must operate such that complete circular coverage is provided nominally every 8 s and the same RA sense and strength is broadcast in each direction.

171.05.4.4.3.7.3.3 *Data link capability report.* These protocols are described in 171.05.4.4.3.11.4.2.

171.05.4.4.3.7.3.4 *ACAS sensitivity level control.* ACAS must act upon an SLC command if and only if TMS (Chapter 3, 171.05.4.3.1.2.6.1.4.1) has the value 0 and DI is either 1 or 7 in the same interrogation.

#### **171.05.4.4.3.8 Signal formats**

171.05.4.4.3.8.1 The RF characteristics of all ACAS signals must conform to the Standards of Chapter 3, 171.05.4.3.1.1.1 through 171.05.4.3.1.1.6, 171.05.4.3.1.2.1 through 171.05.4.3.1.2.3, 3.1.2.5 and 171.05.4.3.1.2.8.

#### **171.05.4.4.3.8.2 Relationship Between Acas And Mode S Signal Formats**

*Note.— ACAS uses Mode S transmissions for surveillance and communications. ACAS air-air communication functions permit RA decisions to be coordinated with ACAS-equipped threats. ACAS air-ground communication functions permit the reporting of RAs to ground stations and the uplinking of commands to ACAS-equipped aircraft to control parameters of the collision avoidance algorithms.*

171.05.4.4.3.8.3 *Signal format conventions.* The data encoding of all ACAS signals must conform to the Standards of Chapter 3, 171.05.4.3.1.2.3.



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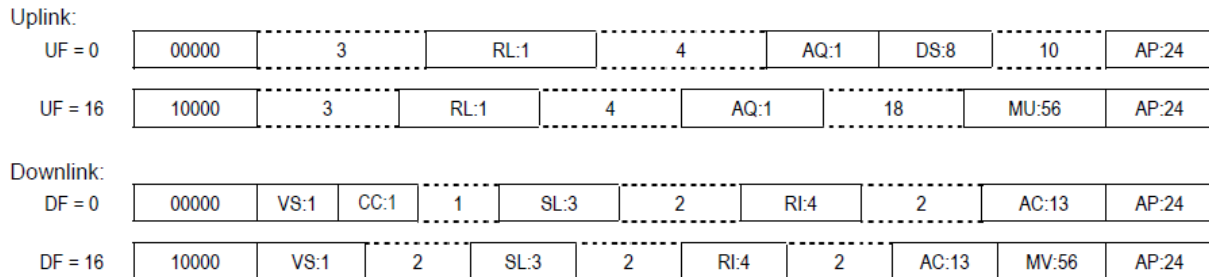
*Note.— In air-air transmissions used by ACAS, interrogations transmitted at 1 030 MHz are designated as uplink transmissions and contain uplink format (UF) codes. Replies received at 1 090 MHz are designated as downlink transmissions and contain downlink format (DF) codes.*

**171.05.4.4.3.8.4 FIELD DESCRIPTION**

*Note 1.— The air-air surveillance and communication formats which are used by ACAS but not fully described in Chapter 3, 171.05.4.3.1.2 are given in Figure 4-1.*

*Note 2.— This section defines the Mode S fields (and their subfields) that are processed by ACAS to accomplish ACAS functions. Some of the ACAS fields (those also used for other SSR Mode S functions) are described with unassigned ACAS codes in Chapter 3, 171.05.4.3.1.2.6. Such codes are assigned in 171.05.4.4.3.8.4.1. Fields and subfields used only by ACAS equipment are assigned in 171.05.4.4.3.8.4.2.*

*Note 3.— The bit numbering convention used in 171.05.4.4.3.8.4 reflects the bit numbering within the entire uplink or downlink format rather than the bits within individual fields or subfields.*



**Figure 4-1. Surveillance and communication formats used by ACAS**

**171.05.4.4.3.8.4.1 Fields And Subfields Introduced In Chapter 3, 171.05.4.3.1.2**

*Note.— Codes for mission fields and subfields that are designated “reserved for ACAS” in Chapter 3, 171.05.4.3.1.2, are specified in this section.*



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171.05.4.4.3.8.4.1.1 *DR (downlink request)*. The significance of the coding of the downlink request field must be as follows:

*Coding*

- 0-1 See Chapter 3, 171.05.4.3.1.2.6.5.2
- 2 ACAS message available
- 3 Comm-B message available and ACAS message available
- 4-5 See Chapter 3, 171.05.4.3.1.2.6.5.2
- 6 Comm-B broadcast message 1 available and ACAS message available
- 7 Comm-B broadcast message 2 available and ACAS message available 8-31 See Chapter 3, 171.05.4.3.1.2.6.5.2

171.05.4.4.3.8.4.1.2 *RI (air-air reply information)*. The significance of the coding in the RI field must be as follows:

*Coding*

- 0 No operating ACAS
- 1 Not assigned
- 2 ACAS with resolution capability inhibited
- 3 ACAS with vertical-only resolution capability
- 4 ACAS with vertical and horizontal resolution capability
- 5-7 Not assigned
- 8-15 See Chapter 3, 171.05.4.3.1.2.8.2.2

Bit 14 of the reply format containing this field must replicate the AQ bit of the interrogation. The RI field must report “no operating ACAS” (RI = 0) if the ACAS unit has failed or is in standby. The RI field must report “ACAS with resolution capability inhibited” (RI = 2) if sensitivity level is 2 or TA only mode has been selected.

*Note.* — Codes 0-7 in the RI field indicate that the reply is a tracking reply and also give the ACAS capability of the interrogated aircraft. Codes 8-15 indicate that the reply is an acquisition reply and also give the maximum true airspeed capability of the interrogated aircraft.

171.05.4.4.3.8.4.1.3 *RR (reply request)*. The significance of the coding in the reply request field must be as follows:



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*Coding*

0-18 See Chapter 3, 171.05.4.3.1.2.6.1.2

19 Transmit a resolution advisory report

20-31 See Chapter 3, 171.05.4.3.1.2.6.1.2

**171.05.4.4.3.8.4.2 Acas Fields And Subfields**

*Note.* — The following paragraphs describe the location and coding of those fields and subfields that are not defined in Chapter 3, 171.05.4.3.1.2 but are used by aircraft equipped with ACAS.

**171.05.4.4.3.8.4.2.1 Subfield in MA**

171.05.4.4.3.8.4.2.1.1 *ADS (A-definition subfield)*. This 8-bit (33-40) subfield must define the remainder of MA.

*Note.* — For convenience of coding, ADS is expressed in two groups of four bits each, ADS1 and ADS2.

171.05.4.4.3.8.4.2.1.2 When ADS1 = 0 and ADS2 = 5, the following subfield must be contained in MA:

171.05.4.4.3.8.4.2.1.3 *SLC (ACAS sensitivity level control (SLC) command)*. This 4-bit (41-44) subfield must denote a sensitivity level command for own ACAS.

*Coding*

0 No command issued

1 Not assigned

2 Set ACAS sensitivity level to 2

3 Set ACAS sensitivity level to 3

4 Set ACAS sensitivity level to 4

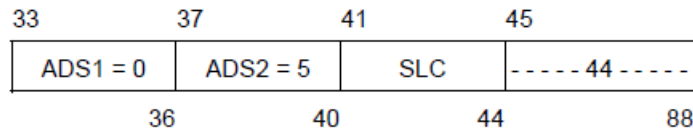
5 Set ACAS sensitivity level to 5

6 Set ACAS sensitivity level to 6

7-14 Not assigned

15 Cancel previous SLC command from this ground station

*Note.* — Structure of MA for a sensitivity level control command:



**171.05.4.4.3.8.4.2.2 Subfields in MB**

171.05.4.4.3.8.4.2.2.1 *Subfields in MB for an RA report.* When BDS1=3 and BDS2=0, the subfields indicated below must be contained in MB.

*Note.— The requirements for communication of information relating to the current or recent RAs is described in 171.05.4.4.3.11.4.1.*

171.05.4.4.3.8.4.2.2.1.1 *ARA (active RAs).* This 14-bit (41-54) subfield must indicate the characteristics of the RA, if any, generated by the ACAS associated with the transponder transmitting the subfield (171.05.4.4.3.6.2.1 a)). The bits in ARA must have meanings determined by the value of the MTE subfield (171.05.4.4.3.8.4.2.2.1.4) and, for vertical RAs, the value of bit 41 of ARA. The meaning of bit 41 of ARA must be as follows:

*Coding*

- 0 There is more than one threat and the RA is intended to provide separation below some threat(s) and above some other threat(s) or no RA has been generated (when MTE = 0)
- 1 Either there is only one threat or the RA is intended to provide separation in the same direction for all threats

When ARA bit 41 = 1 and MTE = 0 or 1, bits 42-47 must have the following meanings:

<i>Bit</i>	<i>Coding</i>
42	0 RA is preventive 1 RA is corrective
43	0 Upward sense RA has been generated 1 Downward sense RA has been generated
44	0 RA is not increased rate 1 RA is increased rate
45	0 RA is not a sense reversal 1 RA is a sense reversal



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46	0	RA is not altitude crossing
	1	RA is altitude crossing
47	0	RA is vertical speed limit
	1	RA is positive
48-54		Reserved for ACAS III

When ARA bit 41 = 0 and MTE = 1, bits 42-47 must have the following meanings:

*Bit Coding*

42	0	RA does not require a correction in the upward sense
	1	RA requires a correction in the upward sense
43	0	RA does not require a positive climb
	1	RA requires a positive climb
44	0	RA does not require a correction in the downward sense
	1	RA requires a correction in the downward sense
45	0	RA does not require a positive descend
	1	RA requires a positive descend
46	0	RA does not require a crossing
	1	RA requires a crossing
47	0	RA is not a sense reversal
	1	RA is a sense reversal
48-54		Reserved for ACAS III

*Note.* — When ARA bit 41 = 0 and MTE = 0, no vertical RA has been generated.

171.05.4.4.3.8.4.2.2.1.2 *RAC (RACs record)*. This 4-bit (55-58) subfield must indicate all the currently active RACs, if any, received from other ACAS aircraft. The bits in RAC must have the following meanings:

*Bit Resolution advisory complement*

55	Do not pass below
56	Do not pass above
57	Do not turn left
58	Do not turn right



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A bit set to 1 must indicate that the associated RAC is active. A bit set to 0 must indicate that the associated RAC is inactive.

171.05.4.4.3.8.4.2.2.1.3 *RAT (RA terminated indicator)*. This 1-bit (59) subfield must indicate when an RA previously generated by ACAS has ceased being generated.

*Coding*

- 0 ACAS is currently generating the RA indicated in the ARA subfield
- 1 The RA indicated by the ARA subfield has been terminated (171.05.4.4.3.11.4.1)

*Note 1.— After an RA has been terminated by ACAS, it is still required to be reported by the Mode S transponder for  $18\pm 1$  s (171.05.4.4.3.11.4.1). The RA terminated indicator may be used, for example, to permit timely removal of an RA indication from an air traffic controller's display, or for assessments of RA duration within a particular airspace.*

*Note 2.— RAs may terminate for a number of reasons: normally, when the conflict has been resolved and the threat is diverging in range; or when the threat's Mode S transponder for some reason ceases to report altitude during the conflict. The RA terminated indicator is used to show that the RA has been removed in each of these cases.*

171.05.4.4.3.8.4.2.2.1.4 *MTE (multiple threat encounter)*. This 1-bit (60) subfield must indicate whether two or more simultaneous threats are currently being processed by the ACAS threat resolution logic.

*Coding*

- 0 One threat is being processed by the resolution logic (when ARA bit 41 = 1); or no threat is being processed by the resolution logic (when ARA bit 41 = 0)
- 1 Two or more simultaneous threats are being processed by the resolution logic

171.05.4.4.3.8.4.2.2.1.5 *TTI (threat type indicator subfield)*. This 2-bit subfield (61-62) must define the type of identity data contained in the TID subfield.

*Coding*

- 0 No identity data in TID
- 1 TID contains a Mode S transponder address
- 2 TID contains altitude, range and bearing data
- 3 Not assigned



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171.05.4.4.3.8.4.2.2.1.6 *TID (threat identity data subfield)*. This 26-bit subfield (63-88) must contain the Mode S address of the threat or the altitude, range, and bearing if the threat is not Mode S equipped. If two or more threats are simultaneously processed by the ACAS resolution logic, TID must contain the identity or position data for the most recently declared threat. If TTI = 1, TID must contain in bits 63-86 the aircraft address of the threat, and bits 87 and 88 must be set to 0. If TTI = 2, TID must contain the following three subfields.

171.05.4.4.3.8.4.2.2.1.6.1 *TIDA (threat identity data altitude subfield)*. This 13-bit subfield (63-75) must contain the most recently reported Mode C altitude code of the threat.

*Coding*

Bit	63	64	65	66	67	68	69	70	71	72	73	74	75
Mode C code bit	C <sub>1</sub>	A <sub>1</sub>	C <sub>2</sub>	A <sub>2</sub>	C <sub>4</sub>	A <sub>4</sub>	0	B <sub>1</sub>	D <sub>1</sub>	B <sub>2</sub>	D <sub>2</sub>	B <sub>4</sub>	D <sub>4</sub>

171.05.4.4.3.8.4.2.2.1.6.2 *TIDR (threat identity data range subfield)*. This 7-bit subfield (76-82) must contain the most recent threat range estimated by ACAS.

*Coding (n)*

<i>n</i>	<i>Estimated range (NM)</i>
0	No range estimate available
1	Less than 0.05
2-126	$(n-1)/10 \pm 0.05$
127	Greater than 12.55

171.05.4.4.3.8.4.2.2.1.6.3 *TIDB (threat identity data bearing subfield)*. This 6-bit subfield (83-88) must contain the most recent estimated bearing of the threat aircraft, relative to the ACAS aircraft heading.

*Coding (n)*

<i>n</i>	<i>Estimated bearing (degrees)</i>
0	No bearing estimate available
1-60	Between $6(n-1)$ and $6n$
61-63	<i>Not assigned</i>

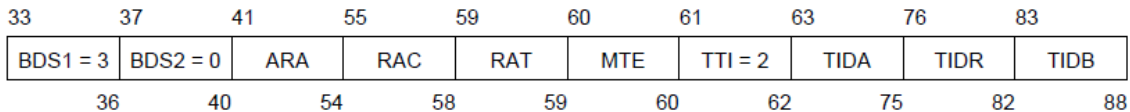
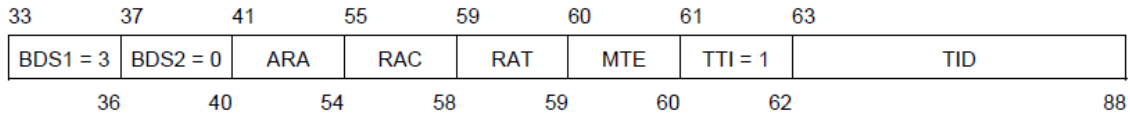


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*Note.* — Structure of MB for an RA report:



171.05.4.4.3.8.4.2.2.2 *Subfields in MB for the data link capability report.* When BDS1 = 1 and BDS2 = 0, the following bit patterns must be provided to the transponder for its data link capability report:

*Bit Coding*

- 48 0 ACAS failed or on standby
- 1 ACAS operating
- 69 0 Hybrid surveillance not operational
- 1 Hybrid surveillance fitted and operational
- 70 0 ACAS generating TAs only
- 1 ACAS generating TAs and RAs

- |        |        |   |
|--------|--------|---|
| Bit 72 | Bit 71 | ACAS version                              |
| 0      | 0      | RTCA/DO-185 (pre-ACAS)                    |
| 0      | 1      | RTCA/DO-185A                              |
| 1      | 0      | RTCA/DO-185B & EUROCAE ED 143             |
| 1      | 1      | Reserved for future versions (see Note 3) |

*Note 1.* — A summary of the MB subfields for the data link capability report structure is described in Chapter 3, 171.05.4.3.1.2.6.10.2.2.

*Note 2.* — The use of hybrid surveillance to limit ACAS active interrogations is described in 171.05.4.4.5.1. The ability only to support decoding of DF = 17 extended squitter messages is not sufficient to set bit 69.



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*Note 3.— Future versions of ACAS will be identified using part numbers and software version numbers specified in registers E5<sub>16</sub> and E6<sub>16</sub>.*

171.05.4.4.3.8.4.2.3 *MU field.* This 56-bit (33-88) field of long air-air surveillance interrogations (Figure 4-1) must be used to transmit resolution messages, ACAS broadcasts and RA broadcasts.

171.05.4.4.3.8.4.2.3.1 *UDS (U-definition subfield).* This 8-bit (33-40) subfield must define the remainder of MU.

*Note.— For convenience in coding, UDS is expressed in two groups of four bits each, UDS1 and UDS2.*

171.05.4.4.3.8.4.2.3.2 *Subfields in MU for a resolution message.* When UDS1 = 3 and UDS2 = 0 the following subfields must be contained in MU:

171.05.4.4.3.8.4.2.3.2.1 *MTB (multiple threat bit).* This 1-bit (42) subfield must indicate the presence or absence of multiple threats.

*Coding*

- 0 Interrogating ACAS has one threat
- 1 Interrogating ACAS has more than one threat

171.05.4.4.3.8.4.2.3.2.2 *VRC (vertical RAC).* This 2-bit (45-46) subfield must denote a vertical RAC relating to the addressed aircraft.

*Coding*

- 0 No vertical RAC sent
- 1 Do not pass below
- 2 Do not pass above
- 3 Not assigned

171.05.4.4.3.8.4.2.3.2.3 *CVC (cancel vertical RAC).* This 2-bit (43-44) subfield must denote the cancellation of a vertical RAC previously sent to the addressed aircraft. This subfield must be set to 0 for a new threat.



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*Coding*

- 0 No cancellation
- 1 Cancel previously sent “Do not pass below”
- 2 Cancel previously sent “Do not pass above”
- 3 Not assigned

171.05.4.4.3.8.4.2.3.2.4 *HRC (horizontal RAC)*. This 3-bit (50-52) subfield must denote a horizontal RAC relating to the addressed aircraft.

*Coding*

- 0 No horizontal RAC or no horizontal resolution capability
- 1 Other ACAS sense is turn left; do not turn left
- 2 Other ACAS sense is turn left; do not turn right 3 Not assigned
- 4 Not assigned
- 5 Other ACAS sense is turn right; do not turn left
- 6 Other ACAS sense is turn right; do not turn right
- 7 Not assigned

171.05.4.4.3.8.4.2.3.2.5 *CHC (cancel horizontal RAC)*. This 3-bit (47-49) subfield must denote the cancellation of a horizontal RAC previously sent to the addressed aircraft. This subfield must be set to 0 for a new threat.

*Coding*

- 0 No cancellation or no horizontal resolution capability
- 1 Cancel previously sent “Do not turn left”
- 2 Cancel previously sent “Do not turn right”
- 3-7 Not assigned

171.05.4.4.3.8.4.2.3.2.6 *VSB (vertical sense bits subfield)*. This 4-bit (61-64) subfield must be used to protect the data in the CVC and VRC subfields. For each of the 16 possible combinations of bits 43-46 the following VSB code must be transmitted:



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Coding	CVC		VRC		VSB			
	43	44	45	46	61	62	63	64
0	0	0	0	0	0	0	0	0
1	0	0	0	1	1	1	1	0
2	0	0	1	0	0	1	1	1
3	0	0	1	1	1	0	0	1
4	0	1	0	0	1	0	1	1
5	0	1	0	1	0	1	0	1
6	0	1	1	0	1	1	0	0
7	0	1	1	1	0	0	1	0
8	1	0	0	0	1	1	0	1
9	1	0	0	1	0	0	1	1
10	1	0	1	0	1	0	1	0
11	1	0	1	1	0	1	0	0
12	1	1	0	0	0	1	1	0
13	1	1	0	1	1	0	0	0
14	1	1	1	0	0	0	0	1
15	1	1	1	1	1	1	1	1

*Note.— The rule used to generate the VSB subfield bit setting is a distance 3 Hamming code augmented with a parity bit, producing the ability to detect up to three errors in the eight transmitted bits.*

171.05.4.4.3.8.4.2.3.2.7 *HSB (horizontal sense bits subfield)*. This 5-bit (56-60) subfield must be used to protect the data in the CHC and HRC subfields. For each of the 64 possible combinations of bits 47-52 the following HSB code must be transmitted:



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Coding	CHC				HRC			HSB				
	47	48	49	50	51	52	56	57	58	59	60	
0	0	0	0	0	0	0	0	0	0	0	0	
1	0	0	0	0	0	1	0	1	0	1	1	
2	0	0	0	0	1	0	1	0	0	1	1	
3	0	0	0	0	1	1	1	1	0	0	0	
4	0	0	0	1	0	0	1	1	1	0	0	
5	0	0	0	1	0	1	1	0	1	1	1	
6	0	0	0	1	1	0	0	1	1	1	1	
7	0	0	0	1	1	1	0	0	1	0	0	
8	0	0	1	0	0	0	0	1	1	0	1	
9	0	0	1	0	0	1	0	0	1	1	0	
10	0	0	1	0	1	0	1	1	1	1	0	
11	0	0	1	0	1	1	1	0	1	0	1	
12	0	0	1	1	0	0	1	0	0	0	1	
13	0	0	1	1	0	1	1	1	0	1	0	
14	0	0	1	1	1	0	0	0	0	1	0	
15	0	0	1	1	1	1	0	1	0	0	1	
16	0	1	0	0	0	0	1	0	1	0	1	
17	0	1	0	0	0	1	1	1	1	1	0	
18	0	1	0	0	1	0	0	0	1	1	0	
19	0	1	0	0	1	1	0	1	1	0	1	
20	0	1	0	1	0	0	0	1	0	0	1	
21	0	1	0	1	0	1	0	0	0	1	0	
22	0	1	0	1	1	0	1	1	0	1	0	
23	0	1	0	1	1	1	1	0	0	0	1	
24	0	1	1	0	0	0	1	1	0	0	0	
25	0	1	1	0	0	1	1	0	0	1	1	
26	0	1	1	0	1	0	0	1	0	1	1	
27	0	1	1	0	1	1	0	0	0	0	0	
28	0	1	1	1	0	0	0	0	1	0	0	
29	0	1	1	1	0	1	0	1	1	1	1	
30	0	1	1	1	1	0	1	0	1	1	1	
31	0	1	1	1	1	1	1	1	1	0	0	
32	1	0	0	0	0	0	1	1	0	0	1	
33	1	0	0	0	0	1	1	0	0	1	0	
34	1	0	0	0	1	0	0	1	0	1	0	
35	1	0	0	0	1	1	0	0	0	0	1	
36	1	0	0	1	0	0	0	0	1	0	1	
37	1	0	0	1	0	1	0	1	1	1	0	
38	1	0	0	1	1	0	1	0	1	1	0	
39	1	0	0	1	1	1	1	1	1	0	1	
40	1	0	1	0	0	0	1	0	1	0	0	
41	1	0	1	0	0	1	1	1	1	1	1	
42	1	0	1	0	1	0	0	0	1	1	1	
43	1	0	1	0	1	1	0	1	1	0	0	
44	1	0	1	1	0	0	0	1	0	0	0	



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Coding	CHC						HRC					HSB				
	47	48	49	50	51	52	56	57	58	59	60	56	57	58	59	60
45	1	0	1	1	0	1	0	0	0	1	1	0	0	0	1	1
46	1	0	1	1	1	0	1	1	0	1	1	1	1	0	1	1
47	1	0	1	1	1	1	1	0	0	0	0	1	0	0	0	0
48	1	1	0	0	0	0	0	1	0	0	1	0	1	1	0	0
49	1	1	0	0	0	1	0	0	0	1	0	0	0	1	1	1
50	1	1	0	0	1	0	1	1	1	1	1	1	1	1	1	1
51	1	1	0	0	1	1	1	0	1	0	1	0	1	0	0	0
52	1	1	0	1	0	0	1	0	0	0	1	0	0	0	0	0
53	1	1	0	1	0	1	1	1	1	0	1	1	0	1	1	1
54	1	1	0	1	1	0	0	0	0	0	0	0	0	1	1	1
55	1	1	0	1	1	1	0	1	0	0	0	1	0	0	0	0
56	1	1	1	0	0	0	0	0	0	0	0	0	0	0	0	1
57	1	1	1	0	0	1	0	1	0	0	1	0	1	0	1	0
58	1	1	1	0	1	0	1	0	0	0	1	0	0	1	0	0
59	1	1	1	0	1	1	1	1	1	0	0	1	1	0	0	1
60	1	1	1	1	0	0	1	1	1	1	0	1	1	1	0	1
61	1	1	1	1	0	1	1	0	1	1	0	1	0	1	1	0
62	1	1	1	1	1	0	0	1	1	1	1	0	1	1	1	0
63	1	1	1	1	1	1	0	0	1	1	0	0	0	1	0	1

*Note.*— The rule used to generate the HSB subfield bit setting is a distance 3 Hamming code augmented with a parity bit, producing the ability to detect up to three errors in the eleven transmitted bits.

171.05.4.4.4.3.8.4.2.3.2.8 *MID (Aircraft address).* This 24-bit (65-88) subfield must contain the 24-bit aircraft address of the interrogating ACAS aircraft.

*Note.*— Structure of MU for a resolution message:

33	37	41	42	43	45	47	50	53	56	61	65
UDS1 = 3	UDS2 = 0	-1-	MTB	CVC	VRC	CHC	HRC	-3-	HSB	VSB	MID
36	40	41	42	44	46	49	52	55	60	64	88



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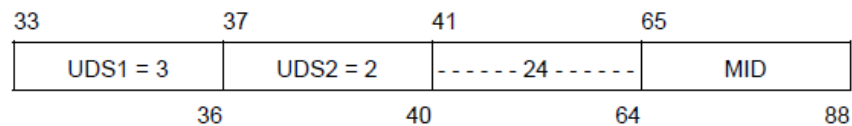
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171.05.4.4.3.8.4.2.3.3 *Subfield in MU for an ACAS broadcast.* When UDS1 = 3 and UDS2 = 2, the following subfield must be contained in MU:

171.05.4.4.3.8.4.2.3.3.1 *MID (Aircraft address).* This 24-bit (65-88) subfield must contain the 24-bit aircraft address of the interrogating ACAS aircraft.

*Note. — Structure of MU for an ACAS broadcast:*



171.05.4.4.3.8.4.2.3.4 *Subfields in MU for an RA broadcast.* When UDS1 = 3 and UDS2 = 1, the following subfields must be contained in MU:

171.05.4.4.3.8.4.2.3.4.1 *ARA (active RAs).* This 14-bit (41-54) subfield must be coded as defined in 171.05.4.4.3.8.4.2.2.1.1.

171.05.4.4.3.8.4.2.3.4.2 *RAC (RACs record).* This 4-bit (55-58) subfield must be coded as defined in 171.05.4.4.3.8.4.2.2.1.2.

171.05.4.4.3.8.4.2.3.4.3 *RAT (RA terminated indicator).* This 1-bit (59) subfield must be coded as defined in 171.05.4.4.3.8.4.2.2.1.3.

171.05.4.4.3.8.4.2.3.4.4 *MTE (multiple threat encounter).* This 1-bit (60) subfield must be coded as defined in 171.05.4.4.3.8.4.2.2.1.4.

171.05.4.4.3.8.4.2.3.4.5 *AID (Mode A identity code).* This 13-bit (63-75) subfield must denote the Mode A identity code of the reporting aircraft.

*Coding*

Bit	63	64	65	66	67	68	69	70	71	72	73	74	75
Mode A code bit	A <sub>4</sub>	A <sub>2</sub>	A <sub>1</sub>	B <sub>4</sub>	B <sub>2</sub>	B <sub>1</sub>	0	C <sub>4</sub>	C <sub>2</sub>	C <sub>1</sub>	D <sub>4</sub>	D <sub>2</sub>	D <sub>1</sub>



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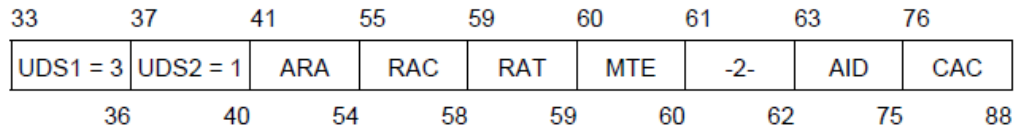
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171.05.4.4.3.8.4.2.3.4.6 *CAC (Mode C altitude code)*. This 13-bit (76-88) subfield must denote the Mode C altitude code of the reporting aircraft.

*Coding*

Bit	76	77	78	79	80	81	82	83	84	85	86	87	88
Mode C code bit	C <sub>1</sub>	A <sub>1</sub>	C <sub>2</sub>	A <sub>2</sub>	C <sub>4</sub>	A <sub>4</sub>	0	B <sub>1</sub>	D <sub>1</sub>	B <sub>2</sub>	D <sub>2</sub>	B <sub>4</sub>	D <sub>4</sub>

*Note.* — Structure of MU for an RA broadcast:



171.05.4.4.3.8.4.2.4 *MV field*. This 56-bit (33-88) field of long air-air surveillance replies (Figure 4-1) must be used to transmit air-air coordination reply messages.

171.05.4.4.3.8.4.2.4.1 *VDS (V-definition subfield)*. This 8-bit (33-40) subfield must define the remainder of MV.

*Note.* — For convenience in coding, VDS is expressed in two groups of four bits each, VDS1 and VDS2.

171.05.4.4.3.8.4.2.4.2 *Subfields in MV for a coordination reply*. When VDS1 = 3 and VDS2 = 0, the following subfields must be contained in MV:

171.05.4.4.3.8.4.2.4.2.1 *ARA (active RAs)*. This 14-bit (41-54) subfield must be coded as defined in 171.05.4.4.3.8.4.2.2.1.1.

171.05.4.4.3.8.4.2.4.2.2 *RAC (RACs record)*. This 4-bit (55-58) subfield must be coded as defined in 171.05.4.4.3.8.4.2.2.1.2.

171.05.4.4.3.8.4.2.4.2.3 *RAT (RA terminated indicator)*. This 1-bit (59) subfield must be coded as defined in 171.05.4.4.3.8.4.2.2.1.3.

171.05.4.4.3.8.4.2.4.2.4 *MTE (multiple threat encounter)*. This 1-bit (60) subfield must be coded as defined in 171.05.4.4.3.8.4.2.2.1.4.



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Note. — Structure of MV for a coordination reply:

33	37	41	55	59	60	61
VDS1 = 3	VDS2 = 0	ARA	RAC	RAT	MTE	-28-
36	40	54	58	59	60	88

171.05.4.4.3.8.4.2.5 *SL (sensitivity level report)*. This 3-bit (9-11) downlink field must be included in both short and long air-air reply formats (DF = 0 and 16). This field must denote the sensitivity level at which ACAS is currently operating.

*Coding*

- 0 ACAS inoperative
- 1 ACAS is operating at sensitivity level 1
- 2 ACAS is operating at sensitivity level 2
- 3 ACAS is operating at sensitivity level 3
- 4 ACAS is operating at sensitivity level 4
- 5 ACAS is operating at sensitivity level 5
- 6 ACAS is operating at sensitivity level 6
- 7 ACAS is operating at sensitivity level 7

171.05.4.4.3.8.4.2.6 *CC: Cross-link capability*. This 1-bit (7) downlink field must indicate the ability of the transponder to support the cross-link capability, i.e. decode the contents of the DS field in an interrogation with UF equals 0 and respond with the contents of the specified GICB register in the corresponding reply with DF equals 16.

*Coding*

- 0 signifies that the transponder cannot support the cross-link capability.
- 1 signifies that the transponder supports the cross-link capability.

**171.05.4.4.3.9 ACAS equipment characteristics**

171.05.4.4.3.9.1 *Interfaces*. As a minimum, the following input data must be provided to the ACAS:

- a) aircraft address code;



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- b) air-air and ground-air Mode S transmissions received by the Mode S transponder for use by ACAS (171.05.4.4.3.6.3.2);
- c) own aircraft's maximum cruising true airspeed capability (Chapter 3, 171.05.4.3.1.2.8.2.2);
- d) pressure-altitude; and
- e) radio altitude.

*Note.* — *Specific requirements for additional inputs for ACAS II and III are listed in the appropriate sections below.*

171.05.4.4.3.9.2 *Aircraft antenna system.* ACAS must transmit interrogations and receive replies via two antennas, one mounted on the top of the aircraft and the other on the bottom of the aircraft. The top-mounted antenna must be directional and capable of being used for direction finding.

171.05.4.4.3.9.2.1 *Polarization.* Polarization of ACAS transmissions must be nominally vertical.

171.05.4.4.3.9.2.2 *Radiation pattern.* The radiation pattern in elevation of each antenna when installed on an aircraft must be nominally equivalent to that of a quarter-wave monopole on a ground plane.

#### **171.05.4.4.3.9.2.3 Antenna Selection**

171.05.4.4.3.9.2.3.1 *Squitter reception.* ACAS must be capable of receiving squitters via the top and bottom antennas.

171.05.4.4.3.9.2.3.2 *Interrogations.* ACAS interrogations must not be transmitted simultaneously on both antennas.

171.05.4.4.3.9.3 *Pressure-altitude source.* The altitude data for own aircraft provided to ACAS must be obtained from the source that provides the basis for own Mode C or Mode S reports and they must be provided at the finest quantization available.

171.05.4.4.3.9.3.1 **Recommendation.**— *A source providing a resolution finer than 7.62 m (25 ft) may be used.*



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171.05.4.4.3.9.3.2 Where a source providing a resolution finer than 7.62 m (25 ft) is not available, and the only altitude data available for own aircraft is Gilham encoded, at least two independent sources must be used and compared continuously in order to detect encoding errors.

171.05.4.4.3.9.3.3 **Recommendation.**— *Two altitude data sources may be used and compared in order to detect errors before provision to ACAS.*

171.05.4.4.3.9.3.4 The provisions of 4.3.10.3 must apply when the comparison of the two altitude data sources indicates that one of the sources is in error.

#### **171.05.4.4.3.10 Monitoring**

171.05.4.4.3.10.1 *Monitoring function.* ACAS must continuously perform a monitoring function in order to provide a warning if any of the following conditions at least are satisfied:

- a) there is no interrogation power limiting due to interference control (171.05.4.4.3.2.2.2) and the maximum radiated power is reduced to less than that necessary to satisfy the surveillance requirements specified in 171.05.4.4.3.2; or
- b) any other failure in the equipment is detected which results in a reduced capability of providing TAs or RAs; or
- c) data from external sources indispensable for ACAS operation are not provided, or the data provided are not credible.

171.05.4.4.3.10.2 *Effect on ACAS operation.* The ACAS monitoring function must not adversely affect other ACAS functions.

171.05.4.4.3.10.3 *Monitoring response.* When the monitoring function detects a failure (171.05.4.4.3.10.1), ACAS must:

- a) indicate to the flight crew that an abnormal condition exists;
- b) prevent any further ACAS interrogations; and



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- c) cause any Mode S transmission containing own aircraft's resolution capability to indicate that ACAS is not operating.

#### **171.05.4.4.3.11 Requirements for a Mode S transponder used in conjunction with ACAS**

171.05.4.4.3.11.1 *Transponder capabilities.* In addition to the minimum transponder capabilities defined in Chapter 3, 171.05.4.3.1, the Mode S transponder used in conjunction with ACAS must have the following capabilities:

- a) ability to handle the following formats:

<i>Format No.</i>	<i>Format name</i>
UF = 16	Long air-air surveillance interrogation
DF = 16	Long air-air surveillance reply

- b) ability to receive long Mode S interrogations (UF = 16) and generate replies as per 171.05.4.3.1.2.10.3.7.3;
- c) means for delivering the ACAS data content of all accepted interrogations addressed to the ACAS equipment;
- d) antenna diversity (as specified in Chapter 3, 171.05.4.3.1.2.10.4);
- e) mutual suppression capability; and
- f) inactive state transponder output power restriction.

When the Mode S transponder transmitter is in the inactive state, the peak pulse power at 1 090 MHz  $\pm$ 3 MHz at the terminals of the Mode S transponder antenna must not exceed -70 dBm.

#### **171.05.4.4.3.11.2 Data Transfer Between Acas And Its Mode S Transponder**

171.05.4.4.3.11.2.1 Data transfer from ACAS to its Mode S transponder:



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- a) The Mode S transponder must receive from its ACAS RA information for transmission in an RA report (171.05.4.4.3.8.4.2.2.1) and in a coordination reply (171.05.4.4.3.8.4.2.4.2);
- b) the Mode S transponder must receive from its ACAS current sensitivity level for transmission in a sensitivity level report (171.05.4.4.3.8.4.2.5);
- c) the Mode S transponder must receive from its ACAS capability information for transmission in a data link capability report (171.05.4.4.3.8.4.2.2.2) and for transmission in the RI field of air-air downlink formats DF = 0 and DF = 16 (171.05.4.4.3.8.4.1.2); and
- d) the Mode S transponder must receive from its ACAS an indication that RAs are enabled or inhibited for transmission in the RI field of downlink formats 0 and 16.

#### 171.05.4.4.3.11.2.2 Data transfer from Mode S transponder to its ACAS:

- a) The Mode S transponder must transfer to its ACAS received sensitivity level control commands (171.05.4.4.3.8.4.2.1.1) transmitted by Mode S stations;
- b) the Mode S transponder must transfer to its ACAS received ACAS broadcast messages (171.05.4.4.3.8.4.2.3.3) transmitted by other ACASs;
- c) the Mode S transponder must transfer to its ACAS received resolution messages (171.05.4.4.3.8.4.2.3.2) transmitted by other ACASs for air-air coordination purposes; and
- d) the Mode S transponder must transfer to its ACAS own aircraft's Mode A identity data for transmission in an RA broadcast (171.05.4.4.3.8.4.2.3.4.5).

#### 171.05.4.4.3.11.3 Communication Of Acas Information To Other Acas

171.05.4.4.3.11.3.1 *Surveillance reply.* The ACAS Mode S transponder must use the short (DF = 0) or long (DF = 16) surveillance formats for replies to ACAS surveillance interrogations. The surveillance reply must include the VS field as specified in Chapter 3, 171.05.4.3.1.2.8.2, the RI field as specified in Chapter 3, 171.05.4.3.1.2.8.2 and in 171.05.4.4.3.8.4.1.2, and the SL field as specified in 171.05.4.4.3.8.4.2.5.



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171.05.4.4.3.11.3.2 *Coordination reply.* The ACAS Mode S transponder must transmit a coordination reply upon receipt of a coordination interrogation from an equipped threat subject to the conditions of 171.05.4.4.3.11.3.2.1. The coordination reply must use the long air-air surveillance reply format, DF = 16, with the VS field as specified in Chapter 3, 171.05.4.3.1.2.8.2, the RI field as specified in Chapter 3, 171.05.4.3.1.2.8.2 and in 171.05.4.4.3.8.4.1.2, the SL field as specified in 171.05.4.4.3.8.4.2.5 and the MV field as specified in 4.3.8.4.2.4.

171.05.4.4.3.11.3.2.1 The ACAS Mode S transponder must reply with a coordination reply to a coordination interrogation received from another ACAS if and only if the transponder is able to deliver the ACAS data content of the interrogation to its associated ACAS.

#### **171.05.4.4.3.11.4 Communication Of Acas Information To Ground Stations**

171.05.4.4.3.11.4.1 *RA reports to Mode S ground stations.* During the period of an RA and for  $18 \pm 1$  s following the end of the RA, the ACAS Mode S transponder must indicate that it has an RA report by setting the appropriate DR field code in replies to a Mode S sensor as specified in 171.05.4.4.3.8.4.1.1. The RA report must include the MB field as specified in 171.05.4.4.3.8.4.2.2.1. The RA report must describe the most recent RA that existed during the preceding  $18 \pm 1$  s period.

*Note 1.— The last sentence of 171.05.4.4.3.11.4.1 means that for  $18 \pm 1$  s following the end of an RA, all MB subfields in the RA report with the exception of bit 59 (RA terminated indicator) will retain the information reported at the time the RA was last active.*

*Note 2.— Upon receipt of a reply with DR = 2, 3, 6 or 7, a Mode S ground station may request downlink of the RA report by setting RR = 19 and either DI = 7, or DI = 7 and RRS = 0 in a surveillance or Comm-A interrogation to the ACAS aircraft. When this interrogation is received, the transponder replies with a Comm-B reply whose MB field contains the RA report.*

171.05.4.4.3.11.4.2 *Data link capability report.* The presence of an ACAS must be indicated by its Mode S transponder to a ground station in the Mode S data link capability report.



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*Note.* — This indication causes the transponder to set codes in a data link capability report as specified in 171.05.4.4.3.8.4.2.2.2.

**171.05.4.4.3.12 Indications to the flight crew**

**171.05.4.4.3.12.1 Corrective And Preventive Ras**

**Recommendation.** — *Indications to the flight crew may distinguish between preventive and corrective RAs.*

**171.05.4.4.3.12.2 Altitude Crossing Ras**

**Recommendation.** — *If ACAS generates an altitude crossing RA, a specific indication may be given to the flight crew that it is crossing.*

**171.05.4.4.4 PERFORMANCE OF THE ACAS II COLLISION AVOIDANCE LOGIC**

*Note.* — *Caution is to be observed when considering potential improvements to the reference ACAS II system described in Section 3.17 of the Airborne Collision Avoidance System (ACAS) Manual (Doc 9863) since changes may affect more than one aspect of the system performance. It is essential that alternative designs would not degrade the performances of other designs and that such compatibility is demonstrated with a high degree of confidence.*

**171.05.4.4.4.1 Definitions relating to the performance of the collision avoidance logic**

*Note.* — *The notation  $[t_1, t_2]$  is used to indicate the interval between  $t_1$  and  $t_2$ .*

**Altitude layer.** Each encounter is attributed to one of six altitude layers as follows:

Layer	1	2	3	4	5	6
from		2 300 ft	5 000 ft	10 000 ft	20 000 ft	41 000 ft
to	2 300 ft	5 000 ft	10 000 ft	20 000 ft	41 000 ft	



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The altitude layer of an encounter is determined by the average altitude of the two aircraft at closest approach.

*Note.*— For the purposes of defining the performance of the collision avoidance logic, there is no need to specify the physical basis of the altitude measurement or the relationship between altitude and ground level.

**Approach angle.** The difference in the ground headings of the two aircraft at closest approach, with 180 degrees defined as head on and 0 degrees defined as parallel.

**Crossing encounter.** An encounter in which the altitude separation of the two aircraft exceeds 100 ft at the beginning and at the end of the encounter window, and the relative vertical position of two aircraft at the end of the encounter window is reversed from that at the beginning of the encounter window.

**Encounter.** For the purposes of defining the performance of the collision avoidance logic, an encounter consists of two simulated aircraft trajectories. The horizontal coordinates of the aircraft represent the actual position of the aircraft but the vertical coordinate represents an altimeter measurement of altitude.

**Encounter class.** Encounters are classified according to whether or not the aircraft are transitioning at the beginning and end of the encounter window, and whether or not the encounter is crossing.

**Encounter window.** The time interval [ $tca - 40$  s,  $tca + 10$  s].

**Horizontal miss distance (hmd).** The minimum horizontal separation observed in an encounter.

**Level aircraft.** An aircraft that is not transitioning.

**Original trajectory.** The original trajectory of an ACAS-equipped aircraft is that followed by the aircraft in the same encounter when it was not ACAS equipped.



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**Original rate.** The original rate of an ACAS-equipped aircraft at any time is its altitude rate at the same time when it followed the original trajectory.

**Required rate.** For the standard pilot model, the required rate is that closest to the original rate consistent with the RA.

**tca.** Nominally, the time of closest approach. For encounters in the standard encounter model (171.05.4.4.4.2.6), a reference time for the construction of the encounter at which various parameters, including the vertical and horizontal separation (*vmd* and *hmd*), are specified.

*Note.— Encounters in the standard encounter model (171.05.4.4.4.2.6) are constructed by building the trajectories of the two aircraft outwards starting at tca. When the process is complete, tca may not be the precise time of closest approach and differences of a few seconds are acceptable.*

**Transitioning aircraft.** An aircraft having an average vertical rate with a magnitude exceeding 400 feet per minute (ft/min), measured over some period of interest.

**Turn extent.** A heading difference defined as an aircraft's ground heading at the end of a turn minus its ground heading at the beginning of the turn.

**Vertical miss distance (*vmd*).** Notionally, the vertical separation at closest approach. For encounters in the standard encounter model (171.05.4.4.4.2.6), by construction the vertical separation at the time *tca*.

#### **171.05.4.4.4.2 Conditions under which the requirements apply**

171.05.4.4.4.2.1 The following assumed conditions must apply to the performance requirements specified in 171.05.4.4.4.3 and 171.05.4.4.4.4:

- a) range and bearing measurements and an altitude report are available for the intruder each cycle as long as it is within 14 NM, but not when the range exceeds 14 NM;



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- b) the errors in the range and bearing measurements conform to standard range and bearing error models (171.05.4.4.4.2.2 and 171.05.4.4.4.2.3);
- c) the intruder's altitude reports, which are its Mode C replies, are expressed in 100 ft quanta;
- d) an altitude measurement that has not been quantized and is expressed with a precision of 1 ft or better is available for own aircraft;
- e) errors in the altitude measurements for both aircraft are constant throughout any particular encounter;
- f) the errors in the altitude measurements for both aircraft conform to a standard altimetry error model (171.05.4.4.4.2.4);
- g) the pilot responses to RAs conform to a standard pilot model (171.05.4.4.4.2.5);
- h) the aircraft operate in an airspace in which close encounters, including those in which ACAS generates an RA, conform to a standard encounter model (171.05.4.4.4.2.6);
- i) ACAS-equipped aircraft are not limited in their ability to perform the manoeuvres required by their RAs; and
- j) as specified in 171.05.4.4.4.2.7:
  - 1) the intruder involved in each encounter is not equipped (171.05.4.4.4.2.7 a)); or
  - 2) the intruder is ACAS-equipped but follows a trajectory identical to that in the unequipped encounter (171.05.4.4.4.2.7 b)); or
  - 3) the intruder is equipped with an ACAS having a collision avoidance logic identical to that of own ACAS (171.05.4.4.4.2.7 c)).

*Note.* — The phrase “altitude measurement” refers to a measurement by an altimeter prior to any quantization.



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171.05.4.4.4.2.1.1 The performance of the collision avoidance logic must not degrade abruptly as the statistical distribution of the altitude errors or the statistical distributions of the various parameters that characterize the standard encounter model or the response of pilots to the advisories are varied, when surveillance reports are not available on every cycle or when the quantization of the altitude measurements for the intruder is varied or the altitude measurements for own aircraft are quantized.

**171.05.4.4.4.2.2 Standard Range Error Model**

The errors in the simulated range measurements must be taken from a Normal distribution with mean 0 ft and standard deviation 50 ft.

**171.05.4.4.4.2.3 Standard Bearing Error Model**

The errors in the simulated bearing measurements must be taken from a Normal distribution with mean 0.0 degrees and standard deviation 10.0 degrees.

**171.05.4.4.4.2.4 Standard Altimetry Error Model**

171.05.4.4.4.2.4.1 The errors in the simulated altitude measurements must be assumed to be distributed as a Laplacian distribution with zero mean having probability density

$$p(e) = \frac{1}{2\lambda} \exp\left(-\frac{|e|}{\lambda}\right)$$

171.05.4.4.4.2.4.2 The parameter  $\lambda$  required for the definition of the statistical distribution of altimeter error for each aircraft must have one of two values,  $\lambda_1$  and  $\lambda_2$ , which depend on the altitude layer of the encounter as follows:

Layer	1		2		3		4		5		6	
	m	ft	m	ft	m	ft	m	ft	m	ft	m	ft
$\lambda_1$	10	35	11	38	13	43	17	58	22	72	28	94
$\lambda_2$	18	60	18	60	21	69	26	87	30	101	30	101

171.05.4.4.4.2.4.3 For an aircraft equipped with ACAS the value of  $\lambda$  must be  $\lambda_1$ .



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171.05.4.4.4.2.4.4 For aircraft not equipped with ACAS, the value of  $\lambda$  must be selected randomly using the following probabilities:

<i>Layer</i>	<i>1</i>	<i>2</i>	<i>3</i>	<i>4</i>	<i>5</i>	<i>6</i>
prob( $\lambda_1$ )	0.391	0.320	0.345	0.610	0.610	0.610
prob( $\lambda_2$ )	0.609	0.680	0.655	0.390	0.390	0.390

**171.05.4.4.4.2.5 Standard Pilot Model**

The standard pilot model used in the assessment of the performance of the collision avoidance logic must be that:

- a) any RA is complied with by accelerating to the required rate (if necessary) after an appropriate delay;
- b) when the aircraft’s current rate is the same as its original rate and the original rate complies with the RA, the aircraft continues at its original rate, which is not necessarily constant due to the possibility of acceleration in the original trajectory;
- c) when the aircraft is complying with the RA, its current rate is the same as the original rate and the original rate changes and consequently becomes inconsistent with the RA, the aircraft continues to comply with the RA;
- d) when an initial RA requires a change in altitude rate, the aircraft responds with an acceleration of 0.25 g after a delay of 5 s from the display of the RA;
- e) when an RA is modified and the original rate complies with the modified RA, the aircraft returns to its original rate (if necessary) with the acceleration specified in g) after the delay specified in h);
- f) when an RA is modified and the original rate does not comply with the modified RA, the aircraft responds to comply with the RA with the acceleration specified in g) after the delay specified in h);



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- g) the acceleration used when an RA is modified is  $0.25 g$  unless the modified RA is a reversed sense RA or an increased rate RA in which case the acceleration is  $0.35 g$ ;
- h) the delay used when an RA is modified is  $2.5 s$  unless this results in the acceleration starting earlier than  $5 s$  from the initial RA in which case the acceleration starts  $5 s$  from the initial RA; and
- i) when an RA is cancelled, the aircraft returns to its original rate (if necessary) with an acceleration of  $0.25 g$  after a delay of  $2.5 s$ .

#### **171.05.4.4.4.2.6 Standard Encounter Model**

##### **171.05.4.4.4.2.6.1 Elements Of The Standard Encounter Model**

171.05.4.4.4.2.6.1.1 In order to calculate the effect of ACAS on the risk of collision (171.05.4.4.4.3) and the compatibility of ACAS with air traffic management (ATM) (171.05.4.4.4.4), sets of encounters must be created for each of:

- a) the two aircraft address orderings;
- b) the six altitude layers;
- c) nineteen encounter classes; and
- d) nine or ten *vmd* bins as specified in 171.05.4.4.4.2.6.2.4.

The results for these sets must be combined using the relative weightings given in 171.05.4.4.4.2.6.2.

171.05.4.4.4.2.6.1.1.1 Each set of encounters must contain at least 500 independent, randomly generated encounters.

171.05.4.4.4.2.6.1.1.2 The two aircraft trajectories in each encounter must be constructed with the following randomly selected characteristics:



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a) in the vertical plane:

- 1) a *vmd* from within the appropriate *vmd* bin;
- 2) a vertical rate for each aircraft at the beginning of the encounter window,  $\dot{z}_1$ , and at the end of the encounter window,  $\dot{z}_2$ ;
- 3) a vertical acceleration; and
- 4) a start time for the vertical acceleration; and

b) and in the horizontal plane:

- 1) an *hmd*;
- 2) an approach angle;
- 3) a speed for each aircraft at closest approach;
- 4) a decision for each aircraft whether or not it turns;
- 5) the turn extent; the bank angle; and the turn end time;
- 6) a decision for each aircraft whether or not its speed changes; and
- 7) the magnitude of the speed change.

*Note.* — *It is possible for the selections made for the various characteristics of an encounter to be irreconcilable. When this occurs, the problem can be resolved by discarding either the selection for a particular characteristic or the whole encounter, as most appropriate.*

171.05.4.4.4.2.6.1.3 Two models must be used for the statistical distribution of *hmd* (171.05.4.4.4.2.6.4.1). For calculations of the effect of ACAS on the risk of collision 171.05.4. (4.4.3), *hmd* must be



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constrained to be less than 500 ft. For calculations of the compatibility of ACAS with ATM (171.05.4.4.4.4), *hmd* must be selected from a larger range of values (171.05.4.4.4.2.6.4.1.2).

*Note.* — 171.05.4.4.4.2.6.2 and 171.05.4.4.4.2.6.3 specify vertical characteristics for the aircraft trajectories in the standard encounter model that depend on whether the *hmd* is constrained to be small (“for calculating risk ratio”) or can take larger values (“for ATM compatibility”). Otherwise, the characteristics of the encounters in the vertical and horizontal planes are independent.

**171.05.4.4.4.2.6.2 Encounter Classes And Weights**

171.05.4.4.4.2.6.2.1 *Aircraft address.* Each aircraft must be equally likely to have the higher aircraft address.

171.05.4.4.4.2.6.2.2 *Altitude layers.* The relative weights of the altitude layers must be as follows:

<i>Layer</i>	<i>1</i>	<i>2</i>	<i>3</i>	<i>4</i>	<i>5</i>	<i>6</i>
prob(layer)	0.13	0.25	0.32	0.22	0.07	0.01

**171.05.4.4.4.2.6.2.3 Encounter classes**

171.05.4.4.4.2.6.2.3.1 The encounters must be classified according to whether the aircraft are level (L) or transitioning (T) at the beginning (before *tca*) and end (after *tca*) of the encounter window and whether or not the encounter is crossing, as follows:



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Class	Aircraft No. 1		Aircraft No. 2		Crossing
	before tca	after tca	before tca	after tca	
1	L	L	T	T	yes
2	L	L	L	T	yes
3	L	L	T	L	yes
4	T	T	T	T	yes
5	L	T	T	T	yes
6	T	T	T	L	yes
7	L	T	L	T	yes
8	L	T	T	L	yes
9	T	L	T	L	yes
10	L	L	L	L	no
11	L	L	T	T	no
12	L	L	L	T	no
13	L	L	T	L	no
14	T	T	T	T	no
15	L	T	T	T	no
16	T	T	T	L	no
17	L	T	L	T	no
18	L	T	T	L	no
19	T	L	T	L	no

171.05.4.4.4.2.6.2.3.2 The relative weights of the encounter classes must depend on layer as follows:



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Class	for calculating risk ratio		for ATM compatibility	
	Layers 1-3	Layers 4-6	Layers 1-3	Layers 4-6
1	0.00502	0.00319	0.06789	0.07802
2	0.00030	0.00018	0.00408	0.00440
3	0.00049	0.00009	0.00664	0.00220
4	0.00355	0.0027	0.04798	0.06593
5	0.00059	0.00022	0.00791	0.00549
6	0.00074	0.00018	0.00995	0.00440
7	0.00002	0.00003	0.00026	0.00082
8	0.00006	0.00003	0.00077	0.00082
9	0.00006	0.00003	0.00077	0.00082
10	0.36846	0.10693	0.31801	0.09011
11	0.26939	0.41990	0.23252	0.35386
12	0.06476	0.02217	0.05590	0.01868
13	0.07127	0.22038	0.06151	0.18571
14	0.13219	0.08476	0.11409	0.07143
15	0.02750	0.02869	0.02374	0.02418
16	0.03578	0.06781	0.03088	0.05714
17	0.00296	0.00098	0.00255	0.00082
18	0.00503	0.00522	0.00434	0.00440
19	0.01183	0.03651	0.01021	0.03077

**171.05.4.4.4.2.6.2.4 vmd bins**

171.05.4.4.4.2.6.2.4.1 The *vmd* of each encounter must be taken from one of ten *vmd* bins for the non-crossing encounter classes, and from one of nine or ten *vmd* bins for the crossing encounter classes. Each *vmd* bin must have an extent of 100 ft for calculating risk ratio, or an extent of 200 ft for calculating compatibility with ATM. The maximum *vmd* must be 1 000 ft for calculating risk ratio, and 2 000 ft otherwise.

171.05.4.4.4.2.6.2.4.2 For non-crossing encounter classes, the relative weights of the *vmd* bins must be as follows:



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vmd bin	<i>for calculating risk ratio</i>	<i>for ATM compatibility</i>
1	0.013	0.128
2	0.026	0.135
3	0.035	0.209
4	0.065	0.171
5	0.100	0.160
6	0.161	0.092
7	0.113	0.043
8	0.091	0.025
9	0.104	0.014
10	0.091	0.009

*Note.* — The weights for the vmd bins do not sum to 1.0. The weights specified are based on an analysis of encounters captured in ATC ground radar data. The missing proportion reflects the fact that the encounters captured included some with vmd exceeding the maximum vmd in the model.

171.05.4.4.4.2.6.2.4.3 For the crossing classes, the relative weights of the vmd bins must be as follows:

vmd bin	<i>for calculating risk ratio</i>	<i>for ATM compatibility</i>
1	0	0.064
2	0.026	0.144
3	0.036	0.224
4	0.066	0.183
5	0.102	0.171
6	0.164	0.098
7	0.115	0.046
8	0.093	0.027
9	0.106	0.015
10	0.093	0.010

*Note.* — For the crossing classes, vmd must exceed 100 ft so that the encounter qualifies as a crossing encounter. Thus, for the calculation of risk ratio there is no vmd bin 1, and for calculations of the compatibility with ATM vmd bin 1 is limited to [100 ft, 200 ft].

**171.05.4.4.4.2.6.3 Characteristics Of The Aircraft Trajectories In The Vertical Plane**



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171.05.4.4.4.2.6.3.1 *vmd*. The *vmd* for each encounter must be selected randomly from a distribution that is uniform in the interval covered by the appropriate *vmd* bin.

**171.05.4.4.4.2.6.3.2 Vertical rate**

171.05.4.4.4.2.6.3.2.1 For each aircraft in each encounter, either the vertical rate must be constant ( $\dot{z}$ ) or the vertical trajectory must be constructed so that the vertical rate at  $tca - 35$  s is  $\dot{z}_1$  and the vertical rate at  $tca + 5$  s is  $\dot{z}_2$ . Each vertical rate,  $\dot{z}$ ,  $\dot{z}_1$  or  $\dot{z}_2$ , must be determined by first selecting randomly an interval within which it lies and then selecting the precise value from a distribution that is uniform over the interval selected.

171.05.4.4.4.2.6.3.2.2 The intervals within which the vertical rates lie must depend on whether the aircraft is level, i.e. marked “L” in 171.05.4.4.4.2.6.2.3.1, or transitioning, i.e. marked “T” in 171.05.4.4.4.2.6.2.3.1, and must be as follows:

<i>L</i>	<i>T</i>
[240 ft/min, 400 ft/min]	[3 200 ft/min, 6 000 ft/min]
[80 ft/min, 240 ft/min]	[400 ft/min, 3 200 ft/min]
[-80 ft/min, 80 ft/min]	[-400 ft/min, 400 ft/min]
[-240 ft/min, -80 ft/min]	[-3 200 ft/min, -400 ft/min]
[-400 ft/min, -240 ft/min]	[-6 000 ft/min, -3 200 ft/min]

171.05.4.4.4.2.6.3.2.3 For aircraft that are level over the entire encounter window, the vertical rate  $\dot{z}$  must be constant. The probabilities for the intervals within which  $\dot{z}$  lies must be as follows:

$\dot{z}$ (ft/min)	<i>prob</i> ( $\dot{z}$ )
[240 ft/min, 400 ft/min]	0.0382
[80 ft/min, 240 ft/min]	0.0989
[-80 ft/min, 80 ft/min]	0.7040
[-240 ft/min, -80 ft/min]	0.1198
[-400 ft/min, -240 ft/min]	0.0391

171.05.4.4.4.2.6.3.2.4 For aircraft that are not level over the entire encounter window, the intervals for  $\dot{z}_1$  and  $\dot{z}_2$  must be determined jointly by random selection using joint probabilities that depend on altitude layer and on whether the aircraft is transitioning at the beginning of the encounter



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window (Rate-to-Level), at the end of the encounter window (Level-to-Rate) or at both the beginning and the end (Rate-to-Rate). The joint probabilities for the vertical rate intervals must be as follows:

for aircraft with Rate-to-Level trajectories in layers 1 to 3,

$\dot{z}_2$  interval

[240 ft/min, 400 ft/min]  
[80 ft/min, 240 ft/min]  
[-80 ft/min, 80 ft/min]  
[-240 ft/min, -80 ft/min]  
[-400 ft/min, -240 ft/min]

joint probability of  $\dot{z}_1$  and  $\dot{z}_2$  interval

0.0019	0.0169	0.0131	0.1554	0.0000
0.0000	0.0187	0.0019	0.1086	0.0000
0.0037	0.1684	0.0094	0.1124	0.0075
0.0037	0.1461	0.0094	0.0243	0.0037
0.0000	0.1742	0.0094	0.0094	0.0019

-6 000 ft/min    -3 200 ft/min    -400 ft/min    400 ft/min    3 200 ft/min    6 000 ft/min     $\dot{z}_1$

for aircraft with Rate-to-Level trajectories in layers 4 to 6,

$\dot{z}_2$  interval

[240 ft/min, 400 ft/min]  
[80 ft/min, 240 ft/min]  
[-80 ft/min, 80 ft/min]  
[-240 ft/min, -80 ft/min]  
[-400 ft/min, -240 ft/min]

joint probability of  $\dot{z}_1$  and  $\dot{z}_2$  interval

0.0105	0.0035	0.0000	0.1010	0.0105
0.0035	0.0418	0.0035	0.1776	0.0279
0.0279	0.1219	0.0000	0.2403	0.0139
0.0035	0.0767	0.0000	0.0488	0.0105
0.0105	0.0453	0.0035	0.0174	0.0000

-6 000 ft/min    -3 200 ft/min    -400 ft/min    400 ft/min    3 200 ft/min    6 000 ft/min     $\dot{z}_1$

for aircraft with Level-to-Rate trajectories in layers 1 to 3,

$\dot{z}_2$  interval

[3 200 ft/min, 6000 ft/min]  
[400 ft/min, 3200 ft/min]  
[-400 ft/min, 400 ft/min]  
[-3 200 ft/min, -400 ft/min]  
[-6 000ft/min, -3 200ft/min]

joint probability of  $\dot{z}_1$  and  $\dot{z}_2$  interval

0.0000	0.0000	0.0000	0.0000	0.0000
0.0074	0.0273	0.0645	0.0720	0.1538
0.0000	0.0000	0.0000	0.0000	0.0000
0.2978	0.2084	0.1365	0.0273	0.0050
0.0000	0.0000	0.0000	0.0000	0.0000

-400 ft/min    -240 ft/min    -80 ft/min    80 ft/min    240 ft/min    400 ft/min     $\dot{z}_1$

for aircraft with Level-to-Rate trajectories in layers 4 to 6,



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$\dot{z}_2$  interval

[3 200 ft/min, 6 000 ft/min]  
[400 ft/min, 3 200 ft/min]  
[-400 ft/min, 400 ft/min]  
[-3 200 ft/min, -400 ft/min]  
[-6 000 ft/min, -3 200 ft/min]

joint probability of  $\dot{z}_1$  and  $\dot{z}_2$  interval

0.0000	0.0000	0.0000	0.0000	0.0192
0.0000	0.0000	0.0962	0.0577	0.1154
0.0000	0.0000	0.0000	0.0000	0.0000
0.1346	0.2692	0.2308	0.0577	0.0192
0.0000	0.0000	0.0000	0.0000	0.0000

-400 ft/min    -240 ft/min    -80 ft/min    80 ft/min    240 ft/min    400 ft/min     $\dot{z}_1$

for aircraft with Rate-to-Rate trajectories in layers 1 to 3,

$\dot{z}_2$  interval

[3 200 ft/min, 6 000 ft/min]  
[400 ft/min, 3 200 ft/min]  
[-400 ft/min, 400 ft/min]  
[-3 200 ft/min, -400 ft/min]  
[-6 000 ft/min, -3 200 ft/min]

joint probability of  $\dot{z}_1$  and  $\dot{z}_2$  interval

0.0000	0.0000	0.0007	0.0095	0.0018
0.0000	0.0018	0.0249	0.2882	0.0066
0.0000	0.0000	0.0000	0.0000	0.0000
0.0048	0.5970	0.0600	0.0029	0.0011
0.0000	0.0007	0.0000	0.0000	0.0000

-6 000 ft/min    -3 200 ft/min    -400 ft/min    400 ft/min    3 200 ft/min    6 000 ft/min     $\dot{z}_1$

for aircraft with Rate-to-Rate trajectories in layers 4 to 6,

$\dot{z}_2$  interval

[3 200 ft/min, 6 000 ft/min]  
[400 ft/min, 3 200 ft/min]  
[-400 ft/min, 400 ft/min]  
[-3 200 ft/min, -400 ft/min]  
[-6 000 ft/min, -3 200 ft/min]

joint probability of  $\dot{z}_1$  and  $\dot{z}_2$  interval

0.0014	0.0000	0.0028	0.0110	0.0069
0.0028	0.0028	0.0179	0.4889	0.0523
0.0000	0.0000	0.0000	0.0000	0.0000
0.0317	0.3029	0.0262	0.0152	0.0028
0.0110	0.0220	0.0014	0.0000	0.0000

-6 000 ft/min    -3 200 ft/min    -400 ft/min    400 ft/min    3 200 ft/min    6 000 ft/min     $\dot{z}_1$

171.05.4.4.4.2.6.3.2.5 For a Rate-to-Rate track, if line  $|\dot{z}_2 - \dot{z}_1| < 566$  ft/min then the track must be constructed with a constant rate equal to  $\dot{z}_1$ .

### 171.05.4.4.4.2.6.3.3 Vertical acceleration

171.05.4.4.4.2.6.3.3.1 Subject to 171.05.4.4.4.2.6.3.2.5, for aircraft that are not level over the entire encounter window, the rate must be constant and equal to  $\dot{z}_1$  over at least the interval  $[tca - 40 \text{ s}, tca - 35 \text{ s}]$  at the beginning of the encounter window, and must be constant and equal to  $\dot{z}_2$  over at least the interval  $[tca + 5 \text{ s}, tca + 10 \text{ s}]$  at the end of the encounter window. The vertical acceleration must be constant in the intervening period.

171.05.4.4.4.2.6.3.3.2 The vertical acceleration ( $\ddot{z}$ ) must be modelled as follows:



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$$\ddot{z} = (A\dot{z}_2 - \dot{z}_1) + \epsilon$$

where the parameter  $A$  is case-dependent as follows:

Case	$A(s^{-1})$	
	Layers 1-3	Layers 4-6
Rate-to-Level	0.071	0.059
Level-to-Rate	0.089	0.075
Rate-to-Rate	0.083	0.072

and the error  $\epsilon$  is selected randomly using the following probability density:

$$p(\epsilon) = \frac{1}{2\mu} \exp\left(-\frac{|\epsilon|}{\mu}\right)$$

where  $\mu = 0.3 \text{ ft s}^{-2}$ .

*Note.* — The sign of the acceleration  $\ddot{z}$  is determined by  $\dot{z}_1$  and  $\dot{z}_2$ . An error  $\epsilon$  that reverses this sign must be rejected and the error reselected.

171.05.4.4.4.2.6.3.4 *Acceleration start time.* The acceleration start time must be distributed uniformly in the time interval  $[tca - 35 \text{ s}, tca - 5 \text{ s}]$  and must be such that  $\dot{z}_2$  is achieved no later than  $tca + 5 \text{ s}$ .

#### 171.05.4.4.4.2.6.4 Characteristics Of The Aircraft Trajectories In The Horizontal Plane

##### 171.05.4.4.4.2.6.4.1 Horizontal miss distance

171.05.4.4.4.2.6.4.1.1 For calculations of the effect of ACAS on the risk of collision (171.05.4.4.4.3),  $hmd$  must be uniformly distributed in the range  $[0, 500 \text{ ft}]$ .

171.05.4.4.4.2.6.4.1.2 For calculations concerning the compatibility of ACAS with ATM (171.05.4.4.4.4),  $hmd$  must be distributed so that the values of  $hmd$  have the following cumulative probabilities:



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hmd (ft)	<i>cumulative probability</i>		hmd (ft)	<i>cumulative probability</i>	
	<i>Layers 1-3</i>	<i>Layers 4-6</i>		<i>Layers 1-3</i>	<i>Layers 4-6</i>
0	0.000	0.000	17 013	0.999	0.868
1 215	0.152	0.125	18 228	1.000	0.897
2 430	0.306	0.195	19 443		0.916
3 646	0.482	0.260	20 659		0.927
4 860	0.631	0.322	21 874		0.939
6 076	0.754	0.398	23 089		0.946
7 921	0.859	0.469	24 304		0.952
8 506	0.919	0.558	25 520		0.965
9 722	0.954	0.624	26 735		0.983
10 937	0.972	0.692	27 950		0.993

hmd (ft)	<i>cumulative probability</i>		hmd (ft)	<i>cumulative probability</i>	
	<i>Layers 1-3</i>	<i>Layers 4-6</i>		<i>Layers 1-3</i>	<i>Layers 4-6</i>
12 152	0.982	0.753	29 165		0.996
13 367	0.993	0.801	30 381		0.999
14 582	0.998	0.821	31 596		1.000
15 798	0.999	0.848			

171.05.4.4.4.2.6.4.2 *Approach angle.* The cumulative distribution for the horizontal approach angle must be as follows:

<i>approach angle (deg.)</i>	<i>cumulative probability</i>		<i>approach angle (deg.)</i>	<i>cumulative probability</i>	
	<i>Layers 1-3</i>	<i>Layers 4-6</i>		<i>Layers 1-3</i>	<i>Layers 4-6</i>
0	0.00	0.00	100	0.38	0.28
10	0.14	0.05	110	0.43	0.31
20	0.17	0.06	120	0.49	0.35
30	0.18	0.08	130	0.55	0.43
40	0.19	0.08	140	0.62	0.50
50	0.21	0.10	150	0.71	0.59
60	0.23	0.13	160	0.79	0.66
70	0.25	0.14	170	0.88	0.79
80	0.28	0.19	180	1.00	1.00
90	0.32	0.22			

171.05.4.4.4.2.6.4.3 *Aircraft speed.* The cumulative distribution for each aircraft’s horizontal ground speed at closest approach must be as follows:



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<i>ground speed (kt)</i>	<i>cumulative probability</i>		<i>ground speed (kt)</i>	<i>cumulative probability</i>	
	<i>Layers 1-3</i>	<i>Layers 4-6</i>		<i>Layers 1-3</i>	<i>Layers 4-6</i>
45	0.000		325	0.977	0.528
50	0.005		350	0.988	0.602
75	0.024	0.000	375	0.997	0.692
100	0.139	0.005	400	0.998	0.813
125	0.314	0.034	425	0.999	0.883
150	0.486	0.064	450	1.000	0.940
175	0.616	0.116	475		0.972
200	0.700	0.171	500		0.987
225	0.758	0.211	525		0.993
250	0.821	0.294	550		0.998
275	0.895	0.361	575		0.999
300	0.949	0.427	600		1.000

171.05.4.4.4.2.6.4.4 *Horizontal manoeuvre probabilities.* For each aircraft in each encounter, the probability of a turn, the probability of a speed change given a turn, and the probability of a speed change given no turn must be as follows:

<i>Layer</i>	<i>Prob(turn)</i>	<i>Prob(speed change) given a turn</i>	<i>Prob(speed change) given no turn</i>
1	0.31	0.20	0.5
2	0.29	0.20	0.25
3	0.22	0.10	0.15
4, 5, 6	0.16	0.05	0.10

171.05.4.4.4.2.6.4.4.1 Given a speed change, the probability of a speed increase must be 0.5 and the probability of a speed decrease must be 0.5.

171.05.4.4.4.2.6.4.5 *Turn extent.* The cumulative distribution for the extent of any turn must be as follows:



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<i>Turn extent (deg.)</i>	<i>cumulative probability</i>	
	<i>Layers 1-3</i>	<i>Layers 4-6</i>
15	0.00	0.00
30	0.43	0.58
60	0.75	0.90
90	0.88	0.97
120	0.95	0.99
150	0.98	1.00
180	0.99	
210	1.00	

171.05.4.4.4.2.6.4.5.1 The direction of the turn must be random, with the probability of a left turn being 0.5 and the probability of a right turn being 0.5.

171.05.4.4.4.2.6.4.6 *Bank angle.* An aircraft’s bank angle during a turn must not be less than 15 degrees. The probability that it equals 15 degrees must be 0.79 in layers 1-3 and 0.54 in layers 4-5. The cumulative distribution for larger bank angles must be as follows:

<i>Bank angle (deg.)</i>	<i>cumulative probability</i>	
	<i>Layers 1-3</i>	<i>Layers 4-6</i>
15	0.79	0.54
25	0.96	0.82
35	0.99	0.98
50	1.00	1.00

171.05.4.4.4.2.6.4.7 *Turn end time.* The cumulative distribution for each aircraft’s turn end time must be as follows:



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<i>Turn end time (seconds before tca)</i>	<i>cumulative probability</i>	
	<i>Layers 1-3</i>	<i>Layers 4-6</i>
0	0.42	0.28
5	0.64	0.65
10	0.77	0.76
15	0.86	0.85
20	0.92	0.94
25	0.98	0.99
30	1.00	1.00

171.05.4.4.4.2.6.4.8 *Speed change.* A constant acceleration or deceleration must be randomly selected for each aircraft performing a speed change in a given encounter, and must be applied for the duration of the encounter. Accelerations must be uniformly distributed between 2 kt/s and 6 kt/s. Decelerations must be uniformly distributed between 1 kt/s and 3 kt/s.

#### 171.05.4.4.4.2.7 **Acas Equipage Of The Intruder**

The performance requirements specified in 171.05.4.4.4.3 and 171.05.4.4.4.4 each apply to three distinct situations in which the following conditions concerning the intruder's ACAS and trajectory must apply:

- a) where the intruder involved in each encounter is not equipped (171.05.4.4.4.2.1 j) 1)), it follows a trajectory identical to that which it follows when own aircraft is not equipped;
- b) where the intruder is ACAS-equipped but follows a trajectory identical to that in the unequipped encounter (171.05.4.4.4.2.1 j) 2)):
  - 1) it follows the identical trajectory regardless of whether or not there is an RA;
  - 2) the intruder ACAS generates an RA and transmits an RAC that is received immediately after any RA is first announced to the pilot of own aircraft;



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- 3) the sense of the RAC generated by the intruder ACAS and transmitted to own aircraft is opposite to the sense of the first RAC selected and transmitted to the intruder by own aircraft (171.05.4.4.3.6.1.3);
  - 4) the RAC transmitted by the intruder is received by own aircraft; and
  - 5) the requirements apply both when own aircraft has the lower aircraft address and when the intruder aircraft has the lower aircraft address; and
- c) where the intruder is equipped with an ACAS having a collision avoidance logic identical to that of own ACAS (171.05.4.4.4.2.1 j) 3)):
- 1) the conditions relating to the performance of own aircraft, ACAS and pilot apply equally to the intruder aircraft, ACAS and pilot;
  - 2) RACs transmitted by one aircraft are received by the other; and
  - 3) the requirements apply both when own aircraft has the lower aircraft address and when the intruder aircraft has the lower aircraft address.

#### **171.05.4.4.4.2.8 Compatibility Between Different Collision Avoidance Logic Designs**

**Recommendation.**— *When considering alternative collision avoidance logic designs, certification authorities may verify that:*

- a) the performances of the alternative design are acceptable in encounters involving ACAS units that use existing designs; and*
- b) the performances of the existing designs are not degraded by the use of the alternative design.*

**Note.**— *To address the compatibility between different collision avoidance logic designs, the conditions described in 171.05.4.4.4.2.7 b) are the most severe that can be anticipated in this respect.*



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#### 171.05.4.4.4.3 Reduction in the risk of collision

Under the conditions of 171.05.4.4.4.2, the collision avoidance logic must be such that the expected number of collisions is reduced to the following proportions of the number expected in the absence of ACAS:

- a) when the intruder is not ACAS equipped 0.18;
- b) when the intruder is equipped but does not respond 0.32; and
- c) when the intruder is equipped and responds 0.04.

#### 171.05.4.4.4.4 Compatibility with air traffic management (ATM)

##### 171.05.4.4.4.4.1 Nuisance Alert Rate

171.05.4.4.4.4.1.1 Under the conditions of 171.05.4.4.4.2, the collision avoidance logic must be such that the proportion of RAs which are a “nuisance” (171.05.4.4.4.4.1.2) must not exceed:

.06 when own aircraft’s vertical rate at the time the RA is first issued is less than 400 ft/min; or

.08 when own aircraft’s vertical rate at the time the RA is first issued exceeds 400 ft/min.

*Note. — This requirement is not qualified by the ACAS equipage of the intruder (171.05.4.4.4.2.7) since it has negligible effect on the occurrence and frequency of nuisance RAs.*

171.05.4.4.4.4.1.2 An RA must be considered a “nuisance” for the purposes of 171.05.4.4.4.4.1.1 unless, at some point in the encounter in the absence of ACAS, the horizontal separation and the vertical separation are simultaneously less than the following values:



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	<i>horizontal separation</i>	<i>vertical separation</i>
<i>above FL100</i>	2.0 NM	750 ft
<i>below FL100</i>	1.2 NM	750 ft

#### 171.05.4.4.4.2 Compatible Sense Selection

Under the conditions of 171.05.4.4.4.2, the collision avoidance logic must be such that the proportion of encounters in which following the RA results in an altitude separation at closest approach with the opposite sign to that occurring in the absence of ACAS must not exceed the following values:

- a) when the intruder is not ACAS equipped 0.08;
- b) when the intruder is equipped but does not respond 0.08; and
- c) when the intruder is equipped and responds 0.12.

#### 171.05.4.4.4.3 Deviations Caused By Acas

171.05.4.4.4.3.1 Under the conditions of 171.05.4.4.4.2, the collision avoidance logic must be such that the number of RAs resulting in “deviations” (171.05.4.4.4.3.2) greater than the values indicated must not exceed the following proportions of the total number of RAs:



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	<i>when own aircraft's vertical rate at the time the RA is first issued</i>	
	<i>is less than 400ft/min</i>	<i>exceeds 400ft/min</i>
<i>when the intruder is not ACAS equipped,</i>		
<i>for deviations <math>\geq 300</math> ft</i>	0.15	0.23
<i>for deviations <math>\geq 600</math> ft</i>	0.04	0.13
<i>for deviations <math>\geq 1\ 000</math> ft</i>	0.01	0.07
<i>when the intruder is equipped but does not respond,</i>		
<i>for deviations <math>\geq 300</math> ft</i>	0.23	0.35
<i>for deviations <math>\geq 600</math> ft</i>	0.06	0.16
<i>for deviations <math>\geq 1\ 000</math> ft</i>	0.02	0.07
<i>when the intruder is equipped and responds,</i>		
<i>for deviations <math>\geq 300</math> ft</i>	0.11	0.23
<i>for deviations <math>\geq 600</math> ft</i>	0.02	0.12
<i>for deviations <math>\geq 1\ 000</math> ft</i>	0.01	0.06

171.05.4.4.4.3.2 For the purposes of 171.05.4.4.4.3.1, the “deviation” of the equipped aircraft from the original trajectory must be measured in the interval from the time at which the RA is first issued until the time at which, following cancellation of the RA, the equipped aircraft has recovered its original altitude rate. The deviation must be calculated as the largest altitude difference at any time in this interval between the trajectory followed by the equipped aircraft when responding to its RA and its original trajectory.

**171.05.4.4.4.5 Relative value of conflicting objectives**

**Recommendation.**— *The collision avoidance logic may be such as to reduce as much as practicable the risk of collision (measured as defined in 171.05.4.4.4.3) and limit as much as practicable the disruption to ATM (measured as defined in 171.05.4.4.4.4).*

171.05.4.4.5 ACAS USE OF EXTENDED SQUITTER

**171.05.4.4.5.1 ACAS hybrid surveillance using extended squitter position data**



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*Note.— Surveillance protocols defined in this section are for ACAS hybrid surveillance, and surveillance protocols for ACAS not equipped for hybrid surveillance are defined in 171.05.4.4.3.7.1.*

#### **171.05.4.4.5.1.1 Definitions**

**Active surveillance.** The process of tracking an intruder by using the information gained from the replies to own ACAS interrogations.

**Extended hybrid surveillance.** The process of using qualified ADS-B airborne position messages via 1 090 MHz extended squitter without validating 1 090 extended squitter data for the track by ACAS active interrogations.

**Hybrid surveillance.** The process of using a combination of active surveillance and passive surveillance with validated data to update an ACAS track in order to preserve ACAS independence.

**Passive surveillance.** The process of tracking another aircraft without interrogating it, by using the other aircraft's extended squitters. ACAS uses the information obtained via 1 090 MHz extended squitter to monitor the need for active surveillance, but not for any other purpose. Passive surveillance applies to both hybrid and extended hybrid surveillance.

**Validation.** The process of verifying the relative position of an intruder using passive information via 1 090 MHz extended squitter by comparing it to the relative position obtained by ACAS active interrogation.

171.05.4.4.5.1.2 An ACAS equipped to receive extended squitter airborne position messages for passive surveillance of nonthreatening intruders must utilize this passive position information in the following manner.

#### **171.05.4.4.5.1.3 Passive Surveillance**

##### **171.05.4.4.5.1.3.1 Extended Hybrid Surveillance**



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171.05.4.4.5.1.3.1.1 Systems using extended hybrid surveillance mode must establish a track in such a way that no interrogations are performed, i.e. acquiring the track through exclusive use of ADS-B extended squitter, when the following conditions are met:

- a) own aircraft position data meets the following minimum level of quality:
  - 1) own aircraft horizontal position uncertainty (95 per cent) is  $< 0.1$  NM; and
  - 2) own aircraft horizontal position integrity must be such that the probability of an undetected position error, which is greater than 0.6 NM radius, is less than  $1 \times 10^{-7}$ .
- b) the received signal strength is equal or less than  $-68$  dBm  $\pm 2$  dB (extended hybrid surveillance minimum triggering level), or own aircraft is operating on the surface; and
- c) the intruder data quality meets the following minimum requirements:
  - 1) the ADS-B version number  $\geq 2$ ;
  - 2) the reported NIC  $\geq 6$  ( $< 0.6$  NM);
  - 3) the reported NACp  $\geq 7$  ( $< 0.1$  NM);
  - 4) the reported SIL = 3;
  - 5) the reported SDA = 2 or 3; and
  - 6) the barometric altitude is valid.

171.05.4.4.5.1.3.1.2 The system must not use ADS-rebroadcast (ADS-R) and TIS-B data to passively acquire an aircraft.

*Note 1.*— ADS-R is described in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871).



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*Note 2.— The signal level strength cannot be applied to ADS-R and TIS-B data.*

171.05.4.4.5.1.3.1.3 A track maintained under extended hybrid surveillance mode must transition to a track maintained under active surveillance mode if range and altitude of hybrid threat criteria are met.

*Note.— Information concerning range and altitude hybrid threat criteria can be found in RTCA DO-300A Change 1/EUROCAE ED-221A – Minimum Operational Performance Standards (MOPS) for Traffic Alert and Collision Avoidance System II (TCAS II) Hybrid Surveillance.*

171.05.4.4.5.1.3.1.4 A track under extended hybrid surveillance mode must transition to a track under hybrid surveillance mode, if:

- a) the signal indicates a high probability to be in close proximity, i.e. signal > extended hybrid surveillance MTL, except when operating on the airport surface; or
- b) intruder data or own data quality does not meet minimum requirements.

171.05.4.4.5.1.3.2 *Validation.* To validate the position of an intruder reported by extended squitter and not meeting the criteria for extended hybrid surveillance mode, ACAS must determine the relative range and relative bearing as computed from the position and geographical heading of own aircraft and the intruder's position as reported in the extended squitter. This derived range and relative bearing and the altitude reported in the squitter must be compared to the range, relative bearing and altitude determined by active ACAS interrogation requiring a short reply from the aircraft. Differences between the derived and measured range and relative bearing and the squitter and reply altitude must be computed and used in tests to determine whether the extended squitter data is valid. If these tests are satisfied the passive position must be considered to be validated and the track must be maintained on passive data unless it is a near threat as described in 171.05.4.4.5.1.4. If any of these validation tests fail, active surveillance must be used to track the intruder.

*Note.— Suitable tests for validating extended squitter data information for the purposes of ACAS hybrid surveillance can be found in RTCA DO-300A Change 1/EUROCAE ED-221A – Minimum*



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Operational Performance Standards (MOPS) for Traffic Alert and Collision Avoidance System II (TCAS II) Hybrid Surveillance.

171.05.4.4.5.1.3.3 *Supplementary active interrogations.* In order to ensure that an intruder's track is updated at least as frequently as required in the absence of extended squitter data (171.05.4.4.3.7.1.2.2), each time a track is updated using squitter information the time at which an active interrogation would next be required must be calculated. An active interrogation must be made at that time if a further squitter has not been received before the interrogation is due.

171.05.4.4.5.1.4 *Near threat.* An intruder must be tracked under active surveillance if it is a near threat, as determined by separate tests on the range and altitude of the aircraft. These tests must be such that an intruder is considered a near threat before it becomes a potential threat, and thus triggers a traffic advisory as described in 171.05.4.4.3.3. These tests must be performed once per second. All near threats, potential threats and threats must be tracked using active surveillance.

*Note.* — *Suitable tests for determining that an intruder is a near threat can be found in RTCA DO-300A Change 1/EUROCAE ED-221A – Minimum Operational Performance Standards (MOPS) for Traffic Alert and Collision Avoidance System II (TCAS II) Hybrid Surveillance.*

171.05.4.4.5.1.5 *Revalidation and monitoring.* If an aircraft is being tracked using passive surveillance and if criteria for extended hybrid surveillance mode are not met, periodic active interrogations must be performed to validate and monitor the extended squitter data as required in 171.05.4.4.5.1.3.2. The rates of revalidation must be between once per minute and once per 10 seconds. The tests required in 171.05.4.4.5.1.3.2 must be performed for each interrogation, and active surveillance must be used to track the intruder if these revalidation tests fail.

*Note.* — *More information about criteria of revalidation rate can be found in RTCA DO-300A Change 1/EUROCAE ED-221A – Minimum Operational Performance Standards (MOPS) for Traffic Alert and Collision Avoidance System II (TCAS II) Hybrid Surveillance.*

171.05.4.4.5.1.6 *Full active surveillance.* If the following condition is met for a track being updated via passive surveillance data:



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- a)  $|a| \leq 10\,000$  ft and both;
- b)  $|a| \leq 3\,000$  ft or  $|a - 3\,000 \text{ ft}| / |\dot{a}| \leq 60$  s; and
- c)  $r \leq 3$  NM or  $(r - 3 \text{ NM}) / |\dot{r}| \leq 60$  s;

where:  $a$  = intruder altitude separation in ft

$\dot{a}$  = altitude rate estimate in ft/s

$r$  = intruder slant range in NM

$\dot{r}$  = range rate estimate in NM/s

the aircraft must be declared an active track and must be updated on active range measurements once per second for as long as the above condition is met.

171.05.4.4.5.1.6.1 All near threats, potential threats and threats must be tracked using active surveillance.

171.05.4.4.5.1.6.2 A track under active surveillance must transition to passive surveillance if it is neither a near, potential threat nor a threat. The tests used to determine it is no longer a near threat must be similar to those used in 171.05.4.4.5.1.4 but with larger thresholds in order to have hysteresis which prevents the possibility of frequent transitions between active and passive surveillance.

*Note.* — Suitable tests for determining that an intruder is no longer a near threat can be found in RTCA DO-300A Change 1/EUROCAE ED-221A – Minimum Operational Performance Standards (MOPS) for Traffic Alert and Collision Avoidance System II (TCAS II) Hybrid Surveillance.

#### 171.05.4.4.5.2 ACAS operation with an improved receiver MTL

*Note.* — Applications of extended squitter that are independent of ACAS might be implemented (for convenience) using the ACAS receiver. The use of an improved receiver minimum triggering



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*level (MTL) will make it possible to receive extended squitters from ranges of up to 60 NM and beyond in support of such applications.*

171.05.4.4.5.2.1 An ACAS operating with a receiver having a MTL more sensitive than  $-74$  dBm must implement the capabilities specified in the following paragraphs.

171.05.4.4.5.2.2 *Dual minimum triggering levels.* The ACAS receiver must be capable of setting an indication for each squitter reception as to whether the reply would have been detected by an ACAS operating with a conventional MTL ( $-74$  dBm). Squitter receptions received at the conventional MTL must be passed to the ACAS surveillance function for further processing. Squitter receptions that do not meet this condition must not be passed to the ACAS surveillance function.

*Note 1.— Extended squitters containing position report information will be disseminated for display in connection with an extended squitter application.*

*Note 2.— Use of the conventional MTL for the ACAS surveillance function preserves the current operation of ACAS surveillance when operating with a receiver with an improved MTL.*

171.05.4.4.5.2.3 *Dual or re-triggerable reply processor.* The ACAS Mode S reply processing function must:

- a) use separate reply processors for Mode S reply formats received at or above the conventional MTL and a separate reply processor for Mode S reply formats received below the conventional MTL; or,
- b) use a Mode S reply processor that will re-trigger if it detects a Mode S preamble that is 2 to 3 dB stronger than the reply that is currently being processed.

*Note.— Care must be taken to ensure that low-level squitters (i.e. those below the conventional MTL) do not interfere with the processing of acquisition squitters for ACAS. This could happen if the low-level squitter is allowed to capture the reply processor. This can be prevented by using a separate reply processor for each function, or by requiring the reply processor to be re-triggered by a higher level squitter.*



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#### 171.05.4.5. MODE S EXTENDED SQUITTER

*Note 1.— A functional model of Mode S extended squitter systems supporting ADS-B and/or TIS-B is depicted in Figure 5-1.*

*Note 2.— Airborne systems transmit ADS-B messages (ADS-B OUT) and may also receive ADS-B and TIS-B messages (ADS-B IN and TIS-B IN). Ground systems (i.e. ground stations) transmit TIS-B (as an option) and receive ADS-B messages.*

*Note 3.— Although not explicitly depicted in the functional model presented in Figure 5-1, extended squitter systems installed on aerodrome surface vehicles or fixed obstacles may transmit ADS-B messages (ADS-B OUT).*

##### 171.05.4.5.1 MODE S EXTENDED SQUITTER TRANSMITTING SYSTEM CHARACTERISTICS

*Note.— Many of the requirements associated with the transmission of Mode S extended squitter are included in Chapter 2 and Chapter 3 for Mode S transponder and non-transponder devices using the message formats defined in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871). The provisions presented within the following subsections are focused on requirements applicable to specific classes of airborne and ground transmitting systems that are supporting the applications of ADS-B and TIS-B.*

##### 171.05.4.5.1.1 ADS-B out requirements



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171.05.4.5.1.1.1 Aircraft, surface vehicles and fixed obstacles supporting an ADS-B capability must incorporate the ADS-B message generation function and the ADS-B message exchange function (transmit) as depicted in Figure 5-1.

171.05.4.5.1.1.1.1 ADS-B transmissions from aircraft must include position, aircraft identification and type, airborne velocity, periodic status and event driven messages including emergency/priority information.

171.05.4.5.1.1.1.2 **Recommendation.**— *Extended squitter transmitting equipment may use formats and protocols of the latest version available.*

*Note 1.— The data formats and protocols for messages transferred via extended squitter are specified in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871).*

*Note 2.— Some States and/or regions require extended squitter version 2 to be transmitted by specific dates.*

171.05.4.5.1.1.2 **Extended squitter ADS-B transmission requirements.** Mode S extended squitter transmitting equipment must be classified according to the unit's range capability and the set of parameters that it is capable of transmitting consistent with the following definition of general equipment classes and the specific equipment classes defined in Tables 5-1 and 5-2:

- a) Class A extended squitter airborne systems support an interactive capability incorporating both an extended squitter transmission capability (i.e. ADS-B OUT) with a complementary extended squitter reception capability (i.e. ADS-B IN) in support of onboard ADS-B applications;
- b) Class B extended squitter systems provide a transmission only (i.e. ADS-B OUT without an extended squitter reception capability) for use on aircraft, surface vehicles, or fixed obstructions; and
- c) Class C extended squitter systems have only a reception capability and thus have no transmission requirements.



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171.05.4.5.1.1.3 *Class A extended squitter system requirements.* Class A extended squitter airborne systems must have transmitting and receiving subsystem characteristics of the same class (i.e. A0, A1, A2, or A3) as specified in 171.05.4.5.1.1.1 and 171.05.4.5.2.1.2.

*Note.* — *Class A transmitting and receiving subsystems of the same specific class (e.g. Class A2) are designed to complement each other with their functional and performance capabilities. The minimum air-to-air range that extended squitter transmitting and receiving systems of the same class are designed to support are:*

- a) A0-to-A0 nominal air-to-air range is 10 NM;*
- b) A1-to-A1 nominal air-to-air range is 20 NM;*
- c) A2-to-A2 nominal air-to-air range is 40 NM; and*
- d) A3-to-A3 nominal air-to-air range is 90 NM.*

*The above ranges are design objectives and the actual effective air-to-air range of the Class A extended squitter systems may be larger in some cases (e.g. in environments with low levels of 1 090 MHz fruit) and shorter in other cases (e.g. in environments with very high levels of 1 090 MHz fruit).*

#### **171.05.4.5.1.1.4 Control Of Ads-B Out Operation**

171.05.4.5.1.1.4.1 **Recommendation.**— *Protection against reception of corrupted data from the source providing the position may be satisfied by error detection on the data inputs and the appropriate maintenance of the installation.*

171.05.4.5.1.1.4.2 If an independent control of the ADS-B OUT function is provided, then the operational state of the ADS-B OUT function must be indicated to the flight crew, at all times.

*Note.* — *There is no requirement for an independent control for the ADS-B OUT function.*



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#### 171.05.4.5.1.2 TIS-B out requirements

171.05.4.5.1.2.1 Ground stations supporting a TIS-B capability must incorporate the TIS-B message generation function and the TIS-B message exchange function (transmit).

171.05.4.5.1.2.2 The extended squitter messages for TIS-B must be transmitted by an extended squitter ground station when connected to an appropriate source of surveillance data.

*Note 1.— Extended squitter messages for TIS-B are specified in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871).*

*Note 2.— Ground stations supporting TIS-B use an extended squitter transmission capability. The characteristics of such ground stations, in terms of transmitter power, antenna gain, transmission rates, etc., are to be tailored to the desired TIS-B service volume of the specific ground station assuming airborne users are equipped with (at least) Class A1 receiving systems.*

171.05.4.5.1.2.3 **Recommendation.**— *The maximum transmission rates and effective radiated power of the transmissions may be controlled to avoid unacceptable levels of RF interference to other 1 090 MHz systems (i.e. SSR and ACAS).*

#### 171.05.4.5.1.3 ADS-B OUT requirements for surface vehicles

171.05.4.5.1.3.1 All surface vehicles supporting any versions of extended squitter ADS-B capability must transmit extended squitter messages as per 171.05.4.5.1.1.2.

171.05.4.5.1.3.2 *Extended squitter version 2 required system performance.* The position source and equipment installed in surface vehicles to transmit extended squitter version 2 messages must support the following performance characteristics:

171.05.4.5.1.3.2.1 The  $NAC_p$  for the navigation position data must be greater than or equal to 9, a 95 per cent accuracy bound on horizontal position less than 30 metres.

*Note.—  $NAC_p$  is calculated based on satellite performance.*



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171.05.4.5.1.3.2.2 The  $NAC_V$  for the navigation velocity data must be greater than or equal to 2, a velocity error less than 3 metres per second.

171.05.4.5.1.3.2.3 The  $NAC_P$  and  $NAC_V$  minimum values must be met at a minimum availability of 95 per cent.

5.1.3.2.4 The system design assurance parameter must be equal to 1 or more, which defines the probability of a failure resulting in transmission of false or misleading information to be less than or equal to  $1 \times 10^{-3}$ .

*Note 1.— These minimum performance requirements for extended squitter version 2 transmitted position data from surface vehicles are necessary to support aircraft-based alerting applications.*

*Note 2.— Guidance material for implementation of surface vehicle ADS-B systems is contained in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871).*

#### 171.05.4.5.2 MODE S EXTENDED SQUITTER RECEIVING SYSTEM CHARACTERISTICS (ADS-B IN AND TIS-B IN)

*Note 1.— The paragraphs herein describe the required capabilities for 1 090 MHz receivers used for the reception of Mode S extended squitter transmissions that convey ADS-B and/or TIS-B messages. Airborne receiving systems support ADSB and TIS-B reception while ground receiving systems support only ADS-B reception.*

*Note 2.— Detailed technical provisions for Mode S extended squitter receivers can be found within RTCA DO-260B/EUROCAE ED-102A, “Minimum Operational Performance Standards for 1 090 MHz Extended Squitter Automatic Dependent Surveillance – Broadcast (ADS-B) and Traffic Information Services – Broadcast (TIS B).”*

##### 171.05.4.5.2.1 Mode S extended squitter receiving system functional requirements

171.05.4.5.2.1.1 Mode S extended squitter receiving systems must perform the message exchange function (receive) and the report assembler function.

*Note.— The extended squitter receiving system receives ADS-B Mode S extended squitter messages and outputs ADS-B reports to client applications. Airborne receiving systems also*



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*receive TIS-B extended squitter messages and output TIS-B reports to client applications. This functional model (shown in Figure 5-1) depicts both airborne and ground 1 090 MHz ADS-B receiving systems.*

171.05.4.5.2.1.2 *Mode S extended squitter receiver classes.* The required functionality and performance characteristics for the Mode S extended squitter receiving system will vary depending on the ADS-B and TIS-B client applications to be supported and the operational use of the system. Airborne Mode S extended squitter receivers must be consistent with the definition of receiving system classes shown in Table 5-3.

*Note. — Different equipment classes of Mode S extended squitter installations are possible. The characteristics of the receiver associated with a given equipment class are intended to be appropriate to support the required level of operational capability. Equipment classes A0 through A3 are applicable to those Mode S extended airborne installations that include a Mode S extended squitter transmission (ADS OUT) and reception (ADS-B IN) capability. Equipment classes B0 through B3 are applicable to Mode S extended installations with only a transmission (ADS-B OUT) capability and includes equipment classes applicable to airborne, surface vehicles and fixed obstructions. Equipment classes C1 through C3 are applicable to Mode S extended squitter ground receiving systems.*

#### **171.05.4.5.2.2 Message exchange function**

171.05.4.5.2.2.1 The message exchange function must include the 1 090 MHz receiving antenna and the radio equipment (receiver/demodulator/decoder/data buffer) sub-functions.

171.05.4.5.2.2.2 *Message exchange functional characteristics.* The airborne Mode S extended squitter receiving system must support the reception and decoding of all extended squitter messages as listed in Table 5-3. The ground ADS-B extended squitter receiving system must, as a minimum, support the reception and decoding of all of the extended squitter message types that convey information needed to support the generation of the ADS-B reports of the types required by the client ATM ground applications.

171.05.4.5.2.2.3 *Required message reception performance.* The airborne Mode S extended squitter receiver/demodulation/ decoder must employ the reception techniques and have a receiver



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minimum trigger threshold level (MTL) as listed in Table 5-3 as a function of the airborne receiver class. The reception technique and MTL for extended squitter ground receiver must be selected to provide the reception performance (i.e. range and update rates) as required by the client ATM ground applications.

171.05.4.5.2.2.4 *Enhanced reception techniques.* Class A1, A2 and A3 airborne receiving systems must include the following features to provide improved probability of Mode S extended squitter reception in the presence of multiple overlapping Mode A/C fruit and/or in the presence of an overlapping stronger Mode S fruit, as compared to the performance of the standard reception technique required for Class A0 airborne receiving systems:

- a) Improved Mode S extended squitter preamble detection.
- b) Enhanced error detection and correction.
- c) Enhanced bit and confidence declaration techniques applied to the airborne receiver classes as shown below:
  - 1) Class A1 — Performance equivalent to or better than the use of the “Centre Amplitude” technique.
  - 2) Class A2 — Performance equivalent to or better than the use of the “Multiple Amplitude Samples” baseline technique, where at least 8 samples are taken for each Mode S bit position and are used in the decision process.
  - 3) Class A3 — Performance equivalent to or better than the use of the “Multiple Amplitude Samples” baseline technique, where at least 10 samples are taken for each Mode S bit position and are used in the decision process.

*Note 1.— The above enhanced reception techniques are as defined in RTCA DO-260B/EUROCAE ED-102A, Appendix I.*

*Note 2.— The performance provided for each of the above enhanced reception techniques when used in a high fruit environment (i.e. with multiple overlapping Mode A/C fruit) is expected to be*



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*at least equivalent to that provided by the use of the techniques described in RTCA DO-260B/EUROCAE ED-102A, Appendix I.*

*Note 3.— It is considered appropriate for ground extended squitter receiving systems to employ the enhanced reception techniques equivalent to those specified for airborne Class A2 or A3 receiving systems.*

#### **171.05.4.5.2.3 Report assembler function**

171.05.4.5.2.3.1 The report assembler function must include the message decoding, report assembly, and output interface subfunctions.

171.05.4.5.2.3.2 When an extended squitter message is received, the message must be decoded and the applicable ADS-B report(s) of the types defined in 171.05.4.5.2.3.3 must be generated within 0.5 seconds.

*Note 1.— Two configurations of extended squitter airborne receiving systems, which include the reception portion of the ADS-B message exchange function and the ADS-B/TIS-B report assembly function, are allowed:*

- a) Type I extended squitter receiving systems receive ADS-B and TIS-B messages and produce application-specific subsets of ADS-B and TIS-B reports. Type I extended squitter receiving systems are customized to the particular client applications using ADS-B and TIS-B reports. Type I extended squitter receiving systems may additionally be controlled by an external entity to produce installation-defined subsets of the reports that those systems are capable of producing.*
- b) Type II extended squitter receiving systems receive ADS-B and TIS-B messages and are capable of producing complete ADS-B and TIS-B reports in accordance with the equipment class. Type II extended squitter receiving systems may be controlled by an external entity to produce installation-defined subsets of the reports that those systems are capable of producing.*



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*Note 2.— Extended squitter ground receiving systems receive ADS-B messages and produce either application-specific subsets or complete ADS-B reports based on the needs of the ground service provider, including the client applications to be supported.*

*Note 3.— The extended squitter message reception function may be physically partitioned into hardware separate from those that implement the report assembly function.*

#### **171.05.4.5.2.3.3 ADS-B Report Types**

*Note 1.— The ADS-B report refers to the restructuring of ADS-B message data received from Mode S extended squitter broadcasts into various reports that can be used directly by a set of client applications. Five ADS-B report types are defined by the following subparagraphs for output to client applications. Additional information on the ADS-B report contents and the applicable mapping from extended squitter messages to ADS-B reports can be found in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871) and RTCA DO-260B / EUROCAE ED-102A.*

*Note 2.— The use of precision (e.g. GNSS UTC measured time) versus non-precision (e.g. internal receiving system clock) time sources as the basis for the reported time of applicability is described in 171.05.4.5.2.3.5.*

**171.05.4.5.2.3.3.1 State vector report.** The state vector report must contain time of applicability, information about an airborne or vehicle's current kinematic state (e.g. position, velocity), as well as a measure of the integrity of the navigation data, based on information received in airborne or ground position, airborne velocity, identification and category, aircraft operational status and target state and status extended squitter messages. Since separate messages are used for position and velocity, the time of applicability must be reported individually for the position related report parameters and the velocity related report parameters. Also, the state vector report must include a time of applicability for the estimated position and/or estimated velocity information (i.e. not based on a message with updated position or velocity information) when such estimated position and/or velocity information is included in the state vector report.

*Note.— Specific requirements for the customization of this type of report may vary according to the needs of the client applications of each participant (ground or airborne). The state vector*



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*data is the most dynamic of the four ADS-B reports; hence, the applications require frequent updates of the state vector to meet the required accuracy for the operational dynamics of the typical airborne or ground operations of airborne and surface vehicles.*

171.05.4.5.2.3.3.2 *Mode status report.* The mode status report must contain time of applicability and current operational information about the transmitting participant, including airborne/vehicle address, call sign, ADS-B version number, airborne/vehicle length and width information, state vector quality information, and other information based on information received in aircraft operational status, target state and status, aircraft identification and category, airborne velocity and aircraft status extended squitter messages. Each time that a mode status report is generated, the report assembler function must update the report time of applicability. Parameters for which valid data is not available must either be indicated as invalid or omitted from the mode status report.

*Note 1.— Specific requirements for the customization of this type of report may vary according to the needs of the client applications of each participant (ground or airborne).*

*Note 2.— The age of the information being reported within the various data elements of a mode status report may vary as a result of the information having been received within different extended squitter messages at different times.*

171.05.4.5.2.3.3.3 *Air referenced velocity report.* Air referenced velocity reports must be generated when air referenced velocity information is received in airborne velocity extended squitter messages. The air referenced velocity report must contain time of applicability, airspeed and heading information. Only certain classes of extended squitter receiving systems, as defined in 5.2.3.5, are required to generate air referenced velocity reports. Each time that an individual mode status report is generated, the report assembly function must update the report time of applicability.

*Note 1.— The air referenced velocity report contains velocity information that is received in airborne velocity messages along with additional information received in airborne identification and category extended squitter messages. Air referenced velocity reports are not generated when ground referenced velocity information is being received in the airborne velocity extended squitter messages.*



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*Note 2.— Specific requirements for the customization of this type of report may vary according to the needs of the client applications of each participant (ground or airborne).*

171.05.4.5.2.3.3.4 *Resolution advisory (RA) report.* The RA report must contain time of applicability and the contents of an active ACAS resolution advisory (RA) as received in a Type=28 and Subtype=2 extended squitter message.

*Note.— The RA report is only intended to be generated by ground receiving subsystems when supporting a ground ADS-B client application(s) requiring active RA information. An RA report will nominally be generated each time a Type=28, Subtype=2 extended squitter message is received.*

#### **171.05.4.5.2.3.3.5 Target State Report**

*Note.— The target state report will be generated when information is received in target state and status messages, along with additional information received in airborne identification and category extended squitter messages. The target state and status message is defined in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871). Specific requirements for the customization of this type of report may vary according to the needs of the client applications of each participant (ground or airborne).*

#### **171.05.4.5.2.3.4 TIS-B Report Types**

171.05.4.5.2.3.4.1 As TIS-B messages are received by airborne receiving systems, the information must be reported to client applications. Each time that an individual TIS-B report is generated, the report assembly function must update the report time of applicability to the current time.

*Note 1.— The TIS-B message formats are defined in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871).*

*Note 2.— The TIS-B report refers to the restructuring of TIS-B message data received from ground Mode S extended squitter broadcasts into reports that can be used by a set of client*



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*applications. Two ADS-B report types are defined by the following subparagraphs for output to client applications. Additional information on the TIS-B report contents and the applicable mapping from extended squitter messages to ADS-B reports can be found in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871).*

*Note 3.— The use of precision (e.g. GNSS UTC measured time) versus non-precision (e.g. internal receiving system clock) time sources as the basis for the reported time of applicability is described in 171.05.4.5.2.3.5.*

- 171.05.4.5.2.3.4.2 *TIS-B target report.* All received information elements, other than position, must be reported directly, including all reserved fields for the TIS-B fine format messages and the entire message content of any received TIS-B management message. The reporting format is not specified in detail, except that the information content reported must be the same as the information content received.
- 171.05.4.5.2.3.4.3 When a TIS-B position message is received, it is compared with tracks to determine whether it can be decoded into target position (i.e. correlated to an existing track). If the message is decoded into target position, a report must be generated within 0.5 seconds. The report must contain the received position information with a time of applicability, the most recently received velocity measurement with a time of applicability, the estimated position and velocity applicable to a common time of applicability, airborne/vehicle address, and all other information in the received message. The estimated values must be based on the received position information and the track history of the target.
- 171.05.4.5.2.3.4.4 When a TIS-B velocity message is received, if it is correlated to a complete track, a report must be generated, within 0.5 seconds of the message reception. The report must contain the received velocity information with a time of applicability, the estimated position and velocity applicable to a common time of applicability, airborne/vehicle address, and all other information in the received message. The estimated values must be based on the received ground reference velocity information and the track history of the target.
- 171.05.4.5.2.3.4.5 *TIS-B management report.* The entire message content of any received TIS-B management message must be reported directly to the client applications. The information content reported must be the same as the information content received.



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171.05.4.5.2.3.4.5.1 The contents of any received TIS-B management message must be reported bit-for-bit to the client applications.

#### **171.05.4.5.2.3.5 Report Time Of Applicability**

The receiving system must use a local source of reference time as the basis for reporting the time of applicability, as defined for each specific ADS-B and TIS-B report type (see 171.05.4.5.2.3.3 and 171.05.4.5.2.3.4).

171.05.4.5.2.3.5.1 *Precision time reference.* Receiving systems intended to generate ADS-B and/or TIS-B reports based on the reception of surface position messages, airborne position messages, and/or TIS-B messages must use GNSS UTC measured time for the purpose of generating the report time applicability for the following cases of received messages:

- a) version zero (0) ADS-B messages, as defined in 171.05.4.3.1.2.8.6.2, when the navigation uncertainty category (NUC) is 8 or 9; or
- b) version one (1) or version two (2) ADS-B or TIS-B messages, as defined in 171.05.4.3.1.2.8.6.2 and 171.05.4.3.1.2.8.7 respectively, when the navigation integrity category (NIC) is 10 or 11;

UTC measured time data must have a minimum range of 300 seconds and a resolution of 0.0078125 (1/128) seconds.

#### **171.05.4.5.2.3.5.2 Non-Precision Local Time Reference**

171.05.4.5.2.3.5.2.1 For receiving systems not intended to generate ADS-B and/or TIS-B reports based on reception of ADS-B or TIS-B messages meeting the NUC or NIC criteria as indicated in 171.05.4.5.2.3.5.1, a non-precision time source must be allowed. In such cases, where there is no appropriate precision time source available, the receiving system must establish an appropriate internal clock or counter having a maximum clock cycle or count time of 20 milliseconds. The established cycle or clock count must have a minimum range of 300 seconds and a resolution of 0.0078125 (1/128) seconds.



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*Note.— The use of a non-precision time reference as described above is intended to allow the report time of applicability to accurately reflect the time intervals applicable to reports within a sequence. For example the applicable time interval between state vector reports could be accurately determined by a client application, even though the absolute time (e.g. UTC measured time) would not be indicated by the report.*

#### **171.05.4.5.2.3.6 Reporting Requirements**

171.05.4.5.2.3.6.1 *Reporting requirements for Type I Mode S extended squitter airborne receiving systems.* As a minimum, the report assembler function associated with Type I Mode S extended squitter receiving systems, as defined in 171.05.4.5.2.3, must support that subset of ADS-B and TIS-B reports and report parameters, that are required by the specific client applications being served by that receiving system.

171.05.4.5.2.3.6.2 *Reporting requirements for Type II Mode S extended squitter airborne receiving systems.* The report assembler function associated with Type II receiving systems, as defined in 171.05.4.5.2.3, must generate ADS-B and TIS-B reports according to the class of the receiving system as shown in Table 5-4 when the prerequisite ADS-B and/or TIS-B messages are being received.

171.05.4.5.2.3.6.3 *Reporting requirements for Mode S extended squitter ground receiving systems.* As a minimum, the report assembler function associated with Mode S extended squitter ground receiving systems, as defined in 171.05.4.5.2.3, must support that subset of ADS-B reports and report parameters, that are required by the specific client applications being served by that receiving system.

#### **171.05.4.5.2.4 Interoperability**

The Mode S extended squitter receiving system must provide interoperability between the different versions of extended squitter ADS-B message formats.

*Note 1.— All defined ADS-B versions and their corresponding message formats are contained in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871) and are identified by a version number.*



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*Note 2.— ADS-B message formats are defined with backward compatibility with previous versions. An extended squitter receiver can recognize and decode signals of its own version, as well as the message formats from lower versions. The receiver, however, can decode the portion of messages received from a higher version transponder according to its own capability.*

#### **171.05.4.5.2.4.1 Initial Message Decoding**

The Mode S extended squitter receiving system must, upon acquiring a new ADS-B target, initially apply the decoding provisions applicable to version 0 (zero) ADS B messages until or unless an aircraft operational status message is received indicating that a higher version message format is in use.

#### **171.05.4.5.2.4.2 Applying Version Number**

The Mode S extended squitter receiving system must decode the version number information conveyed in the aircraft operational status message and must apply the corresponding decoding rules for the reported version, up to the highest version supported by the receiving system, for the decoding of the subsequent extended squitter ADS-B messages from that specific aircraft or vehicle.

#### **171.05.4.5.2.4.3 Handling Of Reserved Message Subfields**

The Mode S extended squitter receiving system must ignore the contents of any message subfield defined as reserved.

*Note.— This provision supports interoperability between message versions by allowing the definition of additional parameters that will be ignored by earlier receiver versions and correctly decoded by newer receiver versions.*



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Table 5-1. ADS-B Class A equipment characteristics

<i>Equipment class</i>	<i>Minimum transmit power (at antenna terminal)</i>	<i>Maximum transmit power (at antenna terminal)</i>	<i>Airborne or surface</i>	<i>Minimum extended squitter message capability required (see Note 2)</i>
A0 (Minimum)	18.5 dBW (see Note 1)	27 dBW	Airborne	Airborne position Aircraft identification and category Airborne velocity Aircraft operational status Extended squitter aircraft status
			Surface	Surface position Aircraft identification and category Aircraft operational status Extended squitter aircraft status
A1 (Basic)	21 dBW	27 dBW	Airborne	Airborne position Aircraft identification and category Airborne velocity Aircraft operational status Extended squitter aircraft status
			Surface	Surface position Aircraft identification and category Aircraft operational status Extended squitter aircraft status
A2 (Enhanced)	21 dBW	27 dBW	Airborne	Airborne position Aircraft identification and category Airborne velocity Aircraft operational status Extended squitter aircraft status Target state and status
			Surface	Surface position Aircraft identification and category Aircraft operational status Extended squitter aircraft status
A3 (Extended)	23 dBW	27 dBW	Airborne	Airborne position Aircraft identification and category Airborne velocity Aircraft operational status Extended squitter aircraft status Target state and status
			Surface	Surface position Aircraft identification and category Aircraft operational status Extended squitter aircraft status



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*Note 1. — See Chapter 3, 171.05.4.3.1.2.10.2 for restrictions on the use of this category of Mode S transponder.*

*Note 2. — The extended squitter messages applicable to Class A equipment are defined in the Technical Provisions for Mode S Services and Extended Squitter (Doc 9871).*

**Table 5-2. ADS-B Class B equipment characteristics**

<i>Equipment class</i>	<i>Minimum transmit power (at antenna terminal)</i>	<i>Maximum transmit power (at antenna terminal)</i>	<i>Airborne or surface</i>	<i>Minimum extended squitter message capability required</i>
B0 (Airborne)	18.5 dBW (see Note 1)	27 dBW	Airborne	Airborne position Aircraft identification and category Airborne velocity Aircraft operational status Extended squitter aircraft status
			Surface	Surface position Aircraft identification and category Aircraft operational status Extended squitter aircraft status
B1 (Airborne)	21 dBW	27 dBW	Airborne	Airborne position Aircraft identification and category Airborne velocity Aircraft operational status Extended squitter aircraft status
			Surface	Surface position Aircraft identification and category Aircraft operational status Extended squitter aircraft status
B2 Low (Ground Vehicle)	8.5 dBW	< 18.5 dBW (see Note 2)	Surface	Surface position Aircraft identification and category Aircraft operational status
B2 (Ground Vehicle)	18.5 dBW	27 dBW (see Note 2)	Surface	Surface position Aircraft identification and category Aircraft operational status
B3 (Fixed Obstacle)	18.5 dBW	27 dBW (see Note 2)	Airborne (see Note 3)	Airborne position Aircraft identification and category Aircraft operational status



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*Note 1.— See Chapter 3, 171.05.4.3.1.2.10.2 for restrictions on the use of this category of Mode S transponder.*

*Note 2.— The appropriate ATS authority is expected to get the maximum power level permitted.*

*Note 3.— Fixed obstacles use the airborne ADS-B message formats since knowledge of their location is of primary interest to airborne aircraft.*



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Table 5-3. Reception performance for airborne receiving systems

<i>Receiver class</i>	<i>Intended air-to-air operational range</i>	<i>Receiver minimum trigger threshold level (MTL) (see Note 1)</i>	<i>Reception Technique (see Note 2)</i>	<i>Required extended squitter ADS-B message support</i>	<i>Required extended squitter TIS-B message support</i>
A0 (Basic VFR)	10 NM	-72 dBm	Standard	Airborne position Surface position Airborne velocity Aircraft identification and category Extended squitter airborne status Aircraft operational status	Fine airborne position Coarse airborne position Fine surface position Aircraft identification and category Airborne velocity Management
A1 (Basic IFR)	20 NM	-79 dBm	Enhanced	Airborne position Surface position Airborne velocity Aircraft identification and category Extended squitter airborne status Aircraft operational status	Fine airborne position Coarse airborne position Fine surface position Aircraft identification and category Airborne velocity Management
A2 (Enhanced IFR)	40 NM	-79 dBm	Enhanced	Airborne position Surface position Airborne velocity Aircraft identification and category Extended squitter airborne status Aircraft operational status Target state and status	Fine airborne position Coarse airborne position Fine surface position Aircraft identification and category Airborne velocity Management
A3 (Extended capability)	90 NM	-84 dBm (and -87 dBm at 15% probability of reception)	Enhanced	Airborne position Surface position Airborne velocity Aircraft identification and category Extended squitter airborne status Aircraft operational status Target state and status	Fine airborne position Coarse airborne position Fine surface position Aircraft identification and category Airborne velocity Management

Note 1. — Specific MTL is referenced to the signal level at the output terminal of the antenna, assuming a passive antenna. If electronic amplification is integrated into the antenna assembly, then the MTL is referenced at the input to the amplifier. For Class A3 receivers, a second performance level is defined at a received signal level of -87 dBm where 15 per cent of the messages are to be successfully received. MTL values refer to reception under noninterference conditions.



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*Note 2.— The extended squitter receiver reception techniques are defined in 171.05.4.5.2.2.4. “Standard” reception techniques refer to the baseline techniques, as required for ACAS 1 090 MHz receivers, that are intended to handle single overlapping Mode A/C fruit. “Enhanced” reception techniques refer to techniques intended to provide improved reception performance in the presence of multiple overlapping Mode A/C fruit and improved decoder retriggering in the presence of overlapping stronger Mode S fruit. The requirements for the enhanced reception techniques that are applicable to the specific airborne receiver classes are defined in 171.05.4.5.2.2.4.*

**Table 5-4. Mode S extended squitter airborne receiving system reporting requirements**

<i>Receiver class</i>	<i>Minimum ADS-B reporting requirements</i>	<i>Minimum TIS-B reporting requirements</i>
A0 (Basic VFR)	ADS-B state vector report (per 5.2.3.3.1) and ADS-B mode status report (per 5.2.3.3.2)	TIS-B state report and TIS-B management report
A1 (Basic IFR)	ADS-B state vector report (per 5.2.3.3.1) and ADS-B mode status report (per 5.2.3.3.2) and ADS-B air referenced velocity report (ARV) (per 5.2.3.3.3)	TIS-B state report and TIS-B management report
A2 (Enhanced IFR)	ADS-B state vector report (per 5.2.3.3.1) and ADS-B mode status report (per 5.2.3.3.2) and ADS-B ARV report (per 5.2.3.3.3) and ADS-B target state report (per 5.2.3.3.5)	TIS-B state report and TIS-B management report
A3 (Extended capability)	ADS-B state vector report (per 5.2.3.3.1) and ADS-B mode status report (per 5.2.3.3.2) and ADS-B ARV report (per 5.2.3.3.3) and ADS-B target state report (per 5.2.3.3.5)	TIS-B state report and TIS-B management report



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FIGURE FOR CHAPTER 5

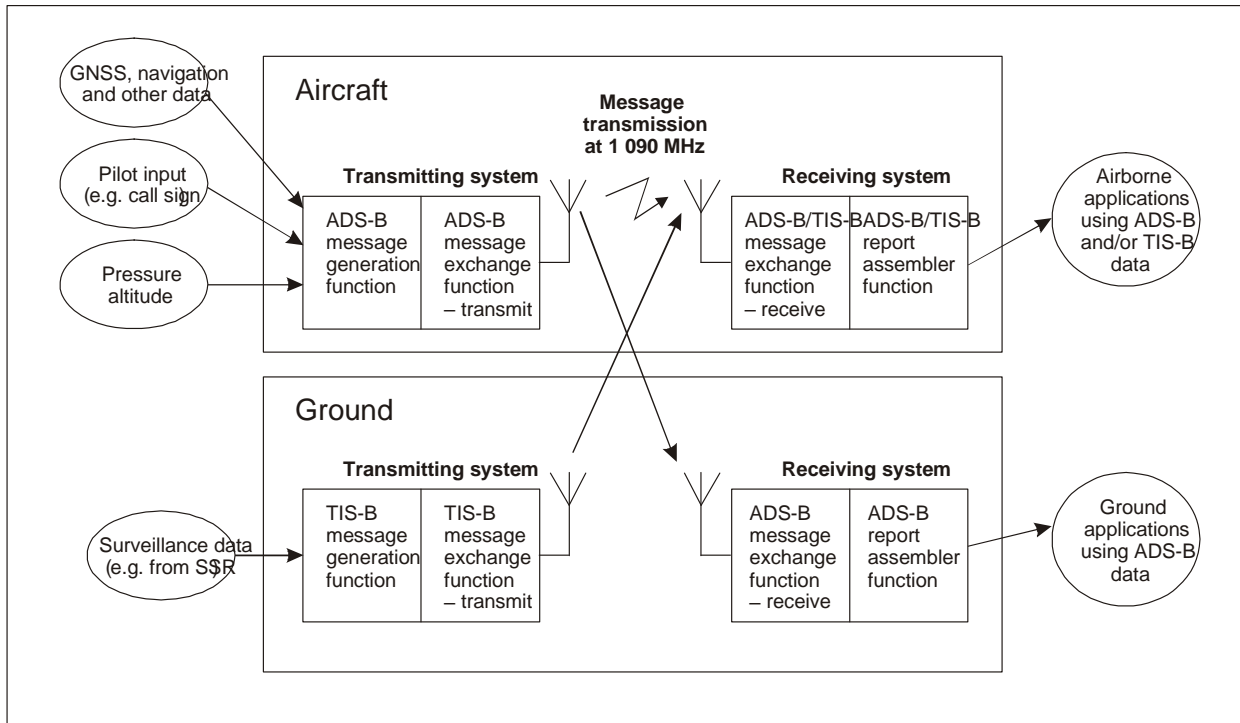


Figure 5-1. ADS-B/TIS-B system functional model



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#### 171.05.4.6. MULTILATERATION SYSTEMS

*Note 1.— Multilateration (MLAT) systems use the time difference of arrival (TDOA) of the transmissions of an SSR transponder (or the extended squitter transmissions of a non-transponder device) between several ground receivers to determine the position of the aircraft (or ground vehicle). A multilateration system can be:*


- a) passive, using transponder replies to other interrogations or spontaneous squitter transmissions;*
- b) active, in which case the system itself interrogates aircraft in the coverage area; or*
- c) a combination of a) and b).*

*Note 2.— Detailed technical guidance for MLAT and WAM can be found in the Aeronautical Surveillance Manual (Doc 9924), Appendix L. Material contained in EUROCAE ED-117A – MOPS for Mode S Multilateration Systems for Use in A-SMGCS and ED-142 – Technical Specifications for Wide Area Multilateration System (WAM) provides information for planning, implementation and satisfactory operation of MLAT systems for most applications.*

##### 171.05.4.6.1 DEFINITIONS

**Multilateration (MLAT) System.** A group of equipment configured to provide position derived from the secondary surveillance radar (SSR) transponder signals (replies or squitters) primarily using time difference of arrival (TDOA) techniques. Additional information, including identification, can be extracted from the received signals.

**Time Difference of Arrival (TDOA).** The difference in relative time that a transponder signal from the same aircraft (or ground vehicle) is received at different receivers.

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**Wide area multilateration (WAM) system.** A multilateration system deployed to support en-route surveillance, terminal area surveillance and other applications such as height monitoring and precision runway monitoring (PRM).

#### 171.05.4.6.2 FUNCTIONAL REQUIREMENTS

171.05.4.6.2.1 Radio frequency characteristics, structure and data contents of signals used in 1 090 MHz MLAT systems must conform to the provisions of 171.05.4.3.

171.05.4.6.2.2 An MLAT system used for air traffic surveillance must be capable of determining aircraft position and identity.

*Note 1.— Depending on the application, either two- or three-dimensional position of the aircraft may be required.*

*Note 2.— Aircraft identity may be determined from:*

- a) Mode A code contained in Mode A or Mode S replies; or*
- b) Aircraft identification contained in Mode S replies or extended squitter identity and category message.*

*Note 3.— Other aircraft information can be obtained by analysing transmissions of opportunity (i.e. squitters or replies to other ground interrogations) or by direct interrogation by the MLAT system.*

171.05.4.6.2.3 Where an MLAT system is equipped to decode additional position information contained in transmissions, it must report such information separately from the aircraft position calculated based on TDOA.

#### 171.05.4.6.3 PROTECTION OF THE RADIO FREQUENCY ENVIRONMENT

*Note.— This section only applies to active MLAT systems.*



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171.05.4.6.3.1 In order to minimize system interferences the effective radiated power of active interrogators must be reduced to the lowest value consistent with the operationally required range of each individual interrogator site.

*Note.* — *Guidance material on power consideration is contained in the Aeronautical Surveillance Manual (Doc 9924).*

171.05.4.6.3.2 An active MLAT system must not use active interrogations to obtain information that can be obtained by passive reception within each required update period.

*Note.* — *Transponder occupancy will be increased by the use of omnidirectional antennas. It is particularly significant for Mode S selective interrogations because of their higher transmission rate. All Mode S transponders will be occupied decoding each selective interrogation not just the addressed transponder.*

171.05.4.6.3.3 An active MLAT system consisting of a set of transmitters must be considered as a single Mode S interrogator.


171.05.4.6.3.4 The set of transmitters used by all active MLAT systems in any part of the airspace must not cause any transponder to be impacted such that its occupancy, because of the aggregate of all MLAT 1 030 MHz interrogations, is greater than 2 per cent at any time.

*Note 1.* — *This represents a minimum requirement. Some regions may impose stricter requirements.*

*Note 2.* — *For an MLAT system using only Mode S interrogations, 2 per cent is equivalent to no more than 400 Mode S interrogations per second received by any aircraft from all systems using MLAT technology.*

171.05.4.6.3.5 Active MLAT systems must not use Mode S All-Call interrogations.

*Note.* — *Mode S aircraft can be acquired by the reception of acquisition squitter or extended squitter even in airspace where there are no active interrogators.*

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#### 171.05.4.6.4 PERFORMANCE REQUIREMENTS

171.05.4.6.4.1 The performance characteristics of the MLAT system used for air traffic surveillance must be such that the intended operational service(s) can be satisfactorily supported.

#### 171.05.4.7. TECHNICAL REQUIREMENTS FOR AIRBORNE SURVEILLANCE APPLICATIONS

*Note 1.— Airborne surveillance applications are based on aircraft receiving and using ADS-B message information transmitted by other aircraft/vehicles or ground stations. The capability of an aircraft to receive and use ADS-B/TIS-B message information is referred to as ADS-B/TIS-B IN.*

*Note 2.— Initial airborne surveillance applications use ADS-B messages on 1 090 MHz extended squitter to provide airborne traffic situational awareness (ATSA) and are expected to include “In-trail procedures” and “Enhanced visual separation on approach”.*

*Note 3.— Detailed description of aforementioned applications can be found in RTCA/DO-289 and DO-312.*

#### 171.05.4.7.1 GENERAL REQUIREMENTS

##### 171.05.4.7.1.1 Traffic data functions

*Note.— The aircraft transmitting ADS-B messages used by other aircraft for airborne surveillance applications is referred to as the reference aircraft.*

##### 171.05.4.7.1.1.1 Identifying The Reference Aircraft



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171.05.4.7.1.1.1.1 The system must support a function to identify unambiguously each reference aircraft relevant to the application.

#### **171.05.4.7.1.1.2 Tracking The Reference Aircraft**

171.05.4.7.1.1.2.1 The system must support a function to monitor the movements and behaviour of each reference aircraft relevant to the application.

#### **171.05.4.7.1.1.3 Trajectory Of The Reference Aircraft**

171.05.4.7.1.1.3.1 **Recommendation.**— *The system may support a computational function to predict the future position of a reference aircraft beyond simple extrapolation.*

*Note.*— *It is anticipated that this function will be required for future applications.*

#### **171.05.4.7.1.2 Displaying traffic**

*Note.*— *Provisions contained in this section apply to cases wherein tracks generated by ACAS and by reception of ADS-B/TIS-B IN messages are shown on a single display.*


171.05.4.7.1.2.1 The system must display only one track for each distinct aircraft on a given display.

*Note.*— *This is to ensure that tracks established by ACAS and ADS-B/TIS-B IN are properly correlated and mutually validated before being displayed.*

171.05.4.7.1.2.2 Where a track generated by ADS-B/TIS-B IN and a track generated by ACAS have been determined to belong to the same aircraft, the track generated by ADS-B/TIS-B IN must be displayed.

*Note.*— *At close distances, it is possible that the track generated by ACAS provides better accuracy than the track generated by ADS-B/TIS-B IN. The requirement above ensures the continuity of the display.*

171.05.4.7.1.2.3 The display of the tracks must comply with the requirements of ACAS traffic display.

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*Note. — Section 4.3 addresses colour coding and readability of the display.*